



**LGMSD 2022/23**

Agago District

(Vote Code: 611)

| <b>Assessment</b>                           | <b>Scores</b> |
|---------------------------------------------|---------------|
| Crosscutting Minimum Conditions             | 65%           |
| Education Minimum Conditions                | 100%          |
| Health Minimum Conditions                   | 90%           |
| Water & Environment Minimum Conditions      | 70%           |
| Micro-scale Irrigation Minimum Conditions   | 30%           |
| Crosscutting Performance Measures           | 68%           |
| Educational Performance Measures            | 64%           |
| Health Performance Measures                 | 66%           |
| Water & Environment Performance Measures    | 62%           |
| Micro-scale Irrigation Performance Measures | 74%           |

| No.                                                      | Summary of requirements                                                                           | Definition of compliance                                                                                                                                                                                                                                                                                                                    | Compliance justification                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Score |
|----------------------------------------------------------|---------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|
| <b>Local Government Service Delivery Results</b>         |                                                                                                   |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |       |
| 1                                                        | Service Delivery Outcomes of DDEG investments<br><br>Maximum 4 points on this performance measure | <ul style="list-style-type: none"> <li>Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s):</li> <li>If so: Score 4 or else 0</li> </ul>                                                                                                                  | The implemented DDEG project(s) in FY 2022/2023 was phased (Casting and slabbing the floor for Lira Palwo Sub County at a cost of Ushs 29,500,000 (ABPR page 50 and AWP page 14). While the planned phase was completed, the functionality requirement was not applicable, hence the LG is scored on that premise.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 4     |
| 2                                                        | N23_Service Delivery Performance<br><br>Maximum 6 points on this performance measure              | <p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> <li>By more than 5%, score 3</li> <li>1 to 5% increase, score 2</li> <li>If no increase, score 0</li> </ul> <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p> | Agago DLG average score in the overall LLG performance assessment was 60% in 2022 and 68% in 2023. This implied that the performance increased by 8%                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 3     |
| 2                                                        | N23_Service Delivery Performance<br><br>Maximum 6 points on this performance measure              | <p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> <li>If 100% the projects were completed : Score 3</li> <li>If 80-99%: Score 2</li> <li>If below 80%: 0</li> </ul>                     | <p>There was evidence that the DDEG funded investment projects implemented in the previous FY were completed. The LG had planned to implement 1 project under Administration sector which was completed 100% as per performance contract (ABPR page 50) by end of the FY 2022/2023 as indicated below;</p> <p>- Casting and slabbing the floor for Lira Palwo Sub County at a cost of Ushs 29,500,000 (ABPR page 50)</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 3     |
| 3                                                        | Investment Performance<br><br>Maximum 4 points on this performance measure                        | <p>a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:</p> <p>Score 2 or else score 0.</p>                                                                                                                                       | <p>There was evidence that the LG budgeted for Ushs 30,000,000 and spent Ushs 29,500,000 of the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget and implementation guideline as indicated below;</p> <p>- Casting and slabbing the floor for Lira Palwo Sub County at a cost of Ushs 29,500,000 (ABPR page 50)</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 2     |
| 3                                                        | Investment Performance<br><br>Maximum 4 points on this performance measure                        | <p>b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates,</p> <p>score 2 or else score 0</p>                                                                                                                                  | <p>The variations in the contract price for sampled DDEG funded infrastructure investments for the previous FY 2022/2023 were within the +/-20% of the LG Engineers' estimates.</p> <p>For instance;</p> <ul style="list-style-type: none"> <li>The construction of one block of 2 class room and Head Teachers Office at Kal- Aloï P/S at a contract price of UGX 90,363,540 against engineer's estimate of UGX 91,240,000 giving a contract price variation of +0.96%.</li> <li>For the Construction of one block of 03 class rooms at Kal-Aloï P/S at a contract price of UGX 106,880,300 against the engineers estimate of UGX 110,000,000 giving the contract variation of +2.8%.</li> <li>For the construction of one block of 02 unit staff house at Kal - Aloï P/S at a contract price of UGX 79,849,750 against the engineers estimate of UGX 80,000,000 giving the contract price variation of +0.2%.</li> </ul> | 2     |
| <b>Performance Reporting and Performance Improvement</b> |                                                                                                   |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |       |
| 4                                                        | Accuracy of reported information<br><br>Maximum 4 points on this Performance Measure              | <p>a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate,</p> <p>score 2 or else score 0</p>                                                                                                                                                                                           | Out of the three random sampled LLGs namely; Lapono S/C; Patongo S/C and Agago T/C that were visited. It was found out that the office premises were closed and un accessible for the assessor to conduct assessment.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 0     |
| 4                                                        | Accuracy of reported information<br><br>Maximum 4 points on this Performance Measure              | <p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> <li>If 100 % in place: Score 2, else score 0.</li> </ul> <p><b>Note: if there are no reports produced to review: Score 0</b></p>                                                        | <p>There was evidence that infrastructure constructed using the DDEG were in place as per reports produced by the LG.</p> <p>o Casting and slabbing the floor for Lira Palwo Sub County at a cost of Ushs 29,500,000 was 100% completed and in place as was reported (ABPR page 50). This was a phased project which was still on-going by the time of assessment.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 2     |

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|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|
| 5                                                | N23 Reporting and Performance Improvement<br><br>Maximum 8 points on this Performance Measure                    | a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;<br><br>If there is no difference in the assessment results of the LG and national assessment in all LLGs<br><br>score 4 or else 0<br><br><b>NB: The Source is the OPAMS Data Generated by OPM.</b> | The scores of the sampled LLGs in the internal performance assessment and LLG IVA had variance beyond the the scorable range of +/-10 which indicated that the assessment was not credible.<br><br>The detailed scores are presented below;<br><br>DLG IVA<br>Kalongo T/C 33 50<br>Omot S/C 66 65<br>Pabbo T/C 37 39                                                                                                                                                                                                              | 0 |
| 5                                                | N23 Reporting and Performance Improvement<br><br>Maximum 8 points on this Performance Measure                    | b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.<br><br>Score: 2 or else score 0                                                                                                                               | The District/ Municipality or City has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY which included.<br><br>- Strengthening and increasing capacity building for LLG staff.<br><br>- Increased monitoring and supervision /mentoring<br><br>- Provision and facilitation of LLGs with required items to enable them deliver timely reports.                                                                                                                           | 2 |
| 5                                                | N23 Reporting and Performance Improvement<br><br>Maximum 8 points on this Performance Measure                    | c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:<br><br>Score 2 or else score 0                                                                                                                                                                                                         | Having not developed any performance improvement plans in (b) above, Agago DLG had nothing to implement by the time of assessment.                                                                                                                                                                                                                                                                                                                                                                                                | 0 |
| <b>Human Resource Management and Development</b> |                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |   |
| 6                                                | Budgeting for and actual recruitment and deployment of staff<br><br>Maximum 2 points on this Performance Measure | a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.<br><br>Score 2 or else score 0                                                                                                                      | Agago DLG consolidated and submitted the staffing requirements for the FY 2024/2025 inclusive of all budget estimates for wage, pension, and gratuity. Ref. CR/103/1 dated 27th September, 2023 and submitted on 29th September, 2023 with a copy to the respective MDAs and MOFPED as required.                                                                                                                                                                                                                                  | 2 |
| 7                                                | Performance management<br><br>Maximum 5 points on this Performance Measure                                       | a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):<br><br>Score 2 or else score 0                                                                                                                                                                   | There wasn't any evidence that Agago DLG conducted any tracking and analysis of staff attendance as required by MPS CSI.                                                                                                                                                                                                                                                                                                                                                                                                          | 0 |
| 7                                                | Performance management<br><br>Maximum 5 points on this Performance Measure                                       | i. Evidence that the LG has conducted an appraisal with the following features:<br><br>HODs have been appraised as per guidelines issued by MoPS during the previous FY: Score 1 or else 0                                                                                                                                                           | The LG had 9 HoDs excluding Administration. Only 4 HoDs had been appraised at the time of assessment. and were all appraised by the CAO as indicated below;<br><br>1. The CFO James Ojok Okengo was appraised on 1st September 2022;<br>2. The DNRO David Churchill Olal was appraised on 4th July 2022;<br>3. The DHO Helen Iraku was appraised on 23rd August 2022;<br>4. The Planner was appraised on 1st September 2023<br><br>However, there was no evidence that the following HoDs were appraised; DCDO, DCO, DEO, DE, DPO | 0 |
| 7                                                | Performance management<br><br>Maximum 5 points on this Performance Measure                                       | ii. (in addition to "a" above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:<br><br>Score 1 or else 0                                                                                                                                                                                         | There were no administrative rewards and sanctions implemented in the previous FY                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 1 |
| 7                                                | Performance management<br><br>Maximum 5 points on this Performance Measure                                       | iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.<br><br>Score 1 or else 0                                                                                                                                                                                                                         | There was no evidence for establishment of the Consultative Committee by the LG at the time of assessment.                                                                                                                                                                                                                                                                                                                                                                                                                        | 0 |
| 8                                                | Payroll management<br><br>Maximum 1 point on this Performance Measure or else score 0                            | a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:<br><br>Score 1.                                                                                                                                                                                    | The staff recruited during the previous FY didn't access the salary payroll not later than two months after appointment. This was attributed to factors like; mismatch with their ID credentials i.e. no matching names and date of birth, among others. Therefore the DLG was incapacitated to proceed with the process.                                                                                                                                                                                                         | 0 |

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| 9                                                          | <p>Pension Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>                                    | <p>a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:</p> <p>Score 1.</p>                                                                                                                           | <p>The staff that retired during the previous FY did not access pension payroll not later than two months after retirement because there was a problem of mismatch with their ID credentials i.e. no matching names and date of birth, among others.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 0 |
| <b>Management, Monitoring and Supervision of Services.</b> |                                                                                                                                         |                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |   |
| 10                                                         | <p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p> | <p>a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:</p> <p>Score 2 or else score 0</p>                                                                                                                                       | <p>The evidence from the release letters indicated that the transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY as per the releases below;</p> <p>OMIYA PACWA S/C - Ushs 20,348,000.00</p> <p>LAPONO S/C - Ushs 22,462,000.00</p> <p>ARUM S/C - Ushs 18,431,000.00</p> <p>ADILANG S/C - Ushs 25,292,000.00</p> <p>KOTOMOR S/C - Ushs 21,629,000.00</p> <p>PAIMOL S/C - Ushs 11,964,000.00</p> <p>LAMIYO S/C - Ushs 16,156,000.00</p> <p>LIRA PALWO S/C - Ushs 10,217,000.00</p> <p>OMOT S/C - Ushs 13,979,000.00</p> <p>LOKOLE S/C - Ushs 17,705,000.00</p> <p>AGAGO T/C - Ushs 13,587,000.00</p> <p>KALONGO T/C - Ushs 14,574,000.00</p> <p>WOL S/C - Ushs 11,614,000.00</p> <p>PARABONGO S/C - Ushs 20,115,000.00</p> <p>PATONGO T/C - Ushs 23,729,000.00</p> <p>PATONGO S/C - Ushs 19,533,000.00</p> <p>AGENGO S/C - Ushs 4,977,000.00</p> <p>AJALI S/C - Ushs 4,977,000.00</p> <p>GEREGERE S/C - Ushs 4,977,000.00</p> <p>KUYWEE S/C - Ushs 5,694,000.00</p> <p>LAPEREBONG S/C - Ushs 4,977,000.00</p> <p>LIRA KATO S/C - Ushs 4,977,000.00</p> <p>ADILANG T/C - Ushs 4,692,000.00</p> <p>LAI MUTU T/C - Ushs 3,976,000.00</p> <p>LIRA PALWO T/C - Ushs 3,976,000.00</p> <p>WOL T/C - Ushs 4,692,000.00</p> <p>The total transfers to all the LLGs in the LG added up to Ushs 339,250,000 which was the Actual amount released by MoFPED for the FY 2022/2023. The above transfers were made in two instalments dated:</p> <p>Quarter 1 paid on 28th October 2022</p> <p>Quarter 2&amp; 3 paid on 7th February 2023.</p> | 2 |
| 10                                                         | <p>N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p> | <p>b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget: Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).</p> <p>Score: 2 or else score 0</p> | <p>There was evidence that the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance with the requirements of the budget as per copy of the warrant availed to the PAT;</p> <p>Quarter 1 warrant was done on 15th August 2022 while approval was on 5th August 2022</p> <p>Quarter 2 warrant was done on 25th October 2022 while approval was on 17th October 2022.</p> <p>Quarter 3 warrant was done on 23rd January 2023 while approval was on 17th January 2023.</p> <p>The LG was compliant and did the warrants in 5 working days after receipt of the cash limits from the MoFPED.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 2 |

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| 10                           | <p>N23 Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p> | <p>c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:</p> <p>Score 2 or else score 0</p>                                                                                                                                                            | <p>The evidence provided indicated that the LG did not invoice and communicate to all DDEG transfers to LLGs within 5 working days from the date of funds release in each quarter as indicated below;</p> <p>Quarter 1 funds were uploaded on 10th August 2022 and the LG transferred to LLGs on 28th October 2022 which was more than 10 working days from the date of receipt of releases from MoFPED</p> <p>Quarter 2&amp;3 funds were uploaded on 24th January 2023 and the LG transferred to LLGs on 7th February 2023 which was 7 working days from the date of receipt of releases from MoFPED</p> <p>From the above observation the LG did not comply with the 5 days deadline as per the requirement.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 0 |
| 11                           | <p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>                                             | <p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>                                                                                                                                                                    | <p>There was evidence in form of reports to prove that the district supervised or mentored all LLGs in the District at least once per quarter consistent with the guidelines as per the mentoring reports below;</p> <p>Q1 no mentoring was done</p> <p>Q2; the LLGs were mentored on performance appraisal management; staff data base management, staff duty roster management and staff disciplinary procedures.</p> <p>Q3: the LLGs were mentored on preparation of quarterly budget performance reports, Integrated Revenue Administration System (IRAS) management and financial indiscipline (spending at source)</p> <p>Q4: the LLGs were mentored on report writing, and implementation of DDEG and other Development guidelines in the LG planning, performance measurement plan and human resource grievance handling. The mentoring was done on 1st November 2022; 3rd February 2023 and 29th June 2023 as per the report produced by the District Planner dated 30th June 2023.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 2 |
| 11                           | <p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>                                             | <p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p>                                                                                                                       | <p>There was evidence from the quarterly DPTC minutes provided by the Planner that the results/reports of support supervision and monitoring visits were discussed to make recommendations for corrective actions and followed-up;</p> <p>- In the DTPC meeting held on 13th July 2022 under Min 12/13/07/22- Presentation of monitoring Reports by SAS of Parabongo S/C and status of EMYOOGA by PCO. The DPTC recommended provision of hand washing facilities in schools and strengthen the monitoring of the EMYOOGA fund recovery.</p> <p>-</p> <p>In the DTPC meeting held on 7th November 2022 under Min 08/07/11/22- Presentation on the status on monitoring District Projects and Subcounty Physical Progress reports; The DPTC recommended the need for site handover and commissioning of completed sites.</p> <p>In the DTPC meeting held on 23rd March 2023 under Min 10/23/03/23- Presentation of 3rd quarter monitoring reports from the Commercial Department; The DPTC reviewed project profiles for different projects in Health, Education, Water and Planning Depts. Recommended the DEO to adjust the profile for the Seed School using the approved template.</p> <p>In the DTPC meeting held on 13th June 2023 under Min 07/13/06/23- Presentation of Departmental monitoring reports from the Production Department on the status of the micro scale irrigation in the district. The DPTC recommended continuous monitoring and education of the farmers for the success of the project</p> | 2 |
| <b>Investment Management</b> |                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |   |
| 12                           | <p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>             | <p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p><b>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</b></p> | <p>The LG did not maintain an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual 2007, which clearly indicated the details of all assets as evidenced from the Manual hard copy of the Asset register.</p> <p>For example; Assets acquired in the FY 2022/2023 were not recorded.</p> <p>However other particulars for the assets were recorded e.g.</p> <p>Land/ Buildings; Date, Category, cost, Department, Location, Plot No, description of use, value etc.</p> <p>Vehicles particulars like Category, cost/donation, location, Engine No. Chassis No. Type, Model, Year of acquisition, condition and responsible person, particulars of maintenance.</p> <p>Furniture: Date, Tag No, condition, user title/name, cost</p> <p>Computers; Category, cost, location, department date of purchase, Model, serial No. Types, condition</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 0 |
| 12                           | <p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>             | <p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>                                                                                                           | <p>There was evidence to show that the LG used the Board of Survey Report of the FY 2021/2022 and some of the recommendations in the report were rightly followed to make Assets Management decisions concerning procurement of new assets, maintenance of existing assets and disposal of asset.</p> <p>The LG took the initiative to implement the recommendations of the report e.g., Renovation of District Admin block, creating more space in the storeroom with new shelves and engraving the equipment and furniture for the LG.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 1 |

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| 12 | Planning and budgeting for investments is conducted effectively<br><br>Maximum 12 points on this Performance Measure | c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.                                                                                                                                                                                                                                        | The LG had a Physical Planning Committee as evidenced by the appointment letter dated 7th June 2021 signed by the CAO. However, the committee was not fully functional and held all the quarterly meetings in the FY 2022/23 as per the minutes of the meeting availed to the PAT;<br><br>Quarter 1 – meeting was held 30th September 2022;<br><br>Quarter 2 – meeting was held 22nd December 2022;<br><br>Quarter 3 – meeting was held on 29th March 2023.<br><br>Quarter 4 – meeting was held 27th June 2023<br><br>Though the meetings were held every quarter, the minutes were not submitted to the MoLHUD as per the guidelines.                                                                                                                                                                                                                                                                                                      | 0 |
| 12 | Planning and budgeting for investments is conducted effectively<br><br>Maximum 12 points on this Performance Measure | d. For DDEG financed projects;<br><br>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:<br><br>Score 2 or else score 0 | No evidence was provided to show there was a desk appraisal done for the DDEG project that was implemented.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 0 |
| 12 | Planning and budgeting for investments is conducted effectively<br><br>Maximum 12 points on this Performance Measure | For DDEG financed projects:<br><br>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:<br><br>Score 2 or else score 0                                                                                                                                                                                        | Agago LG District Planner presented field appraisal reports for projects, some of which include Construction of 4-Stance Latrine at Okwadoko HCIII, and another at Lira Palwo Sub County; and Borehole Drilling in Opiti Village in Lokole Sub County, both conducted on 05.07.2022. All were duly endorsed by the relevant Heads of Department                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 2 |
| 12 | Planning and budgeting for investments is conducted effectively<br><br>Maximum 12 points on this Performance Measure | f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:<br><br>Score 1 or else score 0.                                                                                                                                                                                                                                       | Agago LG presented project profiles with costing, which was discussed under Min.10/23/03/2023 – Monitoring Report for Commercial Department (Q3) of the DTPC meeting held on 23.03.2023                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 1 |
| 12 | Planning and budgeting for investments is conducted effectively<br><br>Maximum 12 points on this Performance Measure | g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:<br><br>Score 2 or else score 0                                                                                                                                                                                                                                                  | There was no evidence that the DLG had screened for environmental and social risks impact and put mitigation measures.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 0 |
| 13 | Procurement, contract management/execution<br><br>Maximum 8 points on this Performance Measure                       | a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan<br><br>Score 1 or else score 0                                                                                                                                                                                                                                                                              | In the approved consolidated annual procurement and disposal plan for FY 2023/2024 for Agago District Local Government received by the PDU unit on 29th September 2023, and endorsed by the CAO (Mbagwa Muwonge Abdulnoor on the 15th September 2023, there was evidence of inclusion of DDEG funded infrastructure projects.<br><br>For example;<br><br>• Construction of 01 block of 2-stance drainable latrine at Kuywee P/S at a budget of UGX 12,000,000 was included under subsection K on page 2 of the 29th September, 2023 approved procurement plan.<br><br>• Construction of Lirapalwo Headquarter to roofing level at a budget price of UGX 30,000,000 was included under item R on page 5 of the 29th September 2023 approved procurement plan.<br><br>• Construction of placenta theatre at Omiya Pacwa S/C at a budget of UGX 5,000,000 was included under item C on page 3 of the 29th September 2023 approved procurement. | 1 |
| 13 | Procurement, contract management/execution<br><br>Maximum 8 points on this Performance Measure                       | b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0                                                                                                                                                                                                                                                                  | Evidence of contracts approval of DDEG funded projects in FY 2023/2024 was not presented at the time of assessment. However, the prequalification list of service providers to handle both DDEG and other projects below UGX 50 million dated 11th September 2023 was presented                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 0 |

Procurement, contract management/execution  
Maximum 8 points on this Performance Measure

c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:  
Score 1 or else 0

Evidence of establishment of the project implementation team was presented as below;

In a letter by the CAO, Mr. Nyeko Samuel dated 8th November 2022, the following were appointed as members of the Project Implementation team in the respective capacities for projects under Education and DDEG such as "Construction of One block of two Unit staff house at Kuywee Primary School;

- District Engineer (Obali Obote Charles) as the Project Manager
- The District Education Officer as the Contracts Manager
- District Environment Officer (Olal David Churchill) as a member
- District Community Development Officer (Oola Gabriel) as a member
- District Labour Officer

In another letter by the CAO, Mr. Nyeko Samuel dated 8th November 2022, the following were appointed as members of the Project Implementation team in the respective capacities for projects under Roads/works such as "Construction of Low Cost Sealing in Kalongo Town Council;

- District Engineer (Obali Obote Charles) as the Project Manager
- District Engineer (Obali Obote Charles) as the Contracts Manager
- District Environment Officer (Angiro Christopher) as a member
- District Community Development Officer (Oola Gabriel) as a member
- District Labour Officer (Oola Gabriel) as a member

In another letter by the CAO, Mr. Nyeko Samuel dated 8th November 2022, the following were appointed as members of the PIT in the respective capacities for projects under Water such as "Rehabilitation of pipe water scheme at Acholi Pii HCIII";

- District Engineer (Obali Obote Charles) as the Project Manager
- The District Water Officer (Oroma Emmanuel) as the Contracts Manager
- District Environment Officer (Angiro Christopher)
- District Community Development Officer (Oola Gabriel) as a member
- District Labour Officer (Oola Gabriel) as a member

Also, in another letter by the CAO, Mr. Nyeko Samuel dated 8th November 2022, the following were appointed as members of the PIT in the respective capacities for Substructure Construction of Lira Palwo Office Block at Lanyirinyiri;

- District Engineer (Obali Obote Charles) as the Project Manager
- The District Planner (Bongomin Richard Akal) as the Contracts Manager
- District Environment Officer (Angiro Christopher) as a member
- District Community Development Officer (Oola Gabriel) as a member
- District Labour Officer (Oola Gabriel) as a member

Also, in another letter by the CAO, Mr. Nyeko Samuel dated 8th November 2022, the following were appointed as members of the PIT in the respective capacities for projects under the health department;

- District Engineer (Obali Obote Charles) as the Project Manager
- The District Health Officer (Sis. Drajea Hellen Iraku) as the Contracts Manager
- District Environment Officer (Angiro Christopher) as a member
- District Community Development Officer (Oola Gabriel) as a member
- District Labour Officer (Oola Gabriel) as a member

Additionally, a letter dated 24th October 2022 by the Chief Administrative Officer Mr. Oloya Stephen was appointing Mr. Oryem Francis; the Road Inspector, Mr. Elem Julius Peter; the Assistant Engineering Officer, Mr. Owinyi Francis; the Assistant Engineering Officer, Mr. Okello Innocent; the Road Overseer and Mr. Komakech Ronald, the Road Overseer as the Clerks of Works for projects in the respective subcounties was presented.

- Oryem Francis (Wol, Lokole, and Omot S/C)
- Okello Innocent (Lapono, Adilang, and Omiya S/C)
- Komakech Ronald (Lira Palwo, Lamiyo, and Arum S/C)
- Elem Julius (Patongo, and Kotomor S/C)
- Owinyi Francis (Paimol and Parabongo)

|    |                                                                                                |                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |   |
|----|------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|
| 13 | Procurement, contract management/execution<br><br>Maximum 8 points on this Performance Measure | d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer:<br><br>Score 1 or else score 0                                     | All sampled infrastructure projects implemented using DDEG in the FY 2022/2023 followed the standard technical design provided by the Local Government. For example<br><br><ul style="list-style-type: none"> <li>For construction of one block of 2 class room and Head Teachers Office at Kal- AloI P/S , the overall dimension of the structure was checked to be 20.3m by 6.46m as per the design drawings. The block consisted of two class room each of 7.8m by 6.0m internal dimensions. The store and office space were 3.8m by 2.88m and the roof was covered with iron sheets of 28mm gauge as per design recommendations.</li> <li>For Construction of one block of 03 class rooms at Kal-AloI P/S, the overall dimension was 24.32m x 6.46m as per the plan. Every classroom had 3 and 4 installed casement windows of 1.2m width on the front and back views respectively.</li> <li>For construction of one block of o2 unit staff house at Kal - AloI P/S, the overall dimensions of the block were 14.0mx7.0m, the sheet gauge was 28mm galvanised, the windows were 1.2mx1.2m and the floor was finished with a cement screed as per specifications.</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 1 |
| 13 | Procurement, contract management/execution<br><br>Maximum 8 points on this Performance Measure | e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0 | Based on the evidence provided, all relevant technical officers supervised work prior to verification and certification of works in the FY 2022/2023. For example;<br><br><ul style="list-style-type: none"> <li>For the construction of maternity ward at Patongo HC III, an inspection report signed by District Engineer, District Natural Resources Officer and District Community Development Officer dated on 19th June, 2023 was presented. This was prior to generation of payment certificate on 20th June, 2023 and payment of voucher number 6438780 of UGX 111,414,035 on 28th June 2023 following the request of payment by M/s BERTIANS Engineering Ltd dated 15th June, 2023.</li> <li>For the Construction of one block of 03 class rooms at Kal-AloI P/S, a request for payment by M/s KAKUZU Humanistic Company Ltd was dated 24th April, 2023. An inspection report dated 20th April 2023 and signed by Obali Obote Charles the District Engineer, Mr. Olal David Churchill the District Natural Resources Officer, Oola Gabriel the District Community Development Officer was presented. This inspection was prior to generating a certificate of payment on 26th April, 2023 and payment of voucher 5316650 of UGX 49,800,843 as per acknowledgement by M/s Kakuzu Humanistic Company Ltd dated 30th May 2023.</li> <li>For the construction of one block of 02-unit staff house at Kal - AloI P/S, Request for payment by M/s Lapili Investments Limited was dated 5th June 2023. An inspection report by the District Engineer, Senior Assistant Engineering Officer, District Education Officer, District Environment Officer and District Community Development Officer dated 6th June 2023 and signed by Obali Obote Charles the DE, Mr. Olal David Churchill, the DNRO, Oola Gabriel, the DCDO was presented as an attachment to the certificate of payment generated on 06th June, 2023. prior to payment of voucher 6441462 of UGX 15,332,701 and acknowledgement by Lapili Investments Ltd dated 28th June 2023 of UGX 15,332,701.</li> </ul> | 2 |
| 13 | Procurement, contract management/execution<br><br>Maximum 8 points on this Performance Measure | f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):<br><br>Score 1 or else score 0                | There was evidence that the LG verified works(certified) and initiated payments of contractors within 2 months. For example;<br><br><ul style="list-style-type: none"> <li>For the Drilling of five bore wells lots one. Request for payment of UGX 110, 003, 022 by MSR Technologies U Ltd was dated 5th June 2023. The District Water Officer certification is dated 14th June 2023. An EFT payment voucher No. 6420399 for UGX 95,646,695 was effected on 29th June, 2023 after 9 days from the request days.</li> <li>For the Construction of one block of 3 class rooms at Kal AloI P/S, the request by Kakuzu Humanistic company Ltd was dated 17th march 2023. The Engineers certification of UGX 106,880,300 before deductions was dated 20th March, 2023. Payment voucher no. 4692279 for UG 41,827,151 was then printed on 20th April 2023. An LPO was also issued on 20th March 2023 and an acknowledgment of receipt from the contractor is dated 27th March 2023. This was after 10 days from the date of request.</li> <li>For the Construction of four-unit staff house at Abilinino, the request for payment by M/s Abilocon Company Ltd was dated 23rd June 2023. The Engineer inspected works on 20th June 2023 as per inspection report attached and the payment certificate was then generated on 23rd June, 2023. Payment voucher 6427265 was paid on 28th June, 2023 and an acknowledgment of receipt from Abilocom of UGX 37,449,369 was dated 30th June, 2023 after 7 days from the date of request.</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 1 |



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| 13 | Procurement, contract management/execution<br><br>Maximum 8 points on this Performance Measure | g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law:<br><br>Score 1 or else 0 | The LG had complete procurement files for each contract with all records as required by the PPDA Law. For instance;<br><br>• For the Rehabilitation of piped water system at Acholi - Pii HCIII, Procurement Ref: AGAG803/Wrks/2022/2023/00019 at a contract sum of UGX 66,600,000, the procurement file had an evaluation report 2022-2023 for Group A signed by Obali Obote Charles (Chairperson Evaluation Committee) dated 16th November 2022 and the report was approved by the contracts committee on 20th December 2022 under item 6 of Min. No. 4ADLG/DCCM/06/2022/2023. The contract was awarded to M/s Aywee United Brothers and Sons Ltd as per the signed contract between parties dated 7th February 2022.<br><br>• For the Construction of General Maternity ward in Patongo HC IV, Procurement Ref: MoHLUGIF/Wrks/2022-2023-00001-Lot 6 at a contract sum of UGX 544,803,365. The procurement file had an evaluation report dated 21st to 27th September 2022 under minute 05/MoH/EC/2022/2023 and the report was approved by the contracts committee on 19th October 2022 as per the Contracts committee minutes signed by Opiyo Denis Nixon (Chairperson contracts committee) on 19th October 2022 under Min. No. 05/LCCC3/2022-2023. The contract was awarded to M/s Bertlantz Engineering Limited as per contract between parties dated 22nd February 2023. Approval by Solicitor General was dated 21st December 2022.<br><br>• For the construction of one block of three class rooms at Kal Aloii P/S, Procurement Ref: AGAG803/Wrks/2022/2023/00009 at a contract sum of UGX 106,880,300. The procurement file had an evaluation report dated 24th October to 18th November 2022 under minute 05/ADLG/ECM/01/2022/2023 and the report was approved by the contracts committee on 20th December 2022 under item no. AGAG803/...<br><br>The contract was awarded to Kakuzu Humanistic Company Ltd as per contract between parties dated 24th February 2023. | 1 |
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## Environment and Social Safeguards

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| 14 | Grievance redress mechanism operational.<br><br>Maximum 5 points on this performance measure | a. Evidence that the District/Municipality has<br>i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.<br><br>Score: 2 or else score 0                   | There was evidence that Agago DLG had designated a person to coordinate response to feedback and established a centralized Grievance Redress Committee.<br><br>‘Letter of assignment of additional responsibilities as focal person grievance handling for the district FY 2022/23.’<br><br>The letter was dated 27th June 2022, signed Okidi J. Ottoo for CAO and designated Nyeko Samuel – Principle Assistant Secretary as the focal point person for grievance handling with effect from 1st July 2022.<br><br>ii) There was evidence of establishment of a centralized Grievance Redress Committee (GRC)<br><br>Letter of appointment as members of grievance handling committee for Agago district FY 2022/2023. The letter was dated 30th June 2022 and signed by the CAO- Nyeko Samuel, Ref: CR/210/31.<br><br>The letter designated the following persons as members of the GRC:<br><br>• Nyeko Samuel the Principal Assistant Secretary- Chairperson<br><br>• Drajea Helen Iraku the Assistant DHO<br><br>• Obong Alfred the Senior Fisheries officer<br><br>• Oola Francis theTown Clerk- Kalango T/C<br><br>• Odongkara Bosco the District Inspector of Schools<br><br>• Obwor Deogracious Deodato the Senior Assistant Secretary<br><br>• Obali Obote Charles the Senior Engineering Officer<br><br>• Dr. Otto Ben Adol the Senior CDO.<br><br>• Nyero Daniel the Human Resource Officer | 2 |
| 14 | Grievance redress mechanism operational.<br><br>Maximum 5 points on this performance measure | b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.<br><br>If so: Score 2 or else 0 | There was evidence that the DLG had a specified system for recording, investigating and reporting;<br><br>There was a counter book as a grievance Log book that was containing records of different complaints recorded on different dates. The book had the date, name of person filling in the grievance, nature of grievance reported, staff/ officer reported, responsible officer/ organ handling the grievance, timeline for handling grievance and final verdict. Only two complaints were recorded.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 2 |
| 14 | Grievance redress mechanism operational.<br><br>Maximum 5 points on this performance measure | c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.<br><br>If so: Score 1 or else 0                                                                                                                                                              | There was no evidence on publicizing the grievance redress mechanisms so that aggrieved parties know where to report and get redress.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 0 |

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| 15 | <p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p> | <p>a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0</p>                                                                                                                                                                  | <p>There was evidence that the Environment, Social and Climate change interventions were integrated into LGDP, AWP and budgets complied with as elaborated below;</p> <p>Wetland Management and regulation as per AWP page 12, LGDP III page 52, Budget Page 53, amount Ushs 14,000,000.</p> <p>Tree planting and afforestation as per AWP page 12, LGDP III page 52, Budget Page 53,, amount Ushs 3,000,000</p> <p>Forestry regulation and inspection as per, AWP page 12, LGDP III page 52, Budget Page 53,,</p> <p>amount Ushs 1,000,000</p> <p>River bank and wetland restoration as per AWP page 12, LGDP III page 52, Budget Page 53,, amount Ushs 2,000,000</p> <p>Monitoring and evaluation of environment compliance as AWP page 12, LGDP III page 52, Budget Page 53,, amount Ushs 2,000,000</p> <p>Land management services as per AWP page 12, LGDP III page 52, Budget Page 53,, amount Ushs 2,000,000</p> <p>Infrastructure planning as per AWP page 12, LGDP III page 52, Budget Page 53, amount Ushs 1,000,000</p> | 1 |
| 15 | <p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p> | <p>b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management</p> <p>score 1 or else 0</p>                                                                      | <p>The evidence of the signed list dated 25th October 2022 acknowledging receipt of the DDEG guideline by the SAS, SCDO and Town Clerks of the 26 LLGs, District Councillors and CSO in the district, District Heads of department proved that the DDEG guidelines were disseminated. The description and explanations for the use and allocation of grant were given.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 1 |
| 15 | <p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p> | <p>(For investments financed from the DDEG other than health, education, water, and irrigation):</p> <p>c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:</p> <p>score 3 or else score 0</p> | <p>The project no. AGAG 803/WRKS/2022/2023/00010 for the Slabbing of Lira Palwo Sub-county Office, did not have the ESMP incorporated into the BoQ document.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 0 |
| 15 | <p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p> | <p>d. Examples of projects with costing of the additional impact from climate change.</p> <p>Score 3 or else score 0</p>                                                                                                                                                                                                                                           | <p>There were no projects with additional costings on impact of climate change.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 3 |
| 15 | <p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p> | <p>e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 1 or else score 0</p>                                                                                                               | <p>There was evidence that the DDEG project was implemented on land where the DLG had proof of ownership.</p> <p>Form 19, FREEHOLD OFFER, Ref 71354, dated 5th October 2023. 5 Hectares in Lira Palwo Sub-County Headquarters, Lunyirinyiri Parish, Wimunu-Picek Village. Stamped and signed by Oyet Alex Labeja – Senior Land Management Officer –Agago District.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 1 |
| 15 | <p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p> | <p>f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p> <p>Score 1 or else score 0</p>                                                                                                                                                                  | <p>There was no evidence that Environmental Officer and CDO had conducted support supervision and monitoring to ascertain compliance with ESMPs for the slabbing of Lira Palwo Sub-county Office. No monthly reports were provided for the period of 2 days of the assessment.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 0 |
| 15 | <p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p> | <p>g. Evidence that E&amp;S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects:</p> <p>Score 1 or else score 0</p>                                                                                                           | <p>There was evidence that the E&amp;S compliance certification was completed and signed for the one project under DDEG.</p> <p>Certificate no.1, dated 31st May 2023 for the Slabbing of Lira Palwo Sub-county Office worth UGX 29,691,700 and signed by the District Engineer - DE, District Natural Resources Officer - DNRO and District Community Development Officer - DCDO. Payment was made on 28th June 2023, voucher no.6436101.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 1 |

## Financial management

|                       |                                                                                                                                          |                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |   |
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| 16                    | <p>LG makes monthly Bank reconciliations</p> <p>Maximum 2 points on this Performance Measure</p>                                         | <p>a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment:</p> <p>Score 2 or else score 0</p>                                                                                                                                       | <p>There was evidence that the LG made monthly bank reconciliations and were up to-date at the time of the assessment as per the printed copies of the reconciled bank accounts availed to PAT as detailed below;</p> <p>A/c name: AGAGO District LG General Fund A/c</p> <p>A/c No:01213501071087</p> <p>Bank Name: DFCU Bank – Pader Branch</p> <p>Reconciled up to 30th September 2023</p> <p>A/c name: AGAGO District LG DINU Project A/c</p> <p>A/c No: 06848900004</p> <p>Bank Name: Bank of Africa</p> <p>Reconciled up to 30th September 2023</p> <p>A/c name: AGAGO District LG UWEP Project A/c</p> <p>A/c No: 01213554332086</p> <p>Bank Name: DFCU Bank – Pader Branch</p> <p>Reconciled up to 30th September 2023</p> | 2 |
| 17                    | <p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p> | <p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>                                                                                                                                                             | <p>There was evidence that the LG produced all quarterly internal audit (IA) reports for the previous FY as shown below.</p> <p>1st quarter report was produced on 20h October 2022</p> <p>2nd quarter report was produced on 18th January 2023</p> <p>3rd quarter report was produced on 24th April 2023</p> <p>4th quarter report was produced on 24th July 2023</p> <p>Form the observation the reports were timely produced to impact the improvement in financial management and reporting of the LG as per the report production dates stated above,</p>                                                                                                                                                                     | 2 |
| 17                    | <p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p> | <p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p> | <p>The assessment team noted that the Internal Audit Quarterly Reports are submitted in copy to various office bearers, including both Council Chairperson and LG PAC. The reports have a section headed “Review of the previous Audit,” from which recipients find information on the status of implementation. The reports submissions to PAC were as follows:</p> <p>Q1 – 27.10.2022</p> <p>Q2 – 29.01.2023</p> <p>Q3 – 24.03.2023</p> <p>Q4 – 25.07.2023</p>                                                                                                                                                                                                                                                                   | 1 |
| 17                    | <p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p> | <p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>                                                                                              | <p>From the stamped copies of the Internal Audit Reports, it was evident that all the reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LGPAC as reviewed as follows.</p> <p>1st quarter report was submitted to the CAO and LGPCA on 27th October 2023</p> <p>2nd quarter report was submitted to the CAO and LGPCA on 29th January 2023</p> <p>3rd quarter report submitted to the CAO on 23rd April 2023. and LGPAC on 24th April 2023.</p> <p>4th quarter report was submitted to the CAO and LGPCA 25th July 2023</p> <p>Hence being compliant.</p>                                                                                                                                        | 1 |
| <b>Local Revenues</b> |                                                                                                                                          |                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |   |
| 18                    | <p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>              | <p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>                                                                                                       | <p>The LG planned revenue collection for the last FY was Ushs 315,000,000 (Final draft A/cs 2022/2023 page 17) and Actual Revenue collected was Ushs 233,342,272 which gave a variance of Ushs 81,657,728</p> <p><math>(233,342,272 / 315,000,000) \times 100\% = 74\%</math></p> <p>The LG only managed to correct 74% of its planned revenue, leaving a balance of -26% not collected., the unrealized revenue of -26% was above the allowable range +/-10%.</p> <p>The failure to realize the planned revenue was because of.</p> <p>The introduction IRAS towards the end of the FY and immediate stoppage of use of manual receipts books for revenue collection caused revenue leakage hence less revenue for the LG.</p>    | 0 |

|    |                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |   |
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| 19 | <p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p> | <p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> <li>• If more than 10 %: score 2.</li> <li>• If the increase is from 5% -10 %: score 1.</li> <li>• If the increase is less than 5 %: score 0.</li> </ul> | <p>The ratio of OSR for the LG the previous FY as compared to that the previous FY but one as per Final A/cs 2022/23 page 17 was;</p> <p>OSR 2021/2022 (Final Accounts page 16)</p> <p>Total revenue = Ushs 151,174,116</p> <p>OSR 2022/2023</p> <p>Total revenue = Ushs 233,342,272</p> <p>Therefore, Revenue 2022/2023 less revenue 2021/2022</p> <p>Ushs 233,342,272 - Ushs 151,174,116</p> <p>= Ushs 82,168,156</p> <p>= (82,168,156/151,174,116) x 100 = 50%</p> <p>Therefore, the OSR for FY 2022/2023 increased by 50%.</p> <p>The increase in the OSR for the FY 2022/2023 was due to;</p> <ul style="list-style-type: none"> <li>- Recruitment of more tax collectors i.e Parish chiefs</li> <li>- Increased capacity building for tax collectors and taxpayers and regular monitoring.</li> <li>- Engagement and involvement of political leaders in the revenue collection /mobilization.</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 2 |
| 20 | <p>Local revenue administration, allocation, and transparency</p> <p>Maximum 2 points on this performance measure.</p>                                                                                           | <p>a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0</p>                                                                                                                                                                                                                                 | <p>Local Revenue collections subjected to sharing with LLGs Ushs 64,106,923 Page 18 of Final Accounts FY 2022/2023, amount of local revenue the LG remitted to LLGs was Ushs 52,405,117 split between LLGs (sub counties) Ushs 27,833,119 which was 70% instead of the mandated 65% and 24,571,998 which was the mandated 100% meant to be remitted the Town Council.</p> <p>The schedules below were the remittances made on 21st December 2022 for quarter 1 &amp; 2; 17th April 2023 for quarter 3.</p> <p>KALONGO T/C Received Ushs 8,000,000</p> <p>PATONGO T/C Received Ushs 6,500,000</p> <p>AGAGO T/C Received Ushs 4,013,432</p> <p>LIRA PALWO T/C Received Ushs 2,373,134</p> <p>ADILANG T/C Received Ushs 2,085,432</p> <p>WOL T/C Received Ushs 1,600,000</p> <p>WOL S/C Received Ushs 3,434,700</p> <p>OMOT S/C Received Ushs 2,445,047</p> <p>ARUM S/C Received Ushs 2,762,308</p> <p>OMIYA PACWA S/C Received Ushs1,869,742</p> <p>AGENGO S/C Received Ushs 1,869,742</p> <p>PARABONGO S/C Received Ushs 2,115,828</p> <p>PATONGO S/C Received Ushs 2,752,503</p> <p>ADILANG S/C Received Ushs 3,203,847</p> <p>LIRA KATO S/C Received Ushs 1,510,176</p> <p>GEREGERE S/C Received Ushs 1,510,176</p> <p>LIRA PALWO S/C Received Ushs 1,078,697</p> <p>PAIMOL S/C Received Ushs 1,006,784</p> <p>LAMIYO S/C Received Ushs 2,013,569</p> <p>LAPONO S/C Received Ushs 260,000</p> <p>Therefore, the percentage remitted:</p> <p>Sub counties was (27,833,126/39,534,925) x 100 = 70%</p> <p>and to the</p> <p>Town Councils was (24,571,998 /24,571,998) x 100 = 100%</p> <p>Therefore, the LG was compliant in remitting 65% of the Local Revenue the LLGS, though it remitted 100% to the Town councils.</p> | 2 |

## Transparency and Accountability

|    |                                                                                                |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |   |
|----|------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|
| 21 | <p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p> | <p>a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0</p>                                                                                                                                                                                                                   | <p>There was evidence that the procurement plans for the previous FY 2022/2023 were published as per file copies presented. For example,</p> <ul style="list-style-type: none"> <li>• For the Drilling of 02 production bore wells at Akeno North and Amyel in Laponi S/C, the best evaluated bid notice was dated 27th December 2022 with the best evaluated bidder as M/s Newton Technical Services Limited at a contract sum of UGX 79,921,400.</li> <li>• For the construction of one block of 2 Units Staff House at Wimunupecek P/S, the best evaluated bid notice was dated 27th December 2022 with the best evaluated bidder as M/s Jowa Holdings Company Limited at a contract price of UGX 80,000,000.</li> <li>• For the construction of one block of four-unit staff house and two stance pit latrine at Lapirin HC III, the best evaluated bid notice was dated 27th December 2022 with best evaluated bidder being M/s Aliwol Enterprises Limited at a contract price of UGX 116,362,230.</li> </ul> | 2 |
| 21 | <p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p> | <p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>                                                                                                                                                                      | <p>The display of the LLG performance assessment results and implications for the FY 2022/23 on the District and Sub County notice boards on 7th October 2023 signed by the District Planner Bongomin Richard.</p> <p>The LG performance assessment results and implications for the FY 2021/22 were disseminated and presented by the District Planner to the District Council through the Budget Conference held on 25th October 2023 at the District Council Hall as per the report Budget Conference.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 2 |
| 21 | <p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p> | <p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>                                                                                                  | <p>The report dated 21st May 2023 indicated during the previous FY 2022/2023, the LG conducted radio programs in various county community radio stations to provide feed-back on the status of activity implementation to the public where different topics discussed included;</p> <ul style="list-style-type: none"> <li>- Explanation and sensitization of the community on the Parish Development Model (PDM)</li> <li>- Budget Performance report for 2022/23.</li> <li>- Approved projects in the District Development Plan</li> <li>- Poverty issues in the community including HIV prevention and prevalence in the community.</li> </ul> <p>The above discussions were held Wangho FM, Luo FM and Piwa FM community radio stations in located in Agago North, Agago West and Agago County. The panellist include the District Planner, RDC, LCV Chairperson and its done every Monday from 9:00 Am – 12:00 Noon.</p>                                                                                      | 1 |
| 21 | <p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p> | <p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>                                                                                                                                 | <p>The LG displayed the tax rates on the notice board, collection procedures, and procedures for appeal on 15th July 2022 with contacts of the Commercial Officer Mr. Otema Geoffrey Tel 0779 935 898 as the focal person in case of appeal and was signed and stamped by the Chief Finance Officer.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 1 |
| 22 | <p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>                     | <p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p> | <p>No alleged fraud and corruption case was raised by the IGG hence no report was prepared.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 1 |

| No.                                              | Summary of requirements                                                                                                                     | Definition of compliance                                                                                                                                                                                                                                                                                                                                                 | Compliance justification                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Score |
|--------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|
| <b>Local Government Service Delivery Results</b> |                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |       |
| 1                                                | <p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>                   | <p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> <li>• If improvement by more than 5% score 4</li> <li>• Between 1 and 5% score 2</li> <li>• No improvement score 0</li> </ul>                                                                                              | <p>OPM guided during the LGPA Orientation of Assessors in the week of 23rd October that UNEB results for 2020 and 2022 are to be used when assessing this indicator. Accordingly;</p> <p>In 2020, (Because of Covid 19, UNEB exams done in 2021 were dubbed 2020) 3540 students registered for PLE. Those who passed in grade one to three were 2127 with a breakdown as below;</p> <p>G1 - 75<br/>G2 - 1148<br/>G3 - 904<br/><math>75+1148+904=2127</math><br/><math>2127/3540*100=60\%</math></p> <p>In 2022, 5051 students sat PLE. Those who passed in grade one to three were 2483 with a breakdown as below;</p> <p>G1 - 106<br/>G2 - 1268<br/>G3 - 1109<br/><math>106+1268+1109=2483</math><br/><math>2483/5051*100=49\%</math><br/><math>49\%-60\%=11\%</math> decline.</p> | 0     |
| 1                                                | <p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>                   | <p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> <li>• If improvement by more than 5% score 3</li> <li>• Between 1 and 5% score 2</li> <li>• No improvement score 0</li> </ul>                                                                                              | <p>The LG UCE pass rate improved between the previous school year but one and the previous year by 7% as shown below;</p> <p>In 2020, a total of 732 students sat UCE. Those who passed in grade one to three were 419 with a breakdown as below;</p> <p>G1 20<br/>G2 133<br/>G3 266<br/><math>20+133+266=419</math><br/><math>419/732*100=57\%</math></p> <p>In 2022, a total of 705 students sat UCE. Those who passed in grade one to three were 450 with a breakdown as below;</p> <p>G1 39<br/>G2 203<br/>G3 208<br/><math>39+203+208=450</math><br/><math>450/705*100=64\%</math><br/><math>64\%-57\%=7\%</math> improvement.</p>                                                                                                                                             | 3     |
| 2                                                | <p>N23 Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p> | <p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> <li>• By more than 5%, score 2</li> <li>• Between 1 and 5%, score 1</li> <li>• No Improvement, score 0</li> </ul> <p>NB: If the previous average score was 95% and above, Score 2 for any increase.</p> | <p>The average score in the education LLG performance for previous year but one was 67% and the previous year score was 85% which presented an improvement of 18%..</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 2     |
| 3                                                | <p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>  | <p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>                                                                                                                                                                                                                             | <p>The education development grant was used on eligible activities and defined in the sector guideline. The LG Approved budget estimates FY 2022/2023 Vote:263310 Agago DLG generated on 11th June 2022 at 10:10am page 39. The development grant was shs1,472,497,000. Examples below;</p> <p>-Construction of one block of two units staff house in Latinling PS was done at a cost of shs19,473,480</p> <p>-Classroom and office block construction at Kal Alois PS was done at a cost of shs20,119,504</p> <p>Construction of staff house at Latinling PS was done at a cost of shs10,549,454</p>                                                                                                                                                                               | 2     |

|   |                                                                                                                                                      |                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |   |
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| 3 | Investment Performance: The LG has managed education projects as per guidelines<br><br>Maximum 8 points on this performance measure                  | b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0                                                 | The DEO Esther Aryem, Environment officer Christopher Angiro, and CDO Geoffrey Ojok certified works for Education Sector prior to payment of contractor as evidenced in the 3 sampled contracts below:<br><br>1. Construction of two blocks of staff units at Latingling PS Certificate No. 1 dated 24th April 2023, was endorsed by DEO Esther Aryem, Environment officer Christopher Angiro and CDO Geoffrey Ojok before shs19,473,480 was paid.<br><br>2. Construction of one block of two classrooms at Kal Aloï PS Certificate No. 1 dated 28th March 2023, at a cost of shs20,119,504<br><br>3. Construction of one block of 2 units staff house in Kal Aloï PS Certificate No.2 dated 6th June 2023, at a cost of shs55,887,908                                                                                                                                                                                                                                                                 | 2 |
| 3 | Investment Performance: The LG has managed education projects as per guidelines<br><br>Maximum 8 points on this performance measure                  | c) If the variations in the contract price are within +/-20% of the MoWT estimates score 2 or else score 0                                                                                                                                          | The variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY were within the +/-20% of the LG Engineers' estimates. For instance;<br><br>• For construction of one block of 2 class room and Head Teacher's Office at Kalo- Aloï P/S at a contract price of UGX 90,363,540 against the engineers estimate of UGX 91,240,000. The contract price variation was determined to be +0.96%.<br><br>• For Construction of one block of 03 class rooms at Kal-Aloï P/S at a contract price of UGX 106,880,300 against the engineers estimate of UGX 110,000,000 giving the contract variation of +2.8%.<br><br>• For construction of one block of o2 unit staff house at Kal - Aloï P/S at a contract price of UGX 79,849,750 against a budget of UGX 80,000,000 giving the contract price variation of +0.19%.<br><br>Therefore, all contract price variations for sampled contracts for education projects implemented in FY 2022/2023 were within +/-20%. | 2 |
| 3 | Investment Performance: The LG has managed education projects as per guidelines<br><br>Maximum 8 points on this performance measure                  | d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY<br><br>• If 100% score 2<br>• Between 80 - 99% score 1<br>• Below 80% score 0                                                   | In the approved procurement plan for the FY 2022/2023 dated 17th August, 2022, there were no planned seed secondary school.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 2 |
| 4 | Achievement of standards: The LG has met prescribed school staffing and infrastructure standards<br><br>Maximum 6 points on this performance measure | a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines<br><br>• If 100%: score 3<br>• If 80 - 99%: score 2<br>• If 70 - 79% score: 1<br>• Below 70% score 0                                   | Agago DLG had a staff ceiling of 1,684 teachers and those in post were 996. While the number of teachers in positions was 996<br><br>Therefore, $996/1,684 \times 100 = 59\%$<br><br>The percentage of recruitment of teachers was below the recommended standards as prescribed by MoES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 0 |
| 4 | Achievement of standards: The LG has met prescribed school staffing and infrastructure standards<br><br>Maximum 6 points on this performance measure | b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,<br><br>• If above 70% and above score: 3<br>• If between 60 - 69%, score: 2<br>• If between 50 - 59%, score: 1<br>• Below 50 score: 0 | The LG Consolidated Assets register for 2022/2023 that captured assets for 111 registered Primary and 09 Secondary schools was in place but not signed or dated by the DEO Esther Aryem.<br><br>The assessment further verified this from the 3 sampled Primary Schools of; Ajala Nyena PS, Patong PS and Wanglogo PS where assets registers were not in tandem with those at the DEO's office.<br><br>Percentage of Schools that met DES guidelines were;<br><br>Total schools that complied X 100<br><br>Total (UPE & USE)<br><br>$120 \times 100$<br><br>120<br><br>$= 100\%$                                                                                                                                                                                                                                                                                                                                                                                                                       | 3 |

|   |                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |   |
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| 5 | <p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p> | <p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"> <li>• If the accuracy of information is 100% score 2</li> <li>• Else score: 0</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                  | <p>The review of the Staff deployment list at the LG and the staff lists, attendance books and duty rotas from the three sampled schools; Ajala Nyena PS, Patong PS and Wanglogo PS, there was evidence that LG accurately reported on teachers deployment as showed below;</p> <p>At Ajala Nyena PS, the staff list, staff duty allocation list that was posted on the walls of the Headteachers' office and the teacher's attendance book at the Deputy teacher's desk indicated that the Government teachers were 9 which was in tandem with the DEO's deployment list</p> <p>At Patong PS the staff list, staff duty allocation list that was posted on the walls of the Headteachers' office and the teacher's attendance book at the Deputy teacher's desk indicated that the Government teachers were 14 which was in tandem with the DEO's deployment list</p> <p>Wanglogo PS the staff list, staff duty allocation list that was posted on the walls of the Headteachers' office and the teacher's attendance book at the Deputy teacher's desk indicated that the Government teachers were 17 which was in tandem with the DEO's deployment list</p> | 2 |
| 5 | <p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p> | <p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"> <li>• If the accuracy of information is 100% score 2</li> <li>• Else score: 0</li> </ul>                                                                                                                                                                                                                                                                                                                                             | <p>The asset register provided for the 111 primary schools and 9 secondary schools was not dated.</p> <p>The assets included; 968 classrooms, 1151 latrines, 12,035 desks, and 123 teachers' houses for the 111 UPE schools.</p> <p>The 9 UCE schools had 72 classrooms 32, latrines, 1024 desks, 10 laboratories, and 9 teachers' houses.</p> <p>From the sampled schools;</p> <p>Ajali Anyera PS in Agago TC did not have an asset register for the assessor to look at.</p> <p>Patongo PS in Patong TC had an asset register but bit did not match the one at HQ and wasn't accurate. For example, it stated that they had 11 existing classrooms and 13 in need of rehabilitation.</p> <p>Wanglogo PS in Geregere SC had an asset register, but it did not match the one in the DEO's office. For example, it stated that there were 6 latrines, not 5 as per the HQ record.</p>                                                                                                                                                                                                                                                                           | 2 |
| 6 | <p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>                                                                                              | <p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:</p> <ul style="list-style-type: none"> <li>• If 100% school submission to LG, score: 4</li> <li>• Between 80 - 99% score: 2</li> <li>• Below 80% score 0</li> </ul> | <p>There was no evidence provided that the head teachers provided these reports at the time of assessment.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 0 |
| 6 | <p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>                                                                                              | <p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> <li>• If 50% score: 4</li> <li>• Between 30- 49% score: 2</li> <li>• Below 30% score 0</li> </ul>                                                                                                                                                                                                                                                                                                                                                                  | <p>There was evidence adduced to prove that LG had supported Schools in the preparation and implementation of SIPs.</p> <p>The LG oriented the Headteachers on the preparation of the SIPs during the Term III Headteacher's meeting held on 2nd September, 2022. Furthermore, from the visited schools, there were inspection feedback reports that pointed out recommendations of inspections signed by the Inspector and Headteachers were the Headteachers were agreeing to implement the recommendations.</p> <p>From the sampled and visited Schools; Ajali Anyena , Patong and Wanglogo, the Headteachers possessed SIPs and implementation was evident for example the hand washing facilities and the Teacher's attendance books</p>                                                                                                                                                                                                                                                                                                                                                                                                                  | 2 |
| 6 | <p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>                                                                                              | <p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> <li>• If 100% score: 4:</li> <li>• Between 90 - 99% score 2</li> <li>• Below 90% score 0</li> </ul>                                                                                                                                                                                                                                                                                                                                                | <p>There was no evidence availed to the assessment team during the time of assessment</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 0 |

#### Human Resource Management and Development



|   |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |   |
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| 7 | <p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>                                                         | <p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p> | <p>LG approved budget estimates for FY 2023/24 with a wage bill of primary school teachers of shs 11,550,801,000 vote: 803 Agago District Page 37, generated on 28th July, 2023 at 1:23</p> <p>From the three visited Schools of Ajali Anyena PS (urban), Patong PS (semi-urban) and Wanglogo PS (rural), the names and number of teachers as displayed in the Headteachers' office matched with what was on the teacher's deployment list at the DEO's office.</p> <p>For example, as per the Deployment list; Ajali Nyena PS had 9, Patong PS had 14 and Wanglogo PS had 17 teachers including the Headteachers.</p> <p>A school verification trip reviewed the teacher's daily attendance book, posted staff list and duty allocation list observed that the number of teachers and names were similar with those on the deployment list at the DEO</p>                                                                                                                                               | 4 |
| 7 | <p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>                                                         | <p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p> <p>Score 3 else score: 0</p>                                                                                                   | <p>From the three visited Schools of Ajali Nyena PS (urban), Patongo PS (semi-urban) and Wanglogo PS (rural), the names and number of teachers as displayed in the Headteachers' office matched with what was on the teacher's deployment list at the DEO's office.</p> <p>For example, as per the Deployment list; Ajali Anyena PS had 9, Patongo PS had 14 and Wanglogo PS had 17 teachers including the Headteachers.</p> <p>A school verification trip reviewed the teacher's daily attendance book, posted staff list and duty allocation list and observed that the number of teachers and names were similar with those on the deployment list at the DEO's desk</p>                                                                                                                                                                                                                                                                                                                              | 3 |
| 7 | <p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>                                                         | <p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,</p> <p>score: 1 else, score: 0</p>                                                                                       | <p>The LG staff list for 2023 that contained 1168 was found posted on the LG Education notice board.</p> <p>The Headteachers at the visited schools had too posted their respective staff lists for calendar year 2023 on the walls of the Headteacher's offices.</p> <p>Ajali Nyena PS in Agago TC had 9 teachers on ground and this was the same number the assessor found at the DEO's office.</p> <p>Patongo PS in Pantongo TC had 14 teachers on the ground and the assessor found 15 at the DEO's office.</p> <p>Wanglogo PS in Geregere SC had 17 teachers on the ground and the assessor found all 17 at the DEO's office</p>                                                                                                                                                                                                                                                                                                                                                                    | 1 |
| 8 | <p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p> | <p>a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copt to DEO/MEO</p> <p>Score: 2 or else, score: 0</p>                                                    | <p>Agago DLG has 111 schools and the respective Head teachers were appraised by the respective Senior Assistant Secretaries (SAS) as follows;</p> <ol style="list-style-type: none"> <li>1. Owici Jacob of Okweny Primary School (PS) was appraised on 10th December 2022</li> <li>2. Owor Rapheal Ochaya of Kuywee PS was appraise on 30th December 2022</li> <li>3. Owiny John Santo ARUM of Lapirin PS was appraised on 30th December 2022</li> <li>4. Ochola Emmanuel of Oyeler PS was appraised on 31st December 2022</li> <li>5. Olonga Martin of Orina PS was appraised on 25th November 2022</li> <li>6. Ayen Micheal of Oborokome PS was appraised on 2nd December 2022</li> <li>7. Ayella Simon Peter of Locum PS was appraised 3rd December 2022</li> <li>8. Kizito Eric of Arumudwong PS was appraised on 5th December 2022</li> <li>9. Oroma Hellen of Lokapel PS was appraised on 30th December 2022</li> <li>10. Akello GLADIS of Pakor PS was appraised on 31st December 2022</li> </ol> | 2 |

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| 8 | <p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p> | <p>b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM</p> <p>Score: 2 or else, score: 0</p> | <p>Agago DLG had 9 Government aided secondary schools. However, the information regarding appraisal for the head teachers was not available by the time of assessment.</p>                                                                                                                                                  | 0 |
| 8 | <p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p> | <p>c) If all staff in the LG Education department have been appraised against their performance plans</p> <p>score: 2. Else, score: 0</p>                                         | <p>The officials in the department were appraised during the previous year as follows;</p> <p>The District Education Officer Aryemo Esther was appraised by the CAO Mbagwa Muwonge on 30th June, 2023;</p> <p>The Senior Inspector of Schools Odongkara Bosco was appraised by the DEO Aryemo Esther on 30th June 2022.</p> | 2 |
| 8 | <p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p> | <p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>                                | <p>There was no training plan in the DEO's office at the time of assessment</p>                                                                                                                                                                                                                                             | 0 |

#### Management, Monitoring and Supervision of Services.

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| 9 | <p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p> | <p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p> | <p>There was no error in the submission and hence no correction was written.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 2 |
| 9 | <p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p> | <p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p> <p>If 100% compliance, score:2 else, score: 0</p>                                                  | <p>LG Approved budget estimates FY 2022/2023 VOTE:803 (060 education) Agago DLG generated on 24th June 2023 at 2:28 page 46</p> <p>Monitoring and supervision of primary and secondary education was allocated Ugx 105,332,000.</p> <p>This was in line with sector guidelines which call for a minimum allocation of shs 4 million per LG, plus US\$ 336,000 (6 inspections at US\$ 56,000)</p>                                                                                                                                                       | 2 |
| 9 | <p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p> | <p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p> <p>If 100% compliance, score: 2 else score: 0</p>                                                                 | <p>There was evidence that the LG made timely submission of warrants for school's capitation grants for the last FY, in accordance with the requirements of the budget the 3 quarters. as per the dates from the IFMS;</p> <p>Quarter 1 warrants for school's capitation grants were submitted on 15th August 2022</p> <p>Quarter 3 warrants for school's capitation grants were submitted on 23rd January 2023</p> <p>Quarter 4 warrants for school's capitation grants were submitted on 15th May 2023.</p> <p>Therefore, the DLG was compliant.</p> | 2 |

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| 9  | <p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p> | <p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p> <p>If 100% compliance, score: 2 else, score: 0</p>                                                     | <p>There was evidence that the LG did not invoice and communicated school capitation Grant transfers for the previous FY to schools as per the verified transfer vouchers below;</p> <p>Quarter 1 was invoiced on 18th August 2022 which was 6days after the release of funds from MoFPED on 10th August 2022.</p> <p>Quarter 3 was invoiced on 31st January 2023which was 6 days from the release of funds from MoFPED on 23rd January 2022</p> <p>Quarter 4 was invoiced on 17th May 2023 which was 11 days from the release of funds from MoFPED on 2nd May 2022.</p> <p>The invoicing for quarter one and four were delayed hence not being compliant.</p>                                                                                                                                                                                                                                                                                                  | 0 |
| 10 | <p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>                                                                                                                                                | <p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <p>• If 100% compliance, score: 2, else score: 0</p>                                                                                   | <p>Inspection work plan for FY 2022/2023 prepared by the DIS dated 15th February 2022.</p> <p>Objectives included among many other;</p> <p>-To assess the effectiveness of teaching and learning in schools.</p> <p>-To establish the enrolment and attendance of both learners and teachers.</p> <p>-Assess the statuses of the physical facilities and infrastructure in the schools.</p> <p>-Minutes of meeting for deployment of inspection Team for inspection for compliance to SOPS, dated 24th October 2022. Min 3/24/10/2022 deployment was done according to zone sub-county to reduce the problem of long distance. Min 4/10/2022 distribution of tools and discussion. It consisted of 8 teams with three inspectors.</p>                                                                                                                                                                                                                           | 2 |
| 10 | <p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>                                                                                                                                                | <p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <p>• If 100% score: 2</p> <p>• Between 80 – 99% score 1</p> <p>• Below 80%: score 0</p>                                             | <p>Inspection for term 1 was done between 13th March 2023 to 30th March 2023. Full inspection was done for all aspects of teaching and learning and all the schools were inspected.</p> <p>Number of schools inspected <math>111/111 \times 100 = 100\%</math></p> <p>Term 2 was done between 25th July 2023 to 5th August 2023. Assessed teaching and learning and head teachers' performance and level of involvement of parents and communities in school activities</p> <p>Number of schools inspected</p> <p><math>111/111 \times 100 = 100\%</math></p>                                                                                                                                                                                                                                                                                                                                                                                                   | 2 |
| 10 | <p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>                                                                                                                                                | <p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>                                                                             | <p>On 5th July 2023, the department through minute No. EDM/Min.04/04/2023 discussed the Term I, 2023 report were it was noted that there was high rate of teacher's absenteeism with four members were present at the meeting. Some of the corrective actions discussed were; teachers monitoring learners attendance; Head teachers put in place proper mechanism for monitoring learners attendance.</p> <p>The assessment team visited the Schools; Ajali Nyena PS, Patongo PS and Wanglogo PS, the schools had an attendance books as a measure to reduce teacher's absenteeism.</p> <p>At the visited Schools, there was proof of discussion of inspection findings for instance; at Ajali Nyena PS the inspection feedback report dated 16th March, 2023 stated that inspector Godfrey Odongkara after inspecting the school he discussed the findings and recommended that the Headteacher needed ensure that the teachers sign the attendance book.</p> | 2 |
| 10 | <p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>                                                                                                                                                | <p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p> | <p>The three schools sampled were;</p> <p>Ajali Ayena P/S. The inspection report dated 16th March 2023 was left behind.</p> <p>Patongo P/S and Wanglogo P/S did not have any inspection reports left behind.</p> <p>The DIS informed the assessment that he submitted all the reports on 28th September 2023 but there was no acknowledgment given yet.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 0 |

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| 10                           | <p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>           | <p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>                                                                                                                                                  | <p>The Council Committee responsible for education met and discussed delivery issues including inspection as per the minutes below;</p> <p>In a meeting held on 9th August 2022 under Min 03/Educ CBS/ADLG/2022/2023; Presentation and discussion of 1st quarter departmental Reports for FY 2022/23- the committee discussed.</p> <p>- The planned activities for FY 2022/23.e construction of staff room block in 5 P/S at a cost of Ushs 80,000,000 for each block.</p> <p>- Construction of 2 units of staff blocks, 3 blocks of 4 stance VIP Toilets, construction of 3 classroom blocks, construction of 2 classroom block and Head teacher's office, supply of 3-seater desks to Kal AloI P/s.</p> <p>- Construction of 3 stance VIP toilets in 4 P/s at a cost of Ushs 16,000,000 each Toilet.</p> <p>The committee recommended strengthening of inspection of schools by the Education committee to avoid early closure of schools before official days.</p> <p>In meeting held on 9th Many 2023 under Min A/06/Educ/CBS/2022/23- Budget scrutiny got FY 2023/24; The committee noted that;</p> <p>- the funds allocated for the construction of staff houses were not sufficient, yet staff housing was a major challenge in the district. It was resolved that the more funds be allocated for the construction of the staff houses in Agengo and Moodege P/S at a costs of Ushs 108,077,000 each.</p> <p>Meeting held on 29th November 2022 and 17th February 2023, minutes were in draft form and not signed by the Chairperson and Secretary.</p> | 2 |
| 11                           | <p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p> | <p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school, score: 2 or else score: 0</p>                                                                                                                                                                                                                                                               | <p>The DEO informed the assessment that mobilization was done on radio but there was no documentary evidence to prove to the assessment that it was indeed did.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 0 |
| <b>Investment Management</b> |                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |   |
| 12                           | <p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>      | <p>a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, score: 2, else score: 0</p>                                                                                                                                                                                                                                                  | <p>The asset register provided for the 111 primary schools and 9 secondary schools was not dated.</p> <p>The assets included; 968 classrooms, 1151 latrines, 12,035 desks, and 123teachers' houses for the 111 UPE schools.</p> <p>The 9 UCE schools had 72 classrooms 32, latrines, 1024 desks, 10 laboratories, and 9 teachers' houses.</p> <p>From the sampled schools;</p> <p>Ajali Anyera PS in Agago TC did not have an asset register for the assessor to look at.</p> <p>Patongo PS in Patong TC had an asset register but bit did not match the one at HQ and wasn't accurate. For example, it stated that they had 11 existing classrooms and 13 in need of rehabilitation.</p> <p>Wanglobo PS in Geregere SC had an asset register, but it did not match the one in the DEO's office. For example, it stated that there were 6 latrines, not 5 as per the HQ record.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 0 |
| 12                           | <p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>      | <p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, score: 1 or else, score: 0</p> | <p>No Desk appraisal reports were provided for development projects implemented by the LG in the FY 2022/23.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 0 |
| 12                           | <p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>      | <p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0</p>                                                                                                                                                                                                     | <p>No Field appraisal reports were provided for development projects implemented by the LG in the FY 2022/23.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 0 |
| 13                           | <p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>  | <p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, score: 1, else score: 0</p>                                                                                                                                                                                                              | <p>In the Approved procurement plan for the FY 2023/2024 for Agago DLG dated 29th September 2023, there were no planned Seed secondary school infrastructure projects</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 1 |

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| 13 | <p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p> | <p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p> | <p>There was evidence that the school infrastructure was approved by the contracts committee and cleared by the Solicitor General for projects above threshold;</p> <p>For example;</p> <ul style="list-style-type: none"> <li>• For the Construction of a block of 5-stance VIP latrines and supply of water tank at Sports stadium in Agago DLG was approved by the contracts committee on 17th April 2023 under item 01 of minute number 4ADLG/DCCCM/11/2022-2023 and the contract awarded to M/s Loyoro Builders and supply company Ltd at a contract price of UGX 37,109,496.</li> <li>• For the Construction of 3 stance drainable latrines and urinal shelter at Achol Pii Iapono P/S was approved by the contracts committee on 17th April 2023 under item 07 of minute number 4ADLG/DCCCM/11/2022-2023 and the contract awarded to M/s Capteria Hotel Ltd at a contract price of UGX 14,752,805.</li> <li>• For the Construction of two units staff house at St. Peter Anywang P/S was approved by the contracts committee on 20th December 2022 under item 12 of minute number 4ADLG/DCCCM/06/2022-2023 and the contract awarded to M/s Jackson and Brothers Company Limited at a contract price of UGX 79,996,940.</li> </ul>                                                                                                                                                                                                                                                                                                                                                                 | 1 |
| 13 | <p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p> | <p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>                               | <p>Evidence of establishment of the project implementation team was presented as below;</p> <p>In a letter by the CAO, Mr. Nyeko Samuel dated 8th November 2022, the following were appointed as members of the Project Implementation team in the respective capacities for projects under Education such as "Construction of One block of two Unit staff house at Kuywee Primary School;</p> <ul style="list-style-type: none"> <li>• District Engineer (Obali Obote Charles) as the Project Manager</li> <li>• The District Education Officer (Esther Aryem) as the Contracts Manager</li> <li>• District Environment Officer (Angiro Christopher) as a member</li> <li>• District Community Development Officer (Oola Gabriel) as a member</li> <li>• District Labour Officer (Oola Gabriel) as a member</li> </ul> <p>Additionally, a letter dated 24th October 2022 by the Chief Administrative Officer Mr. Oloya Stephen was appointing Mr. Oryem Francis; the Road Inspector, Mr. Elem Julius Peter; the Assistant Engineering Officer, Mr. Owinyi Francis; the Assistant Engineering Officer, Mr. Okello Innocent; the Road Overseer and Mr. Komakech Ronald, the Road Overseer as the Clerks of Works for projects in the respective subcounties was presented.</p> <ul style="list-style-type: none"> <li>- Oryem Francis (Wol, Lokole, and Omot S/C)</li> <li>- Okello Innocent (Lapono, Adilang, and Omiya S/C)</li> <li>- Komakech Ronald (Lira Palwo, Lamiyo, and Arum S/C)</li> <li>- Elem Julius (Patongo, and Kotomor S/C)</li> <li>- Owinyi Francis (Paimol and Parabongo)</li> </ul> | 1 |
| 13 | <p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p> | <p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p>                                                                              | <p>In the approved procurement plan for the FY 2022/2023 Oloya Stephen (CAO) dated 17th August, 2022, there was no implemented Seed school to verify adherence to standard designs.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 1 |
| 13 | <p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p> | <p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY</p> <p><i>score: 1, else score: 0</i></p>                                                               | <p>In the approved procurement plan for the FY 2022/2023 dated 17th August, 2022 by Oloya Stephen (CAO), there were no planned seed secondary school.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 1 |

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| 13                                       | Procurement, contract management/execution<br><br><i>Maximum 9 points on this performance measure</i>                                                                                                     | f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc ..., has been conducted <i>score: 1, else score: 0</i> | <p>There was evidence that was presented to confirm joint technical supervision of education infrastructure projects during critical stage. For example;</p> <p>An inspection report for the construction of 1 block of 4 units staff house plus 2-stance VIP latrine at Lapirin HC II in Lokolo S/C dated 23rd June 2023 was presented. Members of the supervision team included; the District Engineer, District Environment Officer and District Natural Resources Officer/Labour Officer. Some of the observations were that; the Substructure and external walls were complete.</p> <p>For the construction of Kuywee P/S, a supervision report dated 22nd May 2023 by the District Engineer, District Natural Resources Officer and the District Community Development Officer/Labour Officer was presented. Some findings in this report were that internal and external walls were completed and the general quality of work was noted to be good.</p> <p>For the construction of staff house Winunupecek P/s. A monitoring report by District Engineer, District Natural Resource Officer, District Community Development Officer dated 22nd May 2023 was presented. Some of the findings were that; the substructure, external and external walls were completed and that quality of work was good.</p> <p>The construction of one block of 3 class room in Kal-aloi P/s an inspection report dated 22nd May 2023 by District Engineer, District Natural Resource Officer, District Community Development Officer was presented. Some of the findings were that substructure, external walls and internal walls were completed and the work quality was good</p> | 1 |
| 13                                       | Procurement, contract management/execution<br><br><i>Maximum 9 points on this performance measure</i>                                                                                                     | g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i>                                                                                                          | <p>The sector infrastructure projects were properly executed and payments to contractors were made within the specified timeframes and contract terms.</p> <p>For example;</p> <p>- Voucher no. 6441355 dated 28th January 2023 for Construction of a 1 Block of 2 units Staff house at Wimunu Peceke P/S at Ushs 28,534,712 by M/s Jowa Holdings Company Lts was initiated on 1st June 2023 and paid on 28th June 2023 which was within 30 days of processing the payment as per the contract terms.</p> <p>- Voucher no. 5171857 dated 3rd May 2023 for Construction of 1 block of 2 units staff houses at Onudapet P/S at Ushs 18,819,544 by M/s Bed Amol United Company Ltd was initiated on 12th April 2023 and paid on 3rd May 2023 which was within 30 days of processing the payment as per the contract terms.</p> <p>- Voucher no. 6438513 dated 28th June 2023 for Construction of Lapono Seed Secondary School P/S at Ushs 248,805,914 by M/s Zeep Construction (U) Ltd was initiated on 16th June 2023 and paid on 28th June 2023 which was within 30 days of processing the payment as per the contract terms.</p> <p>From the sampled payment vouchers the LG complied with the guideline of paying contractors within 10 working days or 30 days' s per the contract terms.</p>                                                                                                                                                                                                                                                                                                                                                                            | 1 |
| 13                                       | Procurement, contract management/execution<br><br><i>Maximum 9 points on this performance measure</i>                                                                                                     | h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i>                                                                                                        | The Local Government Education department submitted it procurement plans to PDU on the 14th April, 2022. This was within the set timelines as per the guidelines                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 1 |
| 13                                       | Procurement, contract management/execution<br><br><i>Maximum 9 points on this performance measure</i>                                                                                                     | i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law <i>score 1 or else score 0</i>                                                                                                                | In the approved procurement plan for the FY 2022/2023 dated 17th August 2022, there were no planned School infrastructure project (Seed Secondary School). Hence no procurement file was presented for verification of its completeness                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 1 |
| <b>Environment and Social Safeguards</b> |                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |   |
| 14                                       | Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.<br><br><i>Maximum 3 points on this performance measure</i> | Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, <i>score: 3, else score: 0</i>                                                                                                                          | There was no grievance raised/reported and therefore no recording was done.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 3 |
| 15                                       | Safeguards for service delivery.<br><br><i>Maximum 3 points on this performance measure</i>                                                                                                               | Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation<br><br><i>Score: 3, or else score: 0</i>                                                      | There was no evidence that the guidelines were disseminated at the time of assessment.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 0 |

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| 16 | <p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p> | <p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i></p>                                                                                                                                                      | <p>There was evidence that the DLG had a costed ESMP incorporated within the BoQ and Contractual document:</p> <ol style="list-style-type: none"> <li>1. Ref no. AGAG 611/WRKS/2022/2023/00011, Construction of one block of 2 Units House at Kal-Aloi Primary School. Bills of Quantities, Element no.10 Environment and Social Safeguards, G-Seedlings UGX 300,000, H-awareness campaign and mitigation measures UGX 100,000, I--HIV/AIDs Campaigns UGX 100,000 and J-Provision of Occupational health and safety measures UGX 100,000 on Pg. 15</li> <li>2. Ref no. AGAG/611/WRKS/2022/2023/00005, Construction of one block of 2 Units staff House at Onudapet Primary School. Bills of Quantities Element no.10 Environment and Social Safeguards, G-Seedlings UGX 300,000, H-awareness campaign and mitigation measures UGX 100,000, I--HIV/AIDs Campaigns UGX 100,000 and J-Provision of Occupational health and safety measures UGX 100,000 on Pg. 17.</li> <li>3. Ref no. AGAG 611/WRKS/2022/2023/00003 Construction of one block of 2 Units Staff House at Kuywee Primary School. Bills of Quantities, Element no.10 Environment and Social Safeguards, G-Seedlings UGX 300,000, H-awareness campaign and mitigation measures UGX 100,000, I--HIV/AIDs Campaigns UGX 100,000 and J-Provision of Occupational health and safety measures UGX 100,000, no Pg. number.</li> </ol>                                                                                                                                                                                                                                                                  | 2 |
| 16 | <p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p> | <p>b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i></p>                                                                                                                                                                            | <p>There was proof of land ownership for school projects by the DLG:</p> <ol style="list-style-type: none"> <li>1. Land for Kal Aloi Primary School, dated 5th November, 2012, for the Construction of one block of 2 Units House at Kal-Aloi Primary School. The Agreement Titled Chambers of Hon. Justice Alfonse Chigamoy Qwiny - Dollo. This was signed by Alfonse Chigamoy Qwiny - Dollo and 23 representative members who included for instance DCDO, Foret Officer, PTA members etc.</li> <li>2. Form 19, FREEHOLD OFFER, Ref 70196, dated 19th April 2021, for the Construction of one block of 2 Units Staff House at Kuywee Primary School. 4 Acres in Kuywee Sub-county, Kuywee West Village, Paluti Parish. Stamped and signed by Oyet Alex Labeja - Senior Land Management Officer -Agago District. (Kiywee Primary School).</li> <li>3 Form 19, FREEHOLD OFFER, Ref 71354, dated 5th October 2023 for the construction of one Block of 2 Units Staff house at Wimunu Primary School. 5 Hectares in Lira Palwo Sub-county Headquarters, Wimunu-Picek Village, Lunyirinyiri Parish. Stamped and signed by Oyet Alex Labeja - Senior Land Management Officer -Agago District. (Wimunu Primary School).</li> <li>4. . Notice of hearing of application for grant of Freehold for the community of Onuduapet Village Omatowee parish (not dated), for the Construction of one block of 2 Units staff House at Onudapet Primary School. signed by Obiola Sam - Chairperson Area Land Committee. Inspection Report by Area Land Committee attached (this is still in the process of acquiring Land title for Onuduapet Primary school).</li> </ol> | 1 |
| 16 | <p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p> | <p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i></p> | <p>There was no evidence that the Environment Officer and the DCDO conducted support supervision and monitoring of projects to ascertain compliance with ESMPs including follow up of projects. No monthly reports were provided at the time of the assessment.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 0 |
| 16 | <p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p> | <p>d) If the E&amp;S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments</p> <p><i>Score: 1, else score:0</i></p>                                                                                                   | <p>There was evidence that the E&amp;S certification was approved and signed as indicated in the certificates below:</p> <ol style="list-style-type: none"> <li>1. Certificate no.3 dated 6th June 2023 for the Construction of one block of 2 classroom and office at Kal-Aloi Primary school. Had a total amount of UGX 90,363,540. Signed by District Engineer, Senior Environment Officer, and District Community Development Officer. Payment made on 28th June 2023 with PV no. 6441465</li> <li>2. Certificate no.1 dated 11th April 2023 for the Construction of one block of 2 units Staff House at Omudapet Primary School, this had a total of UGX 76,000,500. Signed by District Engineer, Senior Environment Officer, and District Community Development Officer. Payment made on 3rd May 2023, PV no. 5171857</li> <li>3. Certificate no.3 dated 6th May 2023 for the Construction of 2 units' staff house at Wimunu Picek Primary school. Had a total amount of UGX 80,000,000. Signed by District Engineer, Senior Environment Officer, and District Community Development Officer. Payment made on 28th June 2023 with PV no. 6441355.</li> <li>4. Certificate no.3 dated 20th March 2023 for the Construction of 2 units' staff house at Kuywee Primary School. Had a total amount of UGX 79,823,300. Signed by District Engineer, Senior Environment Officer, and District Community Development Officer. Payment made on 30th March 2023 with PV no. 4692277.</li> </ol>                                                                                                                                                              | 1 |

| No.                                              | Summary of requirements                                                                                                                                           | Definition of compliance                                                                                                                                                                                                                       | Compliance justification                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Score |
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| <b>Local Government Service Delivery Results</b> |                                                                                                                                                                   |                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |       |
| 1                                                | <p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p> | <p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> <li>• By 20% or more, score 2</li> <li>• Less than 20%, score 0</li> </ul>                         | <p>The summaries from the HMIS (107) reports for the previous FY (2021/2022) for the three sampled health facilities indicated the of deliveries as follows:</p> <ul style="list-style-type: none"> <li>• Wol HC III = 579 deliveries</li> <li>• Lira-Palwo HC III = 693 deliveries</li> <li>• Paimol HC III = 510 deliveries</li> </ul> <p>Total = 1,782 deliveries</p> <p>For the current FY (2022/2023), the deliveries were as follows:</p> <ul style="list-style-type: none"> <li>• Wol HC III = 502 deliveries</li> <li>• Lira-Palwo HC III = 621 deliveries</li> <li>• Paimol HC III = 430 deliveries</li> </ul> <p>Total = 1,553 deliveries</p> <p>Note: The LG had no HC IV.</p> <p>The percentage in the utilisation of health care services was:</p> <p>Current deliveries – Previous deliveries X 100</p> <p>Previous</p> <p><math>\frac{1,553-1,782}{1,782} \times 100 = -12.8\%</math></p> <p>Therefore, the LG registered a decline in the utilisation of health care services.</p> <p>The Biostatistician explained that the decline in the number of deliveries could be attributed to increased uptake of family planning services in the district.</p> | 0     |
| 2                                                | <p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>              | <p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> <li>• 70% and above, score 2</li> <li>• 50% - 69%, score 1</li> <li>• Below 50%, score 0</li> </ul>                             | <p>The average score in Health for LLG performance assessment for FY 2021/2022 was 55% and for FY 2022/2023 it was 80% hence the average score was 68%.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 1     |
| 2                                                | <p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p>              | <p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p> <ul style="list-style-type: none"> <li>• 75% and above; score 2</li> <li>• 65 – 74%; score 1</li> <li>• Below 65; score 0</li> </ul> | <p>A letter from the Ministry of Health dated 7th December 2022 addressed to all CAOs highlighting the termination of RBF Funding was availed.</p> <p>Likewise, according to the checklist for Health Specialists (section 5.2.1, 2b) provided by OPM, this indicator is not applicable. To score 0 for all LGs. Indicator to be dropped from the maximum score during analysis.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 0     |
| 3                                                | <p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>                          | <p>a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.</p>                                                     | <p>From the provided evident the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines e.g;</p> <ul style="list-style-type: none"> <li>- Contract no. AGA 803/WRKS/2022/2023/00016 Project; Construction of 1 Block of 04 units staff house and 02 stance drainable Latrine at Abilonino HCII by Abilicon Co Ltd</li> <li>- Contract no. AGA 803/WRKS/2022/2023/00058 Project; Construction of 4 stance Pit Latrine and Bath Shelter at Okwadoko HC II by Adunu Construction Ltd</li> <li>- Contract no. AGA 803/WRKS/2022/2023/00061 Project; Construction of 4 stance Pit Latrine and Bath Shelter at Lapirin HC II by M/s Awinyoma Oruu Co Ltd</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                    | 2     |



|   |                                                                                                                                                       |                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |   |
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| 3 | Investment performance:<br>The LG has managed health projects as per guidelines.<br><br>Maximum 8 points on this performance measure                  | b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/suppliers score 2 or else score 0                                                      | The verified certificates indicated that the DHO, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers. For example;<br><br>Certificate no 2; dated 19th June 2023 for Ushs 25,582,814; Contract no. AGA 803/WRKS/2022/2023/00016 Project; Construction of 1 Block of 04 units staff house and 02 stance drainable Latrine at Abilonino HCII by Abilicon Co Ltd was certified by DHO, LG Engineer, Environment Officer and CDO on 19th June 2023 and payment effected on 28th June 2023.<br><br>Certificate no 1; dated 20th June 2023 for Ushs 5,650,008; Contract no. AGA 803/WRKS/2022/2023/00058 Project; Construction of 4 stance Pit Latrine and Bath Shelter at Okwadoko HC II by Adunu Construction Ltd was certified by DHO, LG Engineer, Environment Officer and CDO on 20th June 2023 and payment effected on 28th June 2023<br><br>Certificate no 1; dated 6th June 2023 for Ushs 12,026,094; Contract no. AGA 803/WRKS/2022/2023/00061 Project; Construction of 4 stance Pit Latrine and Bath Shelter at Lapirin HC II by M/s Awinyoma Oruu Co Ltd was certified by DHO, LG Engineer, Environment Officer and CDO on 6th June 2023 and payment effected on 28th June 2023. | 2 |
| 3 | Investment performance:<br>The LG has managed health projects as per guidelines.<br><br>Maximum 8 points on this performance measure                  | c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0                                                                    | The contract price variations in the contract price of sampled health investment projects were within +/- 202% of the MoH Engineer's estimates. For example;<br><br>• For the construction a staff house in Abililino HC II. The contract was signed between the DLG and M/s Abilocon Company Limited at a contract price of UGX 116, 455, 930 against the engineers estimate of UGX 120,000,000 giving the variation of +2.95%<br><br>• For the construction of Abilonino OPD at Abilonino HC II, the contract was signed between the DLG and M/s Apuru and Family Company Ltd at UGX 240,210,333 against the engineers estimate of UGX 244,797,509 giving a variation of +1.87%<br><br>• For the construction of a four unit staff house at Lapirin HC III. Contract between the DLG and M/s Aliwol Enterprises Ltd was signed at UGX 116,362,230 against the engineers estimates of UGX 120,000,000 giving the variation of +3.0%                                                                                                                                                                                                                                                                                                                                     | 2 |
| 3 | Investment performance:<br>The LG has managed health projects as per guidelines.<br><br>Maximum 8 points on this performance measure                  | d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY<br><br>• If 100 % Score 2<br><br>• Between 80 and 99% score 1<br><br>• less than 80 %: Score 0 | The IVA disagrees with the assessor on this indicator. The indicator required consideration of upgrade of HC II to HC III or construction of a HCIII. There was no upgrade of a healthy facility                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 2 |
| 4 | Achievement of Standards:<br>The LG has met health staffing and infrastructure facility standards<br><br>Maximum 4 points on this performance measure | a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure<br><br>• If above 90% score 2<br><br>• If 75% - 90%: score 1<br><br>• Below 75 %: score 0                                              | At Agago DLG, they recruited 6 key staff as per the staff structure as follow: 1 District Health Officer, 1 Assitant District Health Officer, 1 Senior Health Educator, 1 Biostatistician and 1 District Cold Chain Technician and 1 Inventory Assistant. As per health staffing and infrastructure facility standards, the medical staff have to be with a total of 9 staff at the DLG.<br><br>Therefore $6/9 \times 100 = 66.66\%$<br><br>So the percentage of health facility workers position that are filled was at 66.7%<br><br>However, the District Health Office could not provide the disaggregated data on the various HC III and HC IV for comparison                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 0 |
| 4 | Achievement of Standards:<br>The LG has met health staffing and infrastructure facility standards<br><br>Maximum 4 points on this performance measure | b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.<br><br>• If 100 % score 2 or else score 0                                                                  | In the LG approved procurement plan for FY2022/2023 signed by Oloya Stephen (CAO) and dated 17th August 2022, there were two health centre upgrades that were planned.<br><br>Based on the findings of the field visit, the health infrastructure construction projects (HC Up grades) meet the approved MoH facility design.<br><br>For example;<br><br>For construction of maternity and general ward with inner toilet Latrine with renovation of antenatal ward at Patongo HC III, the structure under construction had the Delivery room (7.4mX5.4m) internal dimensions, the male ward (3.5mX5.0m) internal dimensions, the female ward (6.6mX5.0m) internal dimensions as per the design drawings.<br><br>For construction of OPD ward and 2 stance pit latrine at Abilonino HC II, overall dimensions were 18.565m x 11.65m. the structure comprised of the following facilities among others and these were as per the design drawings; EPI Room(3.0mx3.6m) internal dimensions, the Examination room (3.0mx3.6m) internal dimensions, Phlebotomy (2.69mx2.15m) internal dimension. Other facilities included the Counselling room, Medicine Store, Reception, Dispensing room with a ramp at the entrance as per the design drawing.                           | 2 |

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| 5 | <p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>                                                                                                                                                     | <p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>                                                                                                                                                                | <p>There was evidence that information on positions of health workers filled was accurate.</p> <p>The Assessor reviewed the health workers' staff list from the DHO's office (dated 20th October 2023) and checked the staff lists, duty rosters and work attendance registers at each of the 3 sampled health facilities that were visited. The on DHO's staff list was in tandem with records of staff at each of the visited health facilities.</p> <p>The health workers at the health facilities were as follows:</p> <ol style="list-style-type: none"> <li>1. Wol HC III: 13 health workers (in-charge was Mr. Ongom Innocent, Senior Clinical Officer)</li> <li>2. Lira-Palwo HC III: 15 health workers (in-charge was Mr. Odongkara Christopher, Senior Clinical Officer)</li> <li>3. Paimol HC III: 15 health workers (in-charge was Mr Ogenga Charles, Senior Clinical Officer)</li> </ol>                                                                                                                              | 2 |
| 5 | <p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>                                                                                                                                                     | <p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>                                                                                                                                          | <p>From the DHO, it was established that one health facility (Patongo HC III) was upgraded to HC IV in the last financial year. The upgrade was still on going.</p> <p>The upgrade involved the construction of maternity and general wad, a four-stance pit latrine and renovation of ANC clinic block.</p> <p>The review of the Annual Budget Performance report 2022/2023, page 35 (upgrade and construction of health facilities), showed that there was one health facility (Patongo HC III) that was being upgraded to HC IV.</p> <p>From the above, there was evidence that the information on health facilities upgraded or constructed was accurate</p>                                                                                                                                                                                                                                                                                                                                                                   | 2 |
| 6 | <p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p> | <p>a) Health facilities prepared and submitted Annual Workplans &amp; budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>           | <p>The annual work plans and budgets for the FY 2022/2023 for the three sampled health facilities were reviewed and the submissions were as follows:</p> <ol style="list-style-type: none"> <li>1. WOL HC III - submitted on 08th March 2023 by the In-Charge Mr Ongom Innocent and approved by Wokorach Kizito (Chairperson HUMC) on 08th March 2023 and Lubangakene Emmanuel (Town Clerk) on 08th March 2023</li> <li>2. LIRA-PALWO HC III - submitted on 06th April 2023 by the In-Charge Odongkara Christopher Lakwantedo Innocent and approved by Okidi Patrick (Chairperson HUMC) (no date) and Awor Susan (Town Clerk) on 07th April 2023</li> <li>3. PAIMOL HC III - submitted on 21st March 2023 by the In-Charge Ogenga Charles, endorsed by Ogeto Francis (Chairperson HUMC) on 21st March 2023 and approved by Amito Filder (Town Clerk) on 21st March 2023.</li> </ol> <p>The annual work plan and budget for Lira-Palwo was submitted late on 6th April 2023, contrary to the LG Planning Guidelines for Health.</p> | 0 |
| 6 | <p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p> | <p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul> | <p>There was no evidence of submission of Annual Budget Performance Reports for the previous FY from the health facilities.</p> <p>There was no plausible reason from the Ag. DHO for the lack of submission of the Annual Budget Performance reports by the in-charges.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 0 |
| 6 | <p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p> | <p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>       | <p>There was no evidence that the health facilities developed and reported on implementation of facility improvement plans that incorporated performance issues identified in monitoring and assessment reports in the last financial year.</p> <p>From the interaction with the Ag. DHO, it was not clear as to why the health facility in-charges did not develop these improvement plans.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 0 |
| 6 | <p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p> | <p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,</p> <ul style="list-style-type: none"> <li>• score 2 or else score 0</li> </ul>                      | <p>There was no evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely. The Assessment Team sampled three health facilities and reviewed all the monthly and quarterly HMIS reports (HMIS 105 and HMIS 106a respectively) for the FY 2022/2023. The submissions of the three sampled health facilities were as follows:</p> <p>WOL HC III</p> <p>HMIS 105:</p> <ul style="list-style-type: none"> <li>• July 2022: 06/08/2022</li> <li>• Aug 2022: 06/09/2022</li> <li>• Sept 2022: 06/10/2022</li> <li>• Oct 2022: 05/11/2022</li> <li>• Nov 2022: 06/12/2022</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                  | 0 |

- Dec 2022: 07/01/2023
- Jan 2023: 07/02/2023
- Feb 2023: 05/03/2023
- Mar 2023: 07/04/2023
- April 2023: 07/05/2023
- May 2023: 07/06/2023
- June 2023: 07/07/2023

HMIS 106a:

- Quarter 1: 06/10/2022
- Quarter 2: 06/01/2023
- Quarter 3: 07/04/2023
- Quarter 4: 07/07/2023

LIRA-PALWO HC III

HMIS 105:

- July 2022: 02/08/2022
- Aug 2022: 02/09/2022
- Sept 2022: 05/10/2022
- Oct 2022: 05/11/2022
- Nov 2022: 06/12/2022
- Dec 2022: 06/01/2023
- Jan 2023: 06/02/2023
- Feb 2023: 06/03/2023
- Mar 2023: 04/04/2023
- April 2023: 04/05/2023
- May 2023: 06/06/2023
- June 2023: 02/07/2023

HMIS 106a:

- Quarter 1: 06/10/2022
- Quarter 2: 08/01/2023 (late)
- Quarter 3: 04/04/2023
- Quarter 4: 04/07/2023

PAIMOL HC III

HMIS 104:

- July 2022: 06/08/2022
- Aug 2022: Not available
- Sept 2022: 07/10/2022
- Oct 2022: 06/11/2022
- Nov 2022: 07/12/22
- Dec 2022: 07/01/2023
- Jan 2023: Not available
- Feb 2023: 05/03/2023
- Mar 2023: 05/04/2023
- April 2023: 06/05/2023
- May 2023: Not available
- June 2023: 07/07/2023

HMIS 106a:

Quarter 1: No evidence of submission

Quarter 2: 05/04/2023

Quarter 3: No evidence of submission

Quarter 4: 6/7/2023

Note: The LG does not have the revised HMIS 104. HMIS 104 is NTDS MDA implementation report but not quarterly report as reflected in the assessment manual. The quarterly report is HMIS 106a, which is the old version.

|   |                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |   |
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| 6 | <p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p> | <p>e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0</p> <p>Note: Municipalities submit to districts</p>           | Not applicable as RBF was suspended in the last financial year.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 0 |
| 6 | <p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p> | <p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p> | According to the checklist for Health Specialists (section 5.2.1, 6f) provided by OPM, this indicator is not applicable. To score 0 for all LGs. Indicator to be dropped from the maximum score during analysis.                                                                                                                                                                                                                                                                                                                                                                                                           | 0 |
| 6 | <p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p> | <p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>                                   | The assessment never accessed all quarterly (4) Budget Performance Reports for FY 2022/2023 for review                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 0 |
| 6 | <p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p> | <p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>                                                        | <p>There was evidence that the LG developed an approved Performance Improvement Plan (PIP), for the weakest performing health facilities. The PIP was prepared by the Ag. DHO (Ms. Drajea Helen) on 05th July 2023 and approved by CAO on 08th July 2023.</p> <p>The PIP highlighted that Patonga Prisons HC II was the least in performance (ranked as 43rd) on the list. Notably, among the HC IIIs, Pacer HC III was the least performing HC (ranked as 35th) on the list.</p> <p>The improvement was planned in the areas of immunisation (particularly DPT3), antenatal care attendance, deliveries among others.</p> | 1 |
| 6 | <p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p> | <p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>                                                                                                                | At the time of assessment, there was no evidence that the LG implemented the PIP for the weakest performing health facilities.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 0 |

#### Human Resource Management and Development

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| 7 | <p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p> | <p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p> | <p>The LG budgeted for their health workers' salaries for the FY 2023/2024. Ugx8,033,323,254.8892 for 347 health workers as indicated on page 8 of 93 of the approved Budget document that was signed by the CAO, District chairperson and DHO on the 30th/March/2023</p> | 2 |
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| 7 | <p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p> | <p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>                     | <p>The LG presented a staff register for the FY 2023/2024 and the three sampled facilities had the following;</p> <p>1.Wol HCIII had 13 health workers out of 19 required health workers.</p> $= 13 \times 100$ $19$ $=68.42\%$ <p>2.Paimal HCIII had 15 health workers out of 19 required health workers.</p> $=15 \times 100$ $19$ $=78.94\%$ <p>3.Lira-Palwo HCIII had 15 health workers out of 16 required health workers.</p> $= 15 \times 100$ $19$ $= 78.94\%$ <p>One among the three sampled health facilities scored below 75% of the required staff</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 2 |
| 7 | <p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p> | <p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>                                                                                                                | <p>There was evidence that health workers were working in health facilities where they were deployed.</p> <p>The Assessor reviewed the health workers' deployment list from the DHO's office (dated 20th October 2023) and checked the duty rosters and work attendance registers at each of the 3 sampled health facilities that were visited.</p> <p>The health workers at the health facilities were as follows:</p> <ol style="list-style-type: none"> <li>1. Wol HC III: 13 health workers (in-charge was Mr. Ongom Innocent, Senior Clinical Officer)</li> <li>2. Lira-Palwo HC III: 15 health workers (in-charge was Mr. Odongkara Christopher, Senior Clinical Officer)</li> <li>3. Paimol HC III: 15 health workers (in-charge was Mr Ogenga Charles, Senior Clinical Officer)</li> </ol> <p>The health workers' deployment list was in tandem with records of staff working at each of the visited health facilities.</p>                                                                                                                                                                 | 3 |
| 7 | <p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p> | <p>c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0</p>                                                | <p>There was evidence that the LG had publicized health worker's deployment and disseminated this, as evidenced by the display of the list of deployed health workers on health facilities' notice boards, dated.</p> <p>In each of the three sampled and visited health facilities (i.e Wol HC III – staff list dated 1st March 2023, Lira Palwo HC III – staff list dated 1st July 2023 and Paimol HC III – staff list dated 11th October 2023), the displayed lists indicated the name of the facility, name of the staff, cadre, and gender among others.</p> <p>At each health facility, the list was in consistent with the deployment list from the DHO's office, dated 20th October 2023.</p>                                                                                                                                                                                                                                                                                                                                                                                               | 2 |
| 8 | <p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>                                                                                      | <p>a) Evidence that the DHO/MMOHs has:</p> <p>i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0</p> | <p>At Agago DLG, the DHO conducted appraisals for all the Health facility in-charges as indicated below;</p> <ol style="list-style-type: none"> <li>1. Labeja Peter Assistant Nursing Officer was appraised on 1st July 2021</li> <li>2. Amito Alice Assistant Nursing Officer appraised on 1st June, 2021</li> <li>3. Amono Stella Nursing Assistant was appraised on 1st July 2021</li> <li>4. Mugisha Ivan Nursing Assistant was appraised on 1st July 2021</li> <li>5. Drakuru Pauline Ozua Nursing Officer was appraised on 1st July 2021</li> <li>6. Ayoo Margaret Enrolled Midwife was appraised on 1st July 2021</li> <li>7. Ochen James Kealth Assistant was appraised on 1st July 2021</li> <li>8. Angello Grace Samantha Nursing Assistant appraised on 1st July 2021</li> <li>9. Ochen James Canowira Health Assistant was appraised on 1st July 2021</li> <li>10. Okiror Samuel Health Inspector appraised on 1st July 2021.</li> </ol> <p>A copy was submitted to HRM office as a practice.</p> <p>However, dates of appraisal were outside the month of June of the previous FY.</p> | 0 |

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| 8 | <p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p> | <p>ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0</p> | <p>At Agago DLG, the DHO/conducted appraisals for the following randomly selected staff as follows:</p> <ol style="list-style-type: none"> <li>1. Labeja Peter Assistant Nursing Officer was appraised on 1st July 2021</li> <li>2. Amito Alice Assiatnat Nursring Officer apparised on 1st June, 2021</li> <li>3. Amono Stella Nursing Assistant was appraised on 1st July 2021</li> <li>4. Mugisha Ivan Nursing Asssistant was appraised on 1st July 2021</li> <li>5. Drakuru Pauline Ozua Nursing Officer was appraised on 1st July 2021</li> <li>6. Ayoo Margaret Enrolled Midwife was appraised on 1st July 2021</li> <li>7. Ochen James Kealth Assistant was appraised on 1st July 2021</li> <li>8. Angello Grace Samantha Nursing Assitant appraised on 1st July 2021</li> <li>9. Ochen James Canowira Health Assiatant was appraised on 1st July 2021</li> <li>10. Okiror Samuel Health Inspector appraised on 1st July 2021.</li> </ol> <p>The information that was provided didn't conform to the indicator which required that health facility workers be appraised by health Facility-in charges.</p> | 0 |
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| 8 | <p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p> | <p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p> | <p>During the assessment period, Agago DLG didn't provide evidence to ascertain whether corrective actions based on the appraisal reports</p> | 0 |
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| 8 | <p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p> | <p>b) Evidence that the LG:</p> <p>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p> | <p>There was evidence that the LG conducted training of health workers in accordance to the training plan.</p> <p>The Assessor reviewed the training plan for the Health department, dated 25th June 2022 (prepared by Mr. Ocitti JPK - for the DHO). Training sessions in different thematic areas (for example, Reproductive, Maternal, Neonatal, Child and adolescent Health (RMNCAH), Sexual Exploitation and Abuse in Health (SEAH) and gender mainstreaming, Health Unit Management Committee (HUMC) and waste management) were planned.</p> <p>For example, according to the training plan, Aloyoto Christine (Senior Nursing Officer in DHO's Office) was to under training in SEAH and gender mainstreaming on 20th to 22nd December 2022. This evidence was further supported by Aloyoto Christine's training report, dated 27th September 2022.</p> | 1 |
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| 8 | <p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p> | <p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p> | <p>From the review of the training records for the health department, it was established that the training activities were conducted and documented in the training database for FY 2022/2023.</p> <p>For example, the training database showed the topics covered, cadre targeted and time frame/duration of training, albeit the staff trained and cost of training, were note specified.</p> | 1 |
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#### Management, Monitoring and Supervision of Services.

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| 9 | <p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p> | <p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p> | <p>There was no evidence to the effect that the CAO Confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing of the status of the health facilities if correct or wrong.</p> <p>The DHO presented a letter (dated 11th July 2023) from the CAO to the Permanent Secretary, MoH indicating that 40 health facilities received PHC funds in the previous FY. However, there was no evidence that this letter was delivered and/or received in the MoH.</p> <p>Remarkably, the attached list of health facilities (prepared by the Ag. DHO on 05th July 2023) indicated that a total 43 health facilities received PHC fund in the previous FY. This list was inconsistent with the cover letter by the CAO.</p> | 0 |
| 9 | <p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p> | <p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>           | <p>The documentary evidence indicated that the LG allocated 17% towards monitoring service delivery and management of District health services which was in line with the health sector grant as per the guidelines.</p> <p>The DHOs budget as per the Approved budget page 35 was Ushs 50,650,000 and the allocation was Ushs 8,616,000 which was 17%.</p> <p>Hence being compliant.</p>                                                                                                                                                                                                                                                                                                                                                                            | 2 |

|    |                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |   |
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| 9  | <p>N23. Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p> | <p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p>                                                                         | <p>There was evidence that the DLG made timely submission of warrants to health facilities for the last FY, in accordance with the requirements of the budget the 4 quarters. as per the dates from the IFMS;</p> <p>Quarter 1 warrants for school's capitation grants were submitted on 15th August 2022</p> <p>Quarter 2 warrants for direct grant transfers to health facilities were submitted on 17th October 2023.</p> <p>Quarter 3 warrants for school's capitation grants were submitted on 25th January 2023</p> <p>Quarter 4 warrants for school's capitation grants were submitted on 25th May 2023.</p> <p>Therefore, the DLG was compliant.</p>                                                                                                                                                                                                                                         | 2 |
| 9  | <p>N23. Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p> | <p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0</p>                                          | <p>There was evidence that the LG did not invoice and communicated school capitation Grant transfers for the previous FY to schools as per the verified transfer vouchers below;</p> <p>Quarter 1 was invoiced on 16th August 2022 which was 3 days after the release of funds from MoFPED on 10th August 2022</p> <p>Quarter 2 was invoiced on 24th October 2022 which was 3 days after the release of funds from MoFPED on 20th October 2022</p> <p>Quarter 3 was invoiced on 25th January 2023 which was 3 days from the release of funds from MoFPED on 23rd January 2023</p> <p>Quarter 4 was invoiced on 24th May 2023 which was 6 days from the release of funds from MoFPED on 17th May 2023</p> <p>The invoicing for quarter four was delayed hence not being compliant.</p>                                                                                                                | 0 |
| 9  | <p>N23. Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p> | <p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p> | <p>There was Evidence that the LG/City has publicized on the notice boards all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoPPED as below;</p> <p>Quarter 1 was publicised on 16th August 2022 which was 3 days after the release of expenditure limits from MoFPED on 10th August 2022 Quarter 2 was displayed on 24th October 2022 which was 3 days after the release of the expenditure limits from MoFPED on 20th October 2022</p> <p>Quarter 3 was publicised on 25th January 2023 which was 3 days from the release of expenditure limits from MoFPED on 23rd January 2023</p> <p>Quarter 4 was publicised on 24th May 2023 which was 6 days from the release of expenditure limits from MoFPED on 17th May 2023</p> <p>The invoicing for quarter four was delayed hence not being compliant.</p> | 0 |
| 10 | <p>Routine oversight and monitoring: The LG monitored, provided hands - on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>                                                            | <p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>                                                                            | <p>The review of the minutes of quarter four performance review meeting held on 14th July 2023 (signed by Adoch Catherine, Biostatistician) indicated that under Minute Number 14/07/QRPM/2023, shortage of data tools was noted as a challenge (page 16). Consequently, the DHMT recommended that the missing data tools be distributed.</p> <p>The above mentioned recommendation was implemented as evidenced in Requisition and Issue Voucher (HMIS Form 017), Serial Number 064406, dated 14th July 2023. The Data tools, which included Annual report booklet, OPD register, Laboratory register, Monthly report booklet among others, were issued to Lamiyo HC III by Omara Emannuel, authorised by Akeria George and received by Acalo Rose.</p>                                                                                                                                             | 2 |
| 10 | <p>Routine oversight and monitoring: The LG monitored, provided hands - on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>                                                            | <p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>                                          | <p>From the review of the minutes of the quarterly performance review meetings and the attendance lists for the previous FY 2022/2023, it was noted that the meetings involved all health facilities in-charges, implementing partners, DHMTs and key LG departments.</p> <p>For instance, the attendance lists of the review meeting for quarter two, held on 13th February 2023, showed that the meeting involved health facilities in-charges, CAO, SAS, Town Clerk, PHRO, CUAMM Implementing Partner, DHMT among others.</p>                                                                                                                                                                                                                                                                                                                                                                     | 1 |
| 10 | <p>Routine oversight and monitoring: The LG monitored, provided hands - on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>                                                            | <p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>                      | <p>The supervision reports for all the quarters for FY 2022/2023 were reviewed. It was established that the LG supervised the General hospital (Dr. Ambrosoli hospital - PNFP) 100%.</p> <p>Note: The LG has no HC IV.</p> <p>The supervision as follows:</p> <p>Quarter 1: Report dated 28th September 2022, signed by Aloyoto Christine (Nursing Officer).</p> <p>Quarter 2: Report dated 05th January 2023, signed by Ocitti JPK (District Malaria Focal Person).</p> <p>Quarter 3: Report dated 19th April 2023, signed by Ocitti JPK (District Malaria Focal Person).</p> <p>Quarter 4: Report dated 8th July 2023, signed by Okello Samuel (District Cold Chain Technician).</p>                                                                                                                                                                                                               | 1 |

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| 10                           | <p>Routine oversight and monitoring: The LG monitored, provided hands - on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>                                                        | <p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <p>• If not applicable, provide the score</p>                      | <p>At the time of assessment, there was no evidence that the Health Sub-District carried out support supervision of lower level health facilities within the previous FY.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 0 |
| 10                           | <p>Routine oversight and monitoring: The LG monitored, provided hands - on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>                                                        | <p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p> | <p>The 1st Quarter support supervision report, dated 28th September 2022 (signed by Aloyoto Christine and Approved by Drajea Helen Iraku – Ag. DHO), indicated that the Karamajong cattle rustlers were disturbing the health worker in Paimol HC III, especially at night. This was causing insecurity and fear among the health workers.</p> <p>During the follow up DHMT meeting held on 30th September 2023 to discuss Quarter One support supervision findings, it was noted that the Government deployed the Uganda People's Defence Forces (UPDF) to safeguard the community along the district border.</p>                                                                                                                               | 1 |
| 10                           | <p>Routine oversight and monitoring: The LG monitored, provided hands - on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>                                                        | <p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>                                                                                                   | <p>There was evidence that the LG provided support to all health facilities in the management of medicines and health supplies.</p> <p>For instance, the Support Supervision report, dated 22nd August 2022 (signed by Odongkara, District Medicines Management Supervisor), revealed that 18 health facilities were supervised.</p> <p>Further, the report highlights that at Lukole HC III, which was among the health facilities supervised, stock book was not in use and stock cards were not updated, and were incorrectly filled. The supervision team mentored health workers on use of the stock book and stack cards and recommended that a continuous professional education on the same be conducted amongst the health workers.</p> | 1 |
| 11                           | <p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p> | <p>a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0</p>                                                                                                                  | <p>The documentary evidence indicated that the LG allocated 30% towards promotion and prevention activities which was in line with the health sector grant as per the guidelines.</p> <p>The DHOs budget as per the Approved budget page 35 was Ushs 50,650,000 and the allocation was Ushs 15,260,125 which was 30%.</p> <p>Hence being compliant.</p>                                                                                                                                                                                                                                                                                                                                                                                          | 2 |
| 11                           | <p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p> | <p>b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0</p>                                                                                                  | <p>There was evidence that the DHT led health promotion, disease prevention and social mobilization activities.</p> <p>Minutes of the meeting held on 13th January 2023 to discuss Quarter two support supervision findings and follow up status of the implementation of action points were reviewed. The minutes showed that there was improper waste disposal at Lira Kato HC III. To address this gap, the DHT agreed to take action by training the health workers on waste management.</p>                                                                                                                                                                                                                                                 | 1 |
| 11                           | <p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p> | <p>c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0</p>                                                                                                            | <p>There was evidence of follow—up actions taken by the DHT on health promotion and disease prevention issues.</p> <p>The evidence was established in the training report, dated 14th July 2023 by Okiror Samuel (Senior Environmental Health Officer). The report revealed that there was a training of health workers on health care waste management on 14th July 2023 in CAO's Board Room.</p>                                                                                                                                                                                                                                                                                                                                               | 1 |
| <b>Investment Management</b> |                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |   |
| 12                           | <p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>                                          | <p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>                                                                                                                      | <p>At the time of assessment, there was no evidence to the effect that the LG had an updated Assets register which sets out health facilities and equipment.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 0 |



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| 12 | <p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p> | <p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDPIII);</p> <p>(ii) desk appraisal by the LG; and</p> <p>(iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG)):</p> <p>score 1 or else score 0</p> | <p>No Desk appraisal reports were provided for development projects implemented by the LG in the FY 2022/23.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 0 |
| 12 | <p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p> | <p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>                                                                                                                                                                                    | <p>Selected field appraisal reports for health projects for FY 2022/2023 for Agago LG showed that there was compliance. Construction of 4-Stance Latrine at Okwadoko HC, and Construction of Maternity Ward at Patongo T/C, for example, were both in AWP for 2022/2023, and were both appraised on 05.07.2022, and duly endorsed by the DNRO, District Environment Officer, District Planner, DHO, among others</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 1 |
| 12 | <p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p> | <p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>                                                                                                                                                                            | <p>1. E&amp;S CC Screening for the construction of 4 stance pit latrine and bath shelter at Okwadoko HCII on the 16th January 2023.</p> <p>2. E&amp;S CC Screening for the construction of 4 unit staff houses at Abilonino HCII on the 16th January 2023.</p> <p>For the above two projects, they were signed by DFO (Okidi John) For SEO and DCDO (Oola Gabriel).</p> <p>3. E&amp;S CC Screening for the construction of maternity and general ward Patongo HCIII. Dated and signed on the 16th January 2023 by Okidi John and Oola Gabriel (DCDO) and stamped on 23rd January 2023.</p> <p>4. E&amp;S CC Screening for the construction of one block of 4 units staff house at Lapirin HCIII dated 4th July 2022 and signed by Olal David (DNRO) and Paska Adong (SCDO).</p> <p>For each of the health projects, there was a lumpsum allocated budget of 600,000/- along the screening form seen on file which the district termed as the developed costed ESMP document</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 1 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>                     | <p>a. Evidence that the LG health department timely (by April 30 for the current FY ) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>                                                                                                                              | <p>The LG health department submitted its procurement plan for the current FY 2023/2024 on 20th April, 2023. This was within the timelines as per guidelines.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 1 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>                     | <p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>                                                                                                                                                                                                                                                    | <p>The Health department submitted its procurement request with in the first quarter of FY 2023/2024 as per projects sampled.</p> <p>For example;</p> <ul style="list-style-type: none"> <li>• Construction of maternity ward at Opyelo HC II to be completed the year 2024/2025, the PP1 form was submitted on the 6th July 2023.</li> <li>• Construction of one block of four units staff house and a two stance of pit latrine at Otumpili HC Iland bath shelter was submitted on the 6th July 2023.</li> <li>• Construction of 4 stance of pit latrine with bath shelter at Lukole HC IIII was submitted on 6th July 2023.</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 1 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>                     | <p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>                                                                                                                                                     | <p>There was evidence that all infrastructure investments projects for the FY 2022/2023 under health were approved by the Contracts Committee. For instance;</p> <p>1. For the construction of OPD ward and a 2- stance pit latrine at Abilonino HC II, the project was approved by the Contracts Committee on 20th December 2022 under item 16 of Min. No. 4ADLG/DCCM/06/2022/2023 and procurement reference number: AGAG803/Wrks/2022/2023/00012 at a contract sum of UGX 240,210,333. Contract awarded to M/s Apuru and Family Company Ltd. The solicitor general approved it on the 14th April, 2023</p> <p>2. For construction of four stance pit latrine and shelter at Okwadoko HC II. The project was approved by the contracts committee on 17th April 2023 under item 5 of Minute no. 4ADLG/DCCM/11/2022/2023 at a contract sum of UGX 13,500,000. The contract was awarded to M/s Adunong Construction limited. There was no need of the solicitor general approval since it was below the thresh hold value of UGX 200M</p> <p>3. For construction of four stance pit latrine and bath shelter at Lapirin HC II, the project was approved by the contracts committee on 17th April 2023 under item 3 of minute no. 4ADLG/DCCM/11/2022/2023 at a contract sum of UGX 13,874,247. The contract was awarded to M/s Awimyoma Oruu Company Limited. There was no need of the solicitor general approval since it was below the thresh hold value of UGX 200M</p> | 1 |

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| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p> | <p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>                                                                                                                                                                                                      | <p>Evidence that the LG established a project implementation team for health projects was give as below;</p> <p>In a letter by the CAO, Mr. Nyeko Samuel dated 8th November 2022, the following were appointed as members of the PIT in the respective capacities for projects under the health department;</p> <ul style="list-style-type: none"> <li>• District Engineer (Obali Obote Charles) as the Project Manager</li> <li>• The District Health Officer (Drajea Hellen Iraku) as the Contracts Manager</li> <li>• District Environment Officer (Angiro Christopher) as a member</li> <li>• District Community Development Officer (Oola Gabriel) as a member</li> <li>• District Labour Officer (Oola Gabriel) as a member</li> </ul> <p>Additionally, a letter dated 24th October 2022 by the Chief Administrative Officer Mr. Oloya Stephen was appointing Mr. Oryem Francis; the Road Inspector, Mr. Elem Julius Peter; the Assistant Engineering Officer, Mr. Owinyi Francis; the Assistant Engineering Officer, Mr. Okello Innocent; the Road Overseer and Mr. Komakech Ronald, the Road Overseer as the Clerks of Works for education projects in the respective subcounties was presented.</p> <p>- Oryem Francis (Wol, Lokole, and Omot S/C)</p> <p>- Okello Innocent (Lapono, Adilang, and Omiya S/C)</p> <p>- Komakech Ronald (Lira Palwo, Lamiyo, and Arum S/C)</p> <p>- Elem Julius (Patongo, and Kotomor S/C)</p> <p>- Owinyi Francis (Paimol and Parabongo)</p> | 1 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p> | <p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>                                                                                                                                                                                                                    | <p>There was evidence that the health infrastructure followed the standard technical designs provided by MoH. for example;</p> <ol style="list-style-type: none"> <li>1. For the construction of a maternity and general ward with inner toilet Latrine with renovation of antenatal ward at Patongo HC III, the structure under construction had the Delivery room (7.4mX5.4m) internal dimensions, the male ward (3.5mX5.0m) internal dimensions, the female ward (6.6mX5.0m) internal dimensions as per the design drawings.</li> <li>2. For the construction of OPD ward and 2 stance pit latrine at Abilonino HC II, overall dimensions were 18.565m x 11.65m. the structure comprised of the following facilities among others and these were as per the design drawings; EPI Room(3.0mx3.6m) internal dimensions, the Examination room (3.0mx3.6m) internal dimensions, Phlebotomy (2.69mx2.15m) internal dimension. Other facilities included the Counselling room, Medicine Store, Reception, Dispensing room with a ramp at the entrance as per the design drawing.</li> </ol>                                                                                                                                                                                                                                                                                                                                                                                             | 1 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p> | <p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>                                                                                                                                              | <p>For the construction of Maternity ward at Patongo HC III Upgrade. Progress report by clerk of works (Olyel Raymond) dated 19/05/2023 to CAO with attention of District Engineer was received by the DE on 22/05/2023. Some recommendations made are that improve site management and work schedule must be availed to the employer in addition to the need to facilitate the report production.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 1 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p> | <p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p> | <p>There was no healthy facility upgraded from HC II to HC III, it was necessary to have monthly site meetings.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 1 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p> | <p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>                                                                              | <p>The following evidence was presented as evidence that the LG carried out technical supervision of works at all health centre upgrades that were planned for;</p> <p>A status report dated 26th April, 2023 showing the status of Construction of General/Maternity ward at Patongo HC III ugrade as item number 3, Construction of 2 unit staff house plus 2 stance drainable latrine at Lapirini HC II upgrade as item number 17, Construction of 1 block of four units staff house plus 2 stance VIP latrine at Abilonino HC II upgrade as Item number 18 and signed by the District Engineer, District Natural Resources Officer and District Community Development Officer was presented as evidence that the LG carried out technical supervision of works at all health infrastructure projects. However, only one monthly report was presented and this was not sufficient to justify the monthly supervision.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 1 |

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| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p> | <p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p> | <p>The sampled payment vouchers indicated that the DHO verified and initiated payments for contractors within the specified time frame (within 2 weeks Or 10 working days after receiving payment requests) as indicated below;</p> <p>Voucher Payment Vouchers 6428433 dated 28th June 2023 for Ushs 7,598,228: Contract no. AGA 611/WRKS/2021/2022/00022 Project; Construction of 1 block of 04-unit staff house at Omiya Pacwa HCII by Apuru and Family Company Ltd, invoice was raised on 14th June and payment process was verified and initiated by DHO on 19th June 2023 which was 3 days from receipt of payment request.</p> <p>Voucher 5299091 dated 17th May 2023 for Ushs 24,349,676: AGA 611/WRKS/2022/2023/00016 Project; Construction of 1 Block of 04 units staff house and 02 stance drainable Latrine at Abilonino HCII by Abilicon Co Ltd, invoice was raised on 25th April 2023 and payment process was verified and initiated by DHO on 25th April 2023 which was the same day of receipt of payment request.</p> <p>Voucher 6427265 dated 28th June 2023 for Ushs 37,449,369: AGA 803/WRKS/2021/2022/00016 Project; Construction of 1 Block of 04 units staff house and 02 stance drainable Latrine at Abilonino HCII by Abilicon Co Ltd, invoice was raised on 23rd June and payment process was verified and initiated by DHO on 23rd June 2023 which was the same day of receipt of payment request.</p> | 1 |
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| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p> | <p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p> | <p>The LG had a complete procurement file for each health infrastructure projects implemented in the FY 2022/2023 as per sample below;</p> <ol style="list-style-type: none"> <li>1. For the construction of OPD ward and a 2 stance pit latrine at Abilonino HC II, procurement reference number: AGAG803/Wrks/2022/2023/00012. the project had an evaluation report dated 24th October to 18th November 2022 under evaluation minute number ADLG/ECM/01/22/20. The report was approved by the Contracts Committee on 20th December 2022 under item 16 of Min. No. 4ADLG/DCCM/06/2022/2023 and Contract was awarded to Apuru and Family Company Ltd at a contract sum of UGX 240,210,333 as per works agreement dated 17th April 2023. The solicitor general clearance was dated 14th April, 2023.</li> <li>2. For the construction of four stance pit latrine and shelter at Okwadoko HC II of procurement reference number AGAG803/Wrks/2022/2023/00058... The file had an evaluation report dated 12th to 14th April 2023. The contracts committee approved the report on 17th April 2023 under item 5 of minute no 4ADLG/DCCM/11/2022/2023 and awarded the contract to Adunong Construction limited at a contract sum of UGX 13,500,000 as per agreement dated 3rd May 2023.</li> <li>3. For the construction of four stance pit latrine and bath shelter at Lapirin HC II Procurement reference number AGAG803/Wrks/2022/2023/00061, the file had an evaluation report dated 12th to 14th April 2023 which was approved by the contracts committee on 17th April 2023 under item 3 of minute no 4ADLG/DCCM/11/2022/2023 and the contract was awarded to Awimyoma Oruu Company Limited at a contract sum of UGX 13,874,247. As per agreement between the parties dated 2nd May 2023.</li> </ol> | 1 |
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## Environment and Social Safeguards

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| 14 | <p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p> | <p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p> | <p>There was no grievance raised/reported and therefore no recording was done in the Log book.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 2 |
| 15 | <p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>                                                | <p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>        | <p>Training Report of Health Workers on Healthcare waste management for FY 2022/2023 held on 14th July 2022 in CAO's Boardroom. compiled and signed by Okiror Samuel – Ag. Senior Environmental Health Officer. The training in cooperated elements on waste management from the guideline. 49 people (mainly Health Workers) attended the training and received copies of the guidelines.</p> <p>There was also a report on follow up of Health Facilities in Agago District on implementation of Healthcare waste management guidelines (September to December 2022). This report was dated 20th January 2023 and had a team of 8 members including the ADHO-MCH who conducted the support supervision. Support supervision was conducted for 10 Health centres.</p> | 2 |

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| 15 | <p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>                                                                           | <p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>    | <p>3 Construction sites had the following:</p> <ol style="list-style-type: none"> <li>1. Lapirin Health Center III had an incinerator, rubbish pit where the rubbish was burnt and a placenta pit. The Annual Work plan 2023/2024 was in place with a budget line on Compound maintenance. It had total amount of UGX 1,215,000 on Pg.50/56 which covered mowing the compound, purchase of wheel barrow, rake and washing facilities. Work plan was signed on 15th March 2023 by Onyanga Kenneth Justine – In Charge, Okello D.Queto – Chairperson HUMC and Ojok Ben – SAS.</li> <li>2. Patongo Health Centre III had an incinerator non-functional because it required renovation, placenta pit and rubbish pit. The health Centre had Annual Work plan 2023/2024 with a budget line on Excavating Rubbish pit UGX 500,000 and Renovating Incinerator – UGX 500,000 on Pg.54/55. This was signed on 21st March 2023 by Angeyo Grace – In-Charge and Approved by Obwok Peter – Town Clerk.</li> <li>3. Okwadoko Health Center II had a rubbish pit for collection and burning. The health Centre had Annual Work Plan 2023/2024 with a budget line on compound maintenance UGX 200,000 Pg.82/82. This was signed on 16th March 2023 by Tue Sam – In Charge, Ojera Alex – Chairperson HUMC and Okwir Alex – SAS.</li> </ol> <p>The Health Centres indicated that there was a service provider “GREEN LABEL” that manages medical waste, however, there was no MOU found at the DHOs office.</p>                                                                                                                                                                                                                                                                                                            | 2 |
| 15 | <p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>                                                                           | <p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>                                                                                                                 | <p>Report of Training of Health Workers on Healthcare waste management for FY 2022/2023 held on 14th July 2022 in CAO's Boardroom. This was compiled and signed by Okiror Samuel – Ag. Senior Environmental Health Officer. The training in cooperated elements on waste management from the guideline and awareness raising on waste management in health facilities. 49 people mainly health workers attended the training.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 1 |
| 16 | <p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p> | <p>a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0</p>                                                            | <p>There was evidence that the costed ESMPs were incorporated into the designs, BoQs and contractual documents as indicated below:</p> <ol style="list-style-type: none"> <li>1. Ref no. AGAG 611/WRKS/2022/2023/00013 Construction of one block of 4 Units Staff House at Lapirin Health Centre III. Bills of Quantities, Element no.7 Environment and Social Safeguards, G-Seedlings UGX 300,000, H-awareness campaign and mitigation measures UGX 100,000, I--HIV/AIDs Campaigns UGX 100,000 and J- Provision of Occupational health and safety measures UGX 100,000 on Pg. 17 of 17.</li> <li>2. Ref no. AGAG 611/WRKS/2022/2023/000016 Construction of one block of 4 Units Staff House and 2 stance pit latrine at Abilonino Health centre II, Element no.7 Environment and Social Safeguards, G-Seedlings UGX 300,000, H-awareness campaign and mitigation measures UGX 100,000, I--HIV/AIDs Campaigns UGX 100,000 and J-Provision of Occupational health and safety measures UGX 100,000 on Pg. 21.</li> <li>3. Ref; AGAG803/WRKS/2022/2023/00012 Construction of OPD Ward and 2 stance pit latrine at Abilonino Health Centre II. Bills of Quantities, Element no.7 Environment and Social Safeguards, G-Seedlings UGX 300,000, H-awareness campaign and mitigation measures UGX 100,000, I--HIV/AIDs Campaigns UGX 100,000 and J- Provision of Occupational health and safety measures UGX 100,000.</li> </ol>                                                                                                                                                                                                                                                                                                                                                                                  | 2 |
| 16 | <p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p> | <p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p> | <p>There was evidence that all health sector projects were implemented on Land where the DLG had proof of ownership as indicated below:</p> <ol style="list-style-type: none"> <li>1. Certificate of title, Freehold Register, Volume GUL31 Folio 24. (2.4250 hectares) Plot 15, Block 5 at LAPIRIN CENTRAL. Ownership – Agago District Local Government (Lapirin Health Centre III of P.O Box 1 Agago. Dated 4th August 2020 and signed by Registrar of Titles. For the Construction of one block of 4 Units Staff House at Lapirin Health Centre III.</li> <li>2. Land consent between Lira Kato Sub-County Lower Local Government and the Family of Oryem Naman George and Family of Otto Mathew for the Construction of Abilonino Health Centre II, dated 25th May 2022 and land located in Orwee village, Abilonino parish. This was signed by Oryem Haman George and 5 other family members, Okot James Menyamei – Sub-county Chief and Ojok Kit Okidi – LC III and witnessed by area Council Odok Peter. For the Construction of one block of 4 Units Staff House and 2 stance pit latrine at Abilonino Health centre II.</li> <li>3. Freehold Offer for Patongo Health Centre III, County Headquarters cell, Oporot Ward, Patongo Town Council. Approximate area 3.208Hs. This was made on 22nd November 2017 and signed and stamped by the District Land Officer – Olal David Churchill. For the Construction of Maternity and General Ward Patongo Health Centre III</li> <li>4. Freehold Offer for Okwadoko Health Centre II, Nyanza West, Rogo Parish, Wol Sub-county. Approximate area 3 Acres. This was made on 30th October 2020 and signed and stamped by the Senior Land Management Officer – Oyet Alex Labeja. For the Construction of Latrine at Okwadoko Health Centre II.</li> </ol> | 2 |
| 16 | <p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p> | <p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>                                   | <p>There was no evidence provided at the time of the assessment that the Environment Officer and CDO had conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs. There were no monthly reports provided to the assessment team.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 0 |

|                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
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| <p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p> | <p>d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0</p> | <p>There was evidence that certification forms for all the Health sector projects were completed and signed as seen below:</p> <ol style="list-style-type: none"> <li>1. Certificate no. 1 for the Construction of Latrine at Okwadoko Health Center II dated 20th June 2023 with total amount of UGX 6,498,060 and stamped and signed by DHO, DE, SEO and DCDO. Payment made on 12th July 2023, voucher no. 6442129.</li> <li>2. Certificate no. 1 dated 28th March 2023 for the construction of one Block of 4 Units Staff houses at Lapirin Health Center III with total amount of UGX 27,436,730. Stamped and signed by DE, SEO, DCDO, DHO. Payment made on 17th May 2023 voucher no. 5314461.</li> <li>3. Certificate no.2 dated 19th June 2023, for the Construction of 4 Units of Staff house at Abilonino Health Centre II with total amount of UGX 29,422,730. Stamped and signed by the DE, SEO, DCDO and DHO. Payment made on 28th June 2023 voucher no. 6427265.</li> <li>4. Certificate no.1 dated 20th June 2023 for the Construction of Maternity and General Ward at Patongo Health Centre III with total amount of UGX 128,137,000. Stamped and signed by the DE, SEO, DCDO and DHO. Payment was made on 28th June 2023 voucher no. 6438780.</li> </ol> |
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| No.                                              | Summary of requirements                                                                                                                                                      | Definition of compliance                                                                                                                                                                                                                                                                               | Compliance justification                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Score |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|
| <b>Local Government Service Delivery Results</b> |                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |       |
| 1                                                | Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees<br><br><i>Maximum 4 points on this performance measure</i> | a. % of rural water sources that are functional.<br><br>If the district rural water source functionality as per the sector MIS is:<br><br>o 90 - 100%: score 2<br><br>o 80-89%: score 1<br><br>o Below 80%: 0                                                                                          | Information from the Ministry MIS FY 2023/2024 indicated that Agago District rural water source functionality was at 54% Below 80%:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 0     |
| 1                                                | Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees<br><br><i>Maximum 4 points on this performance measure</i> | b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:<br><br>o 90 - 100%: score 2<br><br>o 80-89%: score 1<br><br>o Below 80%: 0 | Information for Agago DLG from the Ministry MIS FY 2023/2024 indicated that: -<br><br>• The established WSCs were 565.<br><br>• The functional WSCs were 552<br><br>This translated to 98% of facilities with functional Water and Sanitation Committees.<br><br>(552/ 565) *100 = 98%                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 2     |
| 2                                                | N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment<br><br><i>Maximum 8 points on this performance measure</i>          | a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is;<br><br>• Above 80%, score 2<br><br>• 60% - 80%, score 1<br><br>• Below 60%, score 0                                                                                     | At the time of the assessment, Agago DLG had assessed 26 LLGs and the scored results were as follows;<br><br>Adilang subcounty at 71%, Adilang TC at 68%, Agago TC at 68%, Agago at 43%, Ajali at 66%, Arum at S/C at 81%, Geregere at 54%, Kalongo TC at 33%, Kuwyeet at 80%, Lai Mutto TC at 62%, Lamiyo at 65%, Laperebong at 73%, Lapono S/C at 87%, Lira Kato at 76%, Lira Palwo S/C at 69%, Lira Palwo TC at 74%, Lokole S/C at 78%, Omiya Pacwa S/C at 65%, Omot S/C at 66%, Paimol S/C at 75%, Parabongo S/C at 80%, Patongo S/C at 68%, Patongo TC at 73%, Wol TC at 51%, Wol S/C at 77%<br><br>To calculate the average score therefore, =<br>(71+68+68+43+66+81+54+33+57+80+62+65+73+87+76+69+74+78+65+66+75+80+68+63+51+77)/26<br>= 67.69%<br><br>The percentage of 67.69% was in the range of 60 -80%.                                                                                                                                                                                                                                                                                                                                                                     | 1     |
| 2                                                | N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment<br><br><i>Maximum 8 points on this performance measure</i>          | b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.<br><br>o If 100 % of water projects are implemented in the targeted S/Cs: Score 2<br><br>o If 80-99%: Score 1<br><br>o If below 80 %: Score 0                  | Agago District rural safe water coverage was at 72.05% as per the annual progress report for FY2022/2023 dated the 8th July 2022 and signed by the CAO. Received by the Central Registry – MWE on 8th July 2022 and Annual performance plan for FY 2022/2023 dated 15th July 2022 and signed by the CAO. Received by the PS MoWE on 22nd July 2022 were considered.<br><br>Thirteen (13) of the nineteen (19) sub-counties were below the district's safe water coverage. The thirteen (13) Sub-counties were: - Adilang at 60%, Ajali at 53%, Arum at 61%, Kuyee at 47%, Lire Kato at 66%, Lapono at 70% , Laperebong at 56%, Lira Palwo at 53%, Lokole at 53%, Omiya Pacwa at 68%, Omot at 68%, Owol at 34% , Geregere at 67%<br><br>From the above thirteen, eight sub-counties were budgeted for in the FY2022/2023.<br><br>DWO's total budget for FY 2022/2023 was Ushs 627,807,468. Out of this budget, the sum of Ushs 536,300,299 was allocated to Sub-counties below District coverage.<br><br>This indicated that 85.4 % of the budget for FY 2022/2023 was allocated to Sub-counties below the District safe water average.<br><br>(536,300,299 /627,807,468) x 100 = 85.4 % | 1     |

|   |                                                                                                                                                                      |                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |   |
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| 2 | <p>N23. Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p>Maximum 8 points on this performance measure</p> | <p>c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates</p> <p>o If within +/-20% score 2</p> <p>o If not score 0</p>                       | <p>The contract price of 4 sampled WSS infrastructure investments for the previous FY2022/2023 had all were - within +/- 20% of engineers estimates as analyzed below;</p> <ol style="list-style-type: none"> <li>1. The Rehabilitation of pipe water (AGA803/WRKS/2022/2023/00079). The engineer's estimate was UGX.70,086,000= against the contract price of UGX.66,600,000= which is a variation of 4.97%</li> <li>2. The Siting, drilling, test pumping, water quality analysis, casting, and installation of 4 boreholes Lot 2 (AGA803/WRKS/2022/2023/00018). The engineer's estimate was UGX.101,429,672= against the contract price of UGX.88,002,418=, which is a variation of 13.43%</li> <li>3. The Design of piped scheme in Lapono subcounty (AGA803/WRKS/2022/2023/00086). The engineer's estimate was UGX.38,000,000= against the contract price of UGX.33,021,120=, which is a variation of -13.1%</li> <li>4. The Drilling of 02 production wells at Akeno North and Amyel in Lapono (AGA803/WRKS/2022/2023//00002). The engineer's estimate was UGX.80,000,000= against the contract price of UGX.79,921,400= which is a variation of -09.83%</li> </ol> | 2 |
| 2 | <p>N23. Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p>Maximum 8 points on this performance measure</p> | <p>d. % of WSS infrastructure projects completed as per annual work plan by end of FY.</p> <p>o If 100% projects completed: score 2</p> <p>o If 80-99% projects completed: score 1</p> <p>o If projects completed are below 80%: 0</p> | <p>Agago DWO annual performance report dated 17th July 2023 reported the status of all planned projects against their achievements as:</p> <p><b>Sampled projects</b></p> <p><b>Projects:</b> Drilling of new boreholes</p> <p>No. of planned projects: 9</p> <p>No. of completed projects: 9</p> <p>Remarks: 9 completed were completed and are functional.</p> <p><b>Projects:</b> Drilling of production wells</p> <p>No. of planned projects: 02</p> <p>No. of completed projects: 02</p> <p>Remarks: 02 production wells were completed and are functional.</p> <p>Projects: Construction of VIP latrines</p> <p>No. of planned projects: 01</p> <p>No. of completed projects: 01</p> <p>Remarks: 01VIP latrine was completed and is functional</p> <p>Total planned projects = 11</p> <p>Total completed projects = 11</p> <p>% = <math>(11/11 \times 100 = 100\%)</math></p> <p>Details are reflected in the Annual performance report for FY 2022/2023 dated 17th July 2023 signed by CAO, received by The Permanent Secretary on 17th July 2023</p>                                                                                                              | 2 |
| 3 | <p>New Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>                 | <p>a. If there is an increase in the % of water supply facilities that are functioning</p> <p>o If there is an increase: score 2</p> <p>o If no increase: score 0.</p>                                                                 | <p><b>Ministry MIS FY2021/2022</b></p> <p>-The functioning % of water supply facilities for Agago DLG were:</p> <p>Rural = 77%</p> <p>Urban = 75%</p> <p>= <math>(77+75)/2</math> % = 76%</p> <p><b>Ministry MIS FY 2022/2023:</b></p> <p>-The functioning % of water supply facilities for Agago DLG were:</p> <p>Rural = 54%</p> <p>Urban = 57%</p> <p>= <math>((54+57)/2)</math> % = 55.5%</p> <p>The above results indicated a decline in the percentage of water supply facilities from FY 2021/2022 to FY 2022/2023</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 0 |

|   |                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |   |
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| 3 | <p>New Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p> | <p>b. If there is an Increase in % of facilities with functional water &amp; sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <p>o If increase is more than 1% score 2</p> <p>o If increase is between 0-1%, score 1</p> <p>o If there is no increase : score 0.</p> | <p>Ministry MIS FY 2021/2022:</p> <p>Agago DLG were:</p> <p>-The established WSCs were 779.</p> <p>-The functional WSCs were 771</p> <p>= (771 /779) *100 = 98.97%</p> <p>This translated to the 98.97% of facilities with functional Water and Sanitation Committees</p> <p>Ministry MIS FY 2022/2023:</p> <p>Agago DLG</p> <p>-The established WSCs were 565</p> <p>-The functional WSCs were 552</p> <p>= (552 /565) *100 = 97.70%</p> <p>This translated to 97.70% of facilities with functional Water and Sanitation Committees.</p> <p>The above results indicated a decline of 1.27% of facilities with functional Water and Sanitation Committees from FY 2021/2022 and FY 2022/2023</p> | 0 |
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#### Performance Reporting and Performance Improvement

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| 4 | <p>Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance</p> <p><i>Maximum 3 points on this performance measure</i></p> | <p>The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3</p> | <p>Agago DWO Annual performance report for FY 2022/2023 dated 17th July 2023 signed by CAO, received by the Central Registry - MWE on 17th July 2023</p> <p>reported on WSS facilities as follows;</p> <p>Projects: Drilling of new boreholes</p> <p>No. of planned projects: 9</p> <p>No. of completed projects: 9</p> <p>Remarks: 9 completed were completed and are functional.</p> <p>Projects: Drilling of production wells</p> <p>No. of planned projects: 02</p> <p>No. of completed projects: 02</p> <p>Remarks: 02 production wells were completed and are functional.</p> <p>Projects: Construction of VIP latrine</p> <p>No. of planned projects: 01</p> <p>No. of completed projects: 01</p> <p>Remarks: 01 VIP latrine was constructed and is functional</p> <p>Projects: Rehabilitation of piped water scheme</p> <p>No. of planned projects: 01</p> <p>No. of completed projects: 01</p> <p>Remarks: 01 piped water scheme is completed and is functional.</p> <p>On 31st October 2023, three (3) WSS infrastructures were sampled in three Sub-counties during the field visit.</p> <p><b>WSS infrastructure 1</b></p> <p>Activity: Construction of Borehole</p> <p>Sub-County: Patongo</p> <p>Parish: Kal</p> <p>Village: Opyelo Central</p> <p>Details from BOQ &amp; Drawings</p> <p>-Preliminaries, general deep borehole siting</p> <p>-Drilling</p> <p>-Casting platform and hand pump installation</p> <p>Finding (Field visit):</p> <p>-The deep well was drilled, cast, and installed</p> <p>-The platform was constructed as per the drawings</p> <p>-The platform was labelled with DWD No. 81754 with the date of construction as 08th June 2023.</p> <p>-Soak pit seen constructed</p> <p>The borehole was fenced.</p> <p>-Borehole seen functional at time of assessment.</p> | 0 |
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### WSS infrastructure 2

Activity: VIP latrine

Sub-County: Agengo

Parish: Agengo

Village: Kenya Central

Details from BOQ & Drawings

- Drawings were available

Finding (Field visit):

-The 2 stances and 2 urinals VIP latrine were as was constructed

-The Ramp (1&2), as per drawings, were not seen at the time of assessment.

-The VIP latrine was seen locked and not functional at the time of assessment.

### WSS infrastructure 3

Activity: Rehabilitation of piped water scheme

Sub-County: Arum

Parish: Arum

Village: Acholi PII

Details from BOQ & Drawings

-water tank installation

-Solar panel installation and fenced

-water tap stands installation

Finding (Field visit):

-The two (2) water tanks of 10,000 litres capacity installed

- The three (3) solar panels installed

-Tap stands installed in staff quarters of HC III

-Tap stands were seen as non-functional at the time of assessment

In summary, the – DWO accurately reported on WSS facilities constructed in the FY 2022/2023; however, the performance of these facilities was not as reported.

|   |                                                                                                                                                                                                  |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |   |
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| 5 | <p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p> | <p>a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement):</p> <p>Score 2</p> | <p>For FY 2022/2023, Agago DLG Water Office collected and compiled quarterly information on Sub- County water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement as below:</p> <p><b>Quarter I report.</b></p> <p>- Report compiled on 25th October 2022.</p> <p>- Information was captured under this report.</p> <p>- The Permanent Secretary – MWE received a report on 28th October 2022.</p> <p><b>Quarter II report</b></p> <p>-Report compiled on 10th January 2023.</p> <p>-Information was captured under this report.</p> <p>-The Permanent Secretary - MWE received a report on 11th January 2023.</p> <p><b>Quarter III report</b></p> <p>-Report compiled on 18th April 2023,</p> <p>-Information was captured under this report</p> <p>-Report was received by the Central Registry – MWE on 20th April 2023</p> <p><b>Quarter IV report</b></p> <p>-Report compiled on 17th July 2023.</p> <p>-Information was captured under this report.</p> <p>-Report was received by the Central Registry – MWE on 17th July 2023.</p> | 2 |
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| 5 | <p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p> | <p>b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0</p>                                                                           | <p>The data collected and compiled in the DWO MIS consolidated report dated 01/07/2022, Received by Central Registry - MWE on 22nd July 2022 and captured and data on domestic water supply in Agago. were summarised as below:</p> <p>District: Agago</p> <p>Sub-counties visited: (All)</p> <p>Total water points stayed: 1438.</p> <p>No. of water points that are functional =1200</p> <p>% of Water points that are functional = 83.44%</p> <p>Data on domestic water supply in Agago</p> <p>However, no details were presented at the time of assessment.</p> | 0 |
| 5 | <p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p> | <p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p> | <p>There was no evidence presented at the time of assessment on whether the DWO supported 25% of the lowest performing LLGs.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                    | 0 |

#### Human Resource Management and Development

|   |                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |   |
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| 6 | <p>Budgeting for Water &amp; Sanitation and Environment &amp; Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p> | <p>a. Evidence that the DWO has budgeted for the following Water &amp; Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation &amp; hygiene); 1 Engineering Assistant (Water) &amp; 1 Borehole Maintenance Technician: Score 2</p> | <p>There was evidence that the DWO had budgeted for UGX 22,380,000 to recruit 1 Assistant District water officer in charge of mobilization and 1 Assistant District water officer in charge of sanitation as indicated on the recruitment plan for the District Water Office for FY 2022/2023 that was prepared by the Water Engineer Oroma Emmanuel on 31st march 2023 to the attention of Principal Human Resource Officer.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 2 |
| 6 | <p>Budgeting for Water &amp; Sanitation and Environment &amp; Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p> | <p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment &amp; Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>                                                            | <p>There was no evidence provided that the Environment and Natural Resources Officer had budgeted for the Environment &amp; Natural Resources staff at the time of assessment</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 0 |
| 7 | <p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>          | <p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>                                                                                                                                                           | <p>Evidence showed that the District Water Office had appraised it's staff against agreed performance plans during the previous FY as follows;</p> <ol style="list-style-type: none"> <li>1. Mr. Oyo Henry Okidi M.K the Health Assistant was appraised by Amyon Anna the Senior Community Development Officer on 30th June 2023</li> <li>2. Mr. Ogwang Solomon Driver was appraised by Eng. Oroma Emmanuel the District Water Officer on 30th June 2023</li> <li>3. Mr. Odokonyero Francis Ongom Borehole Technician was appraised by Eng. Oroma Emmanuel the District Water Officer on 30th June 2023</li> <li>4. Mr. Olyel Raymond the Assistant Water Officer was appraised by Eng. Oroma Emmanuel on 30th June 2023</li> <li>5. Mr. Oryema Charles Wamala the Community Development Officer was appraised by Eng. Oroma Emmanuel on 30th June 2023.</li> <li>6. Eng. Oroma Emmanuel the Civil Engineer was appraised by the Senior Engineer on 30th June 2023</li> </ol> | 3 |

|   |                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                           |   |
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| 7 | <p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p> | <p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database :<br/>Score 3</p> | <p>The Water and Environmental Department training plan to address the staff capacity gaps was developed, and the Training plan for the water department Water Department Year 2022/2023 was received by the CAO on 31/03/2023.</p> <p>Two (2) staff members were trained in line with the plan as per the training report dated 15th Sep 2023 and signed by the CAO on 15th Sep 2023</p> | 3 |
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#### Management, Monitoring and Supervision of Services.

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| 8 | <p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p><i>Maximum 6 points on this performance measure</i></p> | <ul style="list-style-type: none"> <li>a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:</li> <li>• If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3</li> <li>• If 80-99%: Score 2</li> <li>• If 60-79: Score 1</li> <li>• If below 60 %: Score 0</li> </ul> | <p>Agago DLG rural safe water coverage stood at 81% as per the Quarter four report for FY 2022/2023 dated 17th July 2023, signed by CAO, Received by the Central Registry on 17th July 2023.</p> <p>The annual work plan dated 14th July 2023 and signed by CAO, received by the Central Registry on 17th July 2023 the following is presented:</p> <p>Twelve (12) out of nineteen (19) sub-counties were below the District's safe water coverage.</p> <p>The Ten twelve (1210) Sub-counties were: - Andilang at 60%, Ajali at 56%, Arum at 61%, Kuyee 49%, Lira Kato at 668%, Laperebongo at 57%, Lira Palwo at 54%, Lokole at 68%, Omiya Pacwa at 68%, Wol 34%,</p> <p>From the above Twelve, eight sub-counties were budgeted for in the FY 2023/2024,</p> <p>DWO total budget for FY 2023/2024 was Ushs 613,537,000 out of this total budget, the sum of Ushs. 527,391,000/= was allocated to Sub-counties below the District average.</p> <p>This indicated that 85.96 % of the budget for FY 2023/2024 was allocated to Sub-counties below the District safe water average.</p> <p><math>(527,391,000 / 613,537,000) \times 100 = 85.95 \%</math></p> <p>Therefore, 85.96% of the FY 2023/2024 budget was allocated to Sub-counties below the District average.</p> | 2 |
| 8 | <p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p><i>Maximum 6 points on this performance measure</i></p> | <p>b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3</p>                                                                                                                                                                                                                                                                          | <p>Agago DWO communicated to the LLGs their respective allocations per source to be constructed in the FY2023/2024 by display on the Water Office and Sub counties' notice boards as evidenced on the assessment days. Dated 03rd 11th July 2023, signed by Oroma Emmanuel - DWO</p> <p>Below are the three sampled LLGs</p> <p><b>S/No.: 01</b></p> <p>Projects: Borehole rehabilitation of (01), Drilling of 01 Borehole,</p> <p>Sub County: Omiya Pacwa</p> <p>Funding: DWSSCG</p> <p>Budget: Ushs 33,211,000</p> <p><b>S/No.: 02</b></p> <p>Projects: Piped water schemes (01) and Drilling of 01 Borehole Sub County: Geregere</p> <p>Funding: DWSSCG</p> <p>Budget: Ushs 203,886,580</p> <p><b>S/No.: 03</b></p> <p>Projects: Construction of 02 stances and 2 urinals VIP latrine and Drilling of 01 Borehole</p> <p>Sub County: Agengo</p> <p>Funding: DWSSCG</p> <p>Budget: USHS 40,000,000</p>                                                                                                                                                                                                                                                                                                                                                                   | 3 |
| 9 | <p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>                                                                           | <p>a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and</p>                                                                                                                                                                                                       | <p>The monitoring reports and list of WSS projects for the FY 2022/2023 were considered:</p> <p>Sampled WSS facilities per quarter</p> <p><b>QUARTER I</b></p> <p>Monitoring report on the functionality of Water sources FY 2022/2023</p> <p>Dated: 28th September 2022</p> <p>Sub-Counties: four (04) out of nineteen sub-counties were monitored in the Agago district</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 0 |

social safeguards, etc.)

- If 95% and above of the WSS facilities monitored quarterly: score 4

- If 80-94% of the WSS facilities monitored quarterly: score 2

- If less than 80% of the WSS facilities monitored quarterly: Score 0

Findings:

48 water sources were monitored.

-Functional = missing information

-Non-functional = no information provided

Remarks:

-No details were seen at the time of assessment.

**Monitoring Team:**

Oroma Emmanuel - DWO

District Environment Officer

District Engineer

District Natural resource officer

DCDO

**QUARTER II**

Monitoring report on the functionality of Water sources FY 2022/2023

Dated: 22nd December 2022

Remarks:

No monitoring took place in Quarter II due to lack of funds.

**Reporting officer:**

Oroma Emmanuel - DWO

**QUARTER III**

Monitoring report on the functionality of Water sources FY 2022/2023

Dated: 31st March 2023

Sub-Counties: Eight (8) out of nineteen sub-counties were monitored in Agago district.

Findings:

Facilities

-Functional = Missing information

-Non-functional = No information provided at the time of assessment

**Monitoring Team:**

Oroma Emmanuel - DWO

District Environment Officer

District Engineer

District Natural resource officer

DCDO

**QUARTER IV**

Monitoring report on the functionality of Water sources FY 2022/2023

Dated: 30th June 2023

Sub-Counties: The list was not indicated or attached at the time of assessment.

**Facilities**

-Functional = Missing information

-Non-functional = No information provided at the time of assessment

**Monitoring Team:**

Oroma Emmanuel - DWO

District Environment Officer

District Engineer

District Natural resource officer

DCDO

|    |                                                                                                                                                                         |                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |   |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|
| 9  | <p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p> | <p>b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2</p>                                 | <p>The reviewed AWP for FY 2022/2023 dated 15th July 2022, signed by CAO, indicated that three (4) DWSCC meetings had been planned, and the findings were that these four meetings were held and documented as below:</p> <p><b>Quarter I FY 2022/2023</b></p> <p>No evidence of DWSCC minutes and attendance was presented at the time of assessment.</p> <p><b>Quarter II FY 2022/2023</b></p> <p>One (1) DWSCC meeting was conducted, held on 24th November 2022</p> <p>DWSCC minutes were captured signed by both DWO and the secretary, and attendance is attached.</p> <p>Venue: not captured in the minutes.</p> <p><b>Quarter III FY 2022/2023</b></p> <p>One (1) DWSCC meeting was conducted, held on 16th March 20223.</p> <p>DWSCC minutes were captured, and attendance was missing.</p> <p><b>Venue:</b> not captured in the minutes.</p> <p><b>Quarter IV FY 2022/2023</b></p> <p>One (1) DWSCC meeting was conducted, held on 30th May 20223.</p> <p>DWSCC minutes captured, and attendance was missing.</p> <p>Venue: not captured in the minutes.</p> | 0 |
| 9  | <p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p> | <p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p>                                                                                                           | <p>Agago DWO publicises budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties FY2023/2024 by display on the Water Office and Sub counties' notice boards as evidenced on the assessment days. Dated 03rd July 2023, signed by Oroma Emmanuel - DWO</p> <p>Below are the three sampled LLGs</p> <p><b>S/No.: 01</b></p> <p>Projects: Borehole rehabilitation of (01), Drilling of 01 Borehole,</p> <p>Sub County: Omiya Pacwa</p> <p>Funding: DWSSCG</p> <p>Budget: Ushs 33,211,000</p> <p><b>S/No.: 02</b></p> <p>Projects: Piped water schemes (01) and Drilling of 01 Borehole Sub County: Geregere</p> <p>Funding: DWSSCG</p> <p>Budget: Ushs 203,886,580</p> <p><b>S/No.: 03</b></p> <p>Projects: Construction of 02 stands VIP latrines and Drilling of 01 Borehole</p> <p>Sub County: Agengo</p> <p>Funding: DWSSCG</p> <p>Budget: USHS 40,000,000</p>                                                                                                                                                 | 2 |
| 10 | <p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>                                                                     | <p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> <li>• If funds were allocated score 3</li> <li>• If not score 0</li> </ul> | <p>From Agago DLG Water Sector AWP FY 2022/2023 dated 15th July 2022, signed by CAO and received on 22nd July 22 by The Permanent Secretary – MWE.</p> <p>The total of NWR was USHS 84,430,018 A total amount allocated for Community mobilisation was USHS 33,772 000</p> <p><math>(33,772, 000 / 84,430,018) \times 100 = 40\%</math></p> <p>The allocated Community mobilisation funds were 40% within the rural water and sanitation budget as per sector guidelines.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | 3 |

|                              |                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |   |
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| 10                           | <p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>                                | <p>b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&amp;M of WSS facilities: Score 3.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                           | <p>Agago DWO documented training reports on O&amp;M of WSS facilities as below: -</p> <p><b>Training Report 1:</b></p> <p>Dated: 14th t April 2023</p> <p>Titled: Report on training of water user committees for the nine villages selected for drilling this FY 2022/2023</p> <p>This report captured:</p> <ul style="list-style-type: none"> <li>- confirming the selected members</li> <li>- Functions of the members</li> <li>- Quality of the user committee members</li> <li>- By-laws for the water source and the users</li> <li>- Roles of individual members</li> </ul> <p>Compiled and Signed by:</p> <p>Oryema Charles Wamala - CDO</p> <p>Oroma Emmanuel - DWO</p> <p><b>Training Report 2:</b></p> <p>Dated: 03rd May 2023</p> <p>Titled: Report on advocacy meeting for rehabilitation of Onyama dam</p> <p>Sub-counties: Adilangi</p> <p>This report captured:</p> <ul style="list-style-type: none"> <li>• Feedback back to the community on primary assessment</li> <li>• Technical backstopping</li> <li>• The role and the responsibility of the various stakeholders</li> </ul> <p>Compiled and signed by:</p> <p>Oryema Charles Wamala - CDO</p> <p>Oroma Emmanuel - DWO</p> | 3 |
| <b>Investment Management</b> |                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |   |
| 11                           | <p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p> | <p>a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:</p> <p>Score 4 or else 0</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                               | <p>Agago DWO had a detailed and updated WSS asset register filed at the time of assessment.</p> <p>Dated: 26th June 2023.</p> <p>They are titled: Submission of Form 1 and Form 4 for the new deep wells implemented and status of the old Boreholes for updating in the GIS database.</p> <p>Content: Form 1 and 4 FY 2022/2023</p> <p>Dated: 26th June 2023, signed by DWO, received by Johnson -MWE on 17th July 2023</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 4 |
| 11                           | <p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p> | <p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDP/III) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p> <p>Score 4 or else score 0.</p> | <p>No Desk appraisal reports were provided for development projects implemented by the LG in the FY 2022/23.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 0 |

|    |                                                                                                                                                                       |                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |   |
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| 11 | <p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>                                    | <p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>                                                                                                                                                                           | <p>All budgeted investments under Agago DLG Water Office for FY 2023/2024 have completed applications from beneficiary communities.</p> <p>Three Community applications were sampled.</p> <p><b>Community application 1</b></p> <p>Date: 07th April 2023</p> <p>Village: Karoko West</p> <p>Parish: Nanangwe</p> <p>Sub-County: Laperebongo</p> <p>Applied for: Borehole drilling.</p> <p>Community contribution: Ushs 200,000</p> <p>Signed and stumped by LC I Chairperson: Yoromoi Frank Lojum and included community member's details.</p> <p><b>Community application 2</b></p> <p>Date: 17th April 2023</p> <p>Village: Kazikazi</p> <p>Parish: Kazikazi</p> <p>Sub-County: Arum</p> <p>Applied for: Borehole drilling.</p> <p>Community contribution: Ushs 200,000</p> <p>Sign and stumped by Headteacher Kazikazi P/S Chairperson Odwar JohnBosco also included community member's details.</p> <p><b>Community application 3</b></p> <p>Date: 24th April 2023</p> <p>Village: Bethalem</p> <p>Parish: ongalo</p> <p>Sub-County: Lapono</p> <p>Applied for: Borehole drilling.</p> <p>Community contribution: Ushs 200,000</p> <p>Sign and stumped by LC I Chairperson Oyella Anjuleta and also included community member's details.</p> | 2 |
| 11 | <p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>                                    | <p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>                                                                          | <p>No Field appraisal reports were provided for development projects implemented by the LG in the FY 2022/23.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 0 |
| 11 | <p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>                                    | <p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p> | <p>There was no evidence that all water infrastructure for the current year 2023/2024 were screened for Environmental and Social risks/impacts. There was no evidence provided to the assessment team in the 2 days of the assessment</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 0 |
| 12 | <p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> | <p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>                                                                                                                                                                          | <p>In the approved Procurement Plan for FY 2022/2023 dated 17th August, 2022, there was evidence of incorporation of water and sanitation infrastructure projects.</p> <p>For example</p> <ul style="list-style-type: none"> <li>• The Drilling of 09 deep Boreholes including pump testing, water quality pump testing, water quality analysis, casting and, casting and installation at a budget of UGX 228,215,403 was incorporated as indicated on page 1.</li> <li>• For the Rehabilitation of 6 deep boreholes at selected water sources at an estimated budget of UGX 47,322,000 was incorporated as indicated on page 1.</li> <li>• For the drilling of one production well at Akeno North in Lapono sub county at an estimated budget of UGX 40,000,000 was incorporated as indicated on page 1</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                              | 2 |

|    |                                                                                                                                                                                |                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |   |
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| 12 | <p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p> | <p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p> | <p>There was evidence that the water supply and public sanitation infrastructure for the FY 2022/2023 was approved by the contracts committee before commencement of the construction. For example;</p> <ul style="list-style-type: none"> <li>• The Drilling, sitting, test pumping, water quality analysis, Casting and Installation of 5 deep bore holes lot 1; procurement reference number: AGAG803/Wrks/22/23/00017 was approved by the contracts committee on 20th December 2022 under minute number 4ADLG/DCCM/06/22/23 and awarded to MSR Technologies Uganda Ltd at a contract price UGX 110,003,022 as per contract between the parties signed on 17th February 2023. Evaluation report upheld by the contract committee was dated from 24th October -18th November 2022</li> <li>• The Rehabilitation of piped water supply at Acholi Pii HC II Procurement ref. AGAG803/Wrk/2022/2023/00019 was approved by the contracts committee on the 20th December 2022 under item 6 of minute number 4ADLG/DCCM/06/2022/2023. And awarded to Aywee United brothers and Sons Ltd at a contract price of UGX 66,600,000 as per contract between the parties signed on the 7th February 2023. Evaluation report was dated 16/11/2022 under item 6 of the report</li> <li>• The Drilling of 2 production wells at Akeno North an Amyel in Lapono S/C Procurement ref AGAG803/wrks/2022/2023/00002 was approved by the contracts committee on 20th December 2022 under item 3 of minute number 3ADLG/DCC/06/2022/2023. And awarded to NEWTON technical Services Ltd at a contract price of UGX 19,965,600. As per contract between the parties signed on 07th March 2023. Evaluation report was dated 16/11/2022 under item 4</li> </ul> | 2 |
| 12 | <p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p> | <p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>                           | <p>Evidence of establishment of the project implementation team by the District Water Officer was given as below;</p> <p>In another letter by the CAO, Mr. Nyeko Samuel dated 8th November 2022, the following were appointed as members of the PIT in the respective capacities for projects under Water such as "Rehabilitation of pipe water scheme at Acholi Pii HCIII";</p> <ul style="list-style-type: none"> <li>• District Engineer (Obali Obote Charles) as the Project Manager</li> <li>• The District Water Officer (Oroma Emmanuel) as the Contracts Manager</li> <li>• District Environment Officer (Angiro Christopher) as a member</li> <li>• District Community Development Officer (Oola Gabriel) as member</li> <li>• District Labour Officer (Oola Gabriel) as a member</li> </ul> <p>Additionally, a letter dated 24th October 2022 by the Chief Administrative Officer Mr. Oloya Stephen was appointing Mr. Oryem Francis; the Road Inspector, Mr. Elem Julius Peter; the Assistant Engineering Officer, Mr. Owinyi Francis; the Assistant Engineering Officer, Mr. Okello Innocent; the Road Overseer and Mr. Komakech Ronald, the Road Overseer as the Clerks of Works for projects in the respective subcounties was presented.</p> <ul style="list-style-type: none"> <li>- Oryem Francis (Wol, Lokole, and Omot S/C)</li> <li>- Okello Innocent (Lapono, Adilang, and Omiya S/C)</li> <li>- Komakech Ronald (Lira Palwo, Lamiyo, and Arum S/C)</li> <li>- Elem Julius (Patongo, and Kotomor S/C)</li> <li>- Owinyi Francis ( Paimol and Parabongo)</li> </ul>                                                                                                                                                 | 2 |



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| 12 | <p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> | <p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p> | <p>Contract documents for WSS infrastructure for FY 2022/2023 that entailed BoQ, drawings and specifications that guided the construction works were reviewed.</p> <p>On 31st October 2023, three (3) WSS infrastructures were sampled in three Sub-counties during the field visit.</p> <p><b>WSS infrastructure 1</b></p> <p>Activity: Construction of Borehole</p> <p>Sub-County: Patongo</p> <p>Parish: Kal</p> <p>Village: Opyelo Central</p> <p>Details from BOQ &amp; Drawings</p> <ul style="list-style-type: none"> <li>-Preliminaries, general deep borehole siting</li> <li>-Drilling</li> <li>-Casting platform and hand pump installation</li> </ul> <p>Finding (Field visit):</p> <ul style="list-style-type: none"> <li>-The deep well was drilled, cast, and installed</li> <li>-The platform was constructed as per the drawings</li> <li>-The platform was labelled with DWD No. 81754 with the date of construction as 08th June 2023.</li> <li>-Soak pit seen constructed</li> </ul> <p>The borehole was fenced.</p> <p>The borehole was seen as functional at the time of assessment.</p> <p><b>WSS infrastructure 2</b></p> <p>Activity: VIP latrine</p> <p>Sub-County: Agengo</p> <p>Parish: Agengo</p> <p>Village: Kenya Central</p> <p>Details from BOQ &amp; Drawings</p> <ul style="list-style-type: none"> <li>- Drawings were availed</li> </ul> <p>Finding (Field visit):</p> <ul style="list-style-type: none"> <li>-The 2 stances and 2 urinals VIP latrine were constructed</li> <li>-The Ramp (1&amp;2), as per drawings, were not seen at the time of assessment.</li> <li>-The VIP latrine was seen locked and not functional at the time of assessment.</li> </ul> <p><b>WSS infrastructure 3</b></p> <p>Activity: Rehabilitation of piped water scheme</p> <p>Sub-County: Arum</p> <p>Parish: Arum</p> <p>Village: Acholi PII</p> <p>Details from BOQ &amp; Drawings</p> <ul style="list-style-type: none"> <li>-water tank installation</li> <li>-Solar panel installation and fenced</li> <li>-water tap stand installation</li> </ul> <p>Finding (Field visit):</p> <ul style="list-style-type: none"> <li>-The two (2) water tanks of 10,000 litres capacity installed</li> <li>- The three (3) solar panels installed</li> <li>-Tap stands installed in staff quarters of HC III</li> <li>-Tap stands were seen as non-functional at the time of assessment</li> </ul> <p>Generally, it was established that the WSS facilities visited were built as per designs.</p> | 2 |
| 12 | <p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> | <p>e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2</p>                        | <p>There was no evidence provided at the time of assessment to show that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 2 |

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| 12 | <p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> | <p>f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts</p> <p>o If 100 % contracts paid on time: Score 2</p> <p>o If not score 0</p> | <p>There evidence indicated that the DWO verified the works and initiated payments to contractors however not all payments were within the specified timeframes as per the contracts for example;</p> <p>Voucher no.6441355 dated 28th June 2023 for Ushs 13,011,164; Certificate no. 01; dated 23rd June 2023; Contract no. AGAG803/WRKS/2022/2023/000; Project; Construction of 2 stance VIP Latrines with 2 urinal shelters at Obokolome Market by Jowa Holding Company Ltd was certified and verified by the DWO on 23rd June 2023; payment initiated on 19th June 2023 and paid on 28th June 2023 which was within 30 days as per the contract terms.</p> <p>Voucher no.5871724 dated 15th June 2023 for Ushs 34,067,480; Certificate no. 01; dated 6th June 2023; Contract no. AGAG803/SUPLS/2022/2023/00048; Project; Supply of Pump parts for the Rehabilitation of 07 Deep Boreholes by Agago Pump Mechanics Limited was certified and verified by the DWO on 6th June 2023; payment initiated on 2nd June 2023 and paid on 15th June 2023 which was within 30 days as per the contract terms.</p> <p>Voucher no.6428883dated 28th June 2023 for Ushs 29,823,559; Certificate no. 02; dated 13th June 2023; Contract no. AGAG803/WRKS/2021/2022/00019; Project; Rehabilitation of Pipe Water system at Acholi Pii HC III by M/s Aywee Unted Brothers and Sons Ltd was certified and verified by the DWO on 14th June 2023; payment initiated on 14th June 2023 and paid on 28th June 2023 which was within 30 days as per the contract terms.</p> <p>Hence being compliant since all payments were made within the specified time frames of the contract.</p> | 2 |
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| 12 | <p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> | <p>g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:</p> <p>Score 2, If not score 0</p> | <p>Based on the sample, there was evidence that all water infrastructure projects had complete procurement files. For example;</p> <ul style="list-style-type: none"> <li>For the drilling, sitting, test pumping, water quality analysis, Casting and Installation of 5 deep bore holes- lot 1 procurement reference number: AGAG803/Wrks/22/23/00017, the file had an evaluation report dated from 24th October -18th November 2022 which was approved by the contracts committee on 20th December 2022 under minute number 4ADLG/DCCM/06/22/23 and awarded to MSR Technologies Uganda Ltd at a contract price UGX 110,003,022 as per contract between the parties signed on 17th February 2023.</li> <li>For the rehabilitation of piped water supply at Achol Pii HC II Procurement reference AGAG803/Wrk/2022/2023/00019. The file had an evaluation report dated 16th November, 2022. The report was approved by the contracts committee on the 20th December 2022 under item 6 of minute number 4ADLG/DCCM/06/2022/2023 and contract awarded to Aywee United brothers and Sons Ltd at a contract price of UGX 66,600,000 as per contract was signed on the 7th February 2023.</li> </ul> | 2 |
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## Environment and Social Requirements

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| 13 | <p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p> | <p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p> <p>Score 3, If not score 0</p> | <p>The DWO informed the assessment team that there was no person aggrieved by the operations of the water sector during the FY 2022/2023.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 3 |
| 14 | <p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>                                                                                                         | <p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source &amp; catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>                                               | <p>There was no evidence provided to the assessment team in the 2 days of assessment for the dissemination of the guidelines on water source and catchment protection.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 0 |
| 15 | <p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>                                                                                              | <p>a. Evidence that water source protection plans &amp; natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented:</p> <p>Score 3, If not score 0</p>                                          | <p>There was evidence that the water source protection plans and natural resource management plans were prepared.</p> <p>Plan for water source protection year 2022/2023. The plan was for 8 sub-counties and it included intervention areas, critical requirement, community contribution in kind. This was signed by the DWO without a date. The plan had minutes attached of water source protection plan for each water source.</p> <p>There was a Report on training of Water User Committees for the nine villages selected for the borehole drilling from 4th April 2023 to 14th April 2023. 81 members were trained (49 F and 32 M).</p> | 3 |

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| 15 | <p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p> | <p>b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 3, If not score 0</p>                     | <p>There was evidence that all WSS projects were implemented on land where the DLG had proof of ownership as seen in the following consent forms:</p> <ol style="list-style-type: none"> <li>1. Land Agreement between the Land Owner and the Community for the Construction of Water/Sanitation Facility. Agreement between Mr. Omel Philips the land owner and one of the community members Ogenga John Atim at Biwang Ngom village, Biweng parish in Lira Palwo Sub-county. This was signed by Omel Philips land owner on the 5th April 2023 and LC1 Chairperson - Ogenga John Atim, witnessed by Akello Hellen. All signed on 5th April 2023.</li> <li>2. Land Agreement between the Land Owner and the Community for the Construction of Water/Sanitation Facility. Agreement between Mr. Ocung Koma Dennis the land owner and one of the community members at Olwongur village, Ojur parish in Lamiyo Sub-county. This was signed by Ocung Koma Dennis land owner on the 5th April 2023 and LC1 Chairperson - Ocungkoma Dennis witnessed by Auma Luiyan. All signed on 5th April 2023.</li> <li>3. Land Agreement between the Land Owner and the Community for the Construction of Water/Sanitation Facility. Agreement between Mr. Oryema George William the land owner and one of the community members at Opyelo Central village, Kal parish in Patongo Sub-county. This was signed by Oryema George William land owner on the 29th March 2023 and LC1 Chairperson - Okello Ben, witnessed by OdongP Wigginson. All signed on 29th March 2023.</li> <li>4. Land Agreement between the Land Owner and the Community for the Construction of Water/Sanitation Facility. Agreement between Mr. Oyoo Karlo Lutto the land owner and one of the community members at Karoko East village, Nanangwe parish in Laperebong Sub-county. This was signed by Oyoo Karlo Lotto land owner on the 31st March 2023 and LC1 Chairperson - Awilla Vincent, witnessed by Okello David. All signed on 31st March 2023.</li> <li>5. Land Agreement between the Land Owner and the Community for the Construction of Water/Sanitation Facility. Agreement between Mr. Oduch YK Charles the land owner and one of the community members at Acut Okon village, Lajwa parish in Ajali Sub-county. This was signed by Oduch YK Charles land owner on the 30th March 2023 and LC1 Chairperson - Oduch YK Charles, witnessed by Oyoo B Okello. All signed on 30th March 2023.</li> <li>6. Land Agreement between the Land Owner and the Community for the Construction of Water/Sanitation Facility. Agreement between Mr. Owing Aldo the land owner and one of the community members at Kaolo village, Atutp parish in Kuywe Sub-county. This was signed by Owing Aldo land owner on the 18th April 2023 and LC1 Chairperson - Ocitti David, witnessed by Lakot Anna Grace. All signed on 18th April 2023.</li> </ol> | 3 |
| 15 | <p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p> | <p>c. Evidence that E&amp;S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:</p> <p>Score 2, If not score 0</p> | <p>There was evidence that the E&amp;S certification forms were completed and signed by the CDO and the Environment Officer.</p> <ol style="list-style-type: none"> <li>1. Environment certification for the construction of 2 stance VIP latrine at Kuludwong B Village dated 13th March 2023 Payment made on 3rd May 2023 by Voucher no. 5137756 was certified and signed on 14th March 2023 by Olal Churchill D - District Environment and Officer and Oola Gabriel - Senior CDO on 15th March 2023.</li> <li>2. Environment certification for the construction of VIP latrine at Obokolome Market dated 23rd June 2023 Payment made on 28th June 2023 by Voucher no. 6441355 was certified and signed on 26th June 2023 by Olal Churchill D - District Environment Officer and Oola Gabriel - Senior CDO on 23rd June 2023</li> <li>3. Environment certification for the siting, drilling, test pumping casting and installation of 5 boreholes dated 6th June 2023 Payment made on 28th June 2023 by Voucher no. 6425908 was certified and signed on 9th June 2023 by Olal Churchill D - District Environment Officer and Oola Gabriel - Senior CDO.</li> </ol>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 2 |
| 15 | <p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p> | <p>d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p> <p>Score 2, If not score 0</p>                                                              | <p>There was no evidence that the CDO and Environment Officer conducted monitoring to ascertain compliance with ESMPs. There was no evidence provided at the time of the assessment.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 0 |

| No.                                              | Summary of requirements                                                                                                                              | Definition of compliance                                                                                                                                                                                                                              | Compliance justification                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Score |
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| <b>Local Government Service Delivery Results</b> |                                                                                                                                                      |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |       |
| 1                                                | Outcome: The LG has increased acreage of newly irrigated land<br><br>Maximum score 4<br><br>Maximum 20 points for this performance area              | a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0                                                         | The LG started implementing MSI the previous FY 2022/23 and therefore has no data for comparison since it has only one year of implementation. However, over the previous FY the LG has records of 5 acres of irrigated land that was achieved in FY 2022/23 under the MSI program. The 5 acres are spread out in 5 sub-counties hosting the farmer field schools. The sub counties are Lamyo, Wol, Patongo T/C, Omot and Adilang each hosting a 1 acre of the demonstration plot.<br><br>Currently there are no grant beneficiary owing to the fact that the LG is a phase 2 district.                                                                  | 2     |
| 1                                                | Outcome: The LG has increased acreage of newly irrigated land<br><br>Maximum score 4<br><br>Maximum 20 points for this performance area              | b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:<br><br>• By more than 5% score 2<br><br>• Between 1% and 4% score 1<br><br>• If no increase score 0                      | The evidence was adduced to the fact that the LG increased irrigated acreage from 0 to 5 acres in FY 2022/23.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 2     |
| 2                                                | N23 Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4                    | a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:<br><br>• Above 70%, score 4<br><br>• 60% - 70%, score 2<br><br>• Below 60%, score 0                                                               | The average score in the micro-scale irrigation for LLG performance assessment for 2022 was 62% and for 2023 is at 31%, therefore the average score was 47%.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 0     |
| 3                                                | Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines<br><br>Maximum score 6 | a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0 | The LG received a budget allocation of UGX 373,445,177 and spent UGX 121,613,553 on procurement of irrigation equipment for the 5 demonstration sites. This represents 32.6% used for the development component of the grant and 67.4% used for complementary activities. This information was available from the 4th quarter budget performance report for FY 2022/23 prepared on 14/8/2023 by Ojwee Charles the MSI focal person in the LG. The LG has use the development component on eligible activities.                                                                                                                                           | 2     |
| 3                                                | Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines<br><br>Maximum score 6 | b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0                                                                       | The project was in Phase 2 and hence no beneficiary farmers. owing to this, no farmers signed the acceptance forms.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 1     |
| 3                                                | Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines<br><br>Maximum score 6 | Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0                                                                                                                  | The Engineer's estimates for Omot, Wol, Adilang, Lamyo and Patongo were UGX 31,341,000; Ugx 30,982,000; Ugx 27,824,000; Ugx 27,824,000 and Ugx 28,631,000 respectively. The supplier invoices for these sites were Ugx 17,047,930; Ugx 21,617,000; Ugx 10,750,000; Ugx 10,550,000 and Ugx15,062,000 respectively for Omot, Wol, Adilang, Lamyo and Patongo. From the above presentation, the variation between the Engineer's estimate and the supplier's quote is 45.6%, 30.2%, 61.4%, 62.1%, 47.4% for Omot, Wol, Adilang, Lamyo and Patongo respectively. The variations at all the five demonstration sites are above the 20% threshold recommended. | 0     |
| 3                                                | Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines<br><br>Maximum score 6 | d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY<br><br>• If 100% score 2<br><br>• Between 80 – 99% score 1<br><br>• Below 80% score 0            | Contracts with the 5 host farmers were all signed on 21/7/2022 and equipment installations at all sites were completed and but since there was no handover report, it was difficult to ascertain if indeed the sites were completed in the previous financial year 2022/23. All the farmers were invited to the DLG for got contract signing on the same day according to the DPO.                                                                                                                                                                                                                                                                       | 0     |

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| 4                                                        | <p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>                                                                    | <p>a) Evidence that the LG has recruited LLG extension workers as per staffing structure</p> <ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• If 75 – 99% score 1</li> <li>• If below 75% score 0</li> </ul> | <p>The HR Office indicated that there were a total of 21 fully filled staff positions while unfilled staff positions were 152.</p> <p>The ceiling is <math>21+152 = 173</math></p> <p>Therefore percentage of compliance was <math>21/173 \times 100 = 12.1\%</math></p>                                                                                                                                                           | 0 |
| 4                                                        | <p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>                                                                    | <p>b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF</p> <ul style="list-style-type: none"> <li>• If 100% score 2 or else score 0</li> </ul>                                            | <p>The installed equipment sites visited were; Wol, Omot and Patogo. The equipment installed included a solar powered submersible pump raising water 2 m above the ground into a 5000L tank. With a use of a Dayliff surface pump of capacity 18m<sup>3</sup>/hr at 72m head. The water is then pumped to a run a sprinkler of through of 15m. the equipment meets the standards and is operational.</p>                           | 2 |
| 4                                                        | <p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>                                                                    | <p>b) Evidence that the installed micro-scale irrigation systems during last FY are functional</p> <ul style="list-style-type: none"> <li>• If 100% are functional score 2 or else score 0</li> </ul>                           | <p>The sites visited, Omot seed secondary school, and Wol seed secondary schools were functional. Patongo T/c demo site was also function regardless of the incomplete installation of the tank and tank stand as the farmer is able to pump water directly into the irrigation system and supply the field</p>                                                                                                                    | 2 |
| <b>Performance Reporting and Performance Improvement</b> |                                                                                                                                                                                         |                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                    |   |
| 5                                                        | <p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>                                                                                | <p>a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0</p>                                                                                                                      | <p>Out of the three random sampled LLGs namely; Lapono S/C; Patongo S/C and Agago T/C that were visited, the assessor couldn't verify information since the premises were found closed so the assessment wouldn't be conducted in the LLGs sampled.</p>                                                                                                                                                                            | 0 |
| 5                                                        | <p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>                                                                                | <p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p>                                                                                                   | <p>From the LLGs visited of Wol, Patongo and Omot, the equipment was inspected and was function. This included at all the sites a solar powered submersible pump, a surface pump and a gun sprinkler.</p>                                                                                                                                                                                                                          | 2 |
| 6                                                        | <p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p> | <p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p>   | <p>The quarter 4 report captured information on the installations at the 5 acres in the 5 sub counties acting as demonstration farms. The 1st , 2nd, and 3rd quarter reports did not capture installations but rather complementary activities. It was the 4th quarter report that captured the installation and functionality of the irrigation equipment.</p>                                                                    | 2 |
| 6                                                        | <p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p> | <p>b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0</p>                                                                                                                               | <p>Up to date information was entered in MIS. For instance as of 24th October, 2023 at 4.48PM, data on the 29 farm visits, 1619 farmers attended awareness campaigns had been entered in MIS.</p>                                                                                                                                                                                                                                  | 1 |
| 6                                                        | <p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p> | <p>c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0</p>                                                                                               | <p>From the 4th quarter report by the MSI focal person, information on farm visits, expression of interest and awareness campaigns were indicated in the report. To collaborate if indeed this information was linked to the MIS database, the MSI focal person Mr. Ojwee Charles was able to log in the MIS and showed the 522 EOIs from farmers spread out across the district that were reported in the 4th quarter report.</p> | 1 |

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| 6 | Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans<br><br>Maximum score 6 | d) Evidence that the LG has:<br><br>i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0 | There was no Performance Improvement Plan (PIP) in place at the time of assessment | 0 |
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| 6 | Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans<br><br>Maximum score 6 | ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0 | There was no Performance Improvement Plan (PIP) in place at the time of assessment to be implemented | 0 |
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#### Human Resource Management and Development

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| 7 | Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines<br><br>Maximum score 6 | a) Evidence that the LG has:<br><br>i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0 | The total budget of the department of production for FY 2023/24 was UGX 876,300,000 and of this UGX 686,400,000 is wage and UGX 56,000,000 operational expenses for extension workers. | 1 |
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| 7 | Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines<br><br>Maximum score 6 | ii. Deployed extension workers as per guidelines score 1 or else 0 | There was evidence from the DPO staff lists of FY 2023/24 that at least each sub county had an extension workers though a number of them were serving in more than one subcounty. For instance Ocheng David is currently serving in Lkole and Ajali sub-counties. Owiny David is serving in Patongo and Kotomor sub-counties. | 1 |
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| 7 | Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines<br><br>Maximum score 6 | b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0 | There was evidence that the extension workers reported to their stations and working as seen in the attendance register at Patongo Town council. For instance, Owiny David was found at the host farmer site at Forest ward Patongo T/C | 2 |
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| 7 | Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines<br><br>Maximum score 6 | c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0 | There was evidence that extension workers' deployment had been publicised and disseminated to LLGs notice boards of Omot, Patongo T/C and Wol. | 2 |
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| 8 | <p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p> | <p>a) Evidence that the District Production Coordinator has:</p> <p>i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0</p> | <p>From a random sample of 10 extension workers, there was evidence that Agago DLG conducted annual performance appraisals of Extension Workers against the agreed performance plans as follows:</p> <ol style="list-style-type: none"> <li>1. Akwero Lucy Aol Assistant Animal Husbandry Officer was appraised on 1st July 2021</li> <li>2. Akena Walter Assistant Animal Husbandry Officer was appraised on 1st July 2021</li> <li>3. Ojwee Charles Agricultural Officer was appraised on 1st July 2021</li> <li>4. Awor Suzan Agricultural Officer was appraised on 1st July 2021</li> <li>5. Okot George Assistant Animal Husbandry Officer was appraised on 1st July 2021</li> <li>6. Okwera Robert Kenny Senior fisheries Officer was appraised on 1st July 2021</li> <li>7. Obong Afred Senior fisheries Officer was appraised on 1st July 2021</li> </ol> <p>However, the appraisals were done outside the stipulated period of June 30th the previous FY.</p> <p>There was no evidence provided at the assessment time in regard to any issues that may have arose from the appraisals and needed corrective action.</p> | 0 |
| 8 | <p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p> | <p>a) Evidence that the District Production Coordinator has:</p> <p>Taken corrective actions: Score 1 or else 0</p>                                                                                                                                    | <p>From a random sample of 10 extension workers, there was evidence that Agago DLG conducted annual performance appraisals of Extension Workers against the agreed performance plans as follows:</p> <ol style="list-style-type: none"> <li>1. Akwero Lucy Aol Assistant Animal Husbandry Officer was appraised on 1st July 2021</li> <li>2. Akena Walter Assistant Animal Husbandry Officer was appraised on 1st July 2021</li> <li>3. Ojwee Charles Agricultural Officer was appraised on 1st July 2021</li> <li>4. Awor Suzan Agricultural Officer was appraised on 1st July 2021</li> <li>5. Okot George Assistant Animal Husbandry Officer was appraised on 1st July 2021</li> <li>6. Okwera Robert Kenny Senior fisheries Officer was appraised on 1st July 2021</li> <li>7. Obong Afred Senior fisheries Officer was appraised on 1st July 2021</li> </ol>                                                                                                                                                                                                                                                                 | 0 |
| 8 | <p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p> | <p>b) Evidence that:</p> <p>i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0</p>                                                                                                         | <p>The training reports were available from the DPO. For instance, the one of 27/10/2022 shows that extension worker Oryema Bazil Edward serving in Paimol s/county attended training on improved agronomic practices, post harvest handling and farming as a business.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 1 |
| 8 | <p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p> | <p>ii Evidence that training activities were documented in the training database: Score 1 or else 0</p>                                                                                                                                                | <p>The LG has documented the training activities as evidenced in the training reports are kept in the files and also on MIS system on modules attended by the different extension workers and also to farmers. For example, quarter four report dated 4th July 2023 shows the training organized and attended</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 1 |

#### Management, Monitoring and Supervision of Services.

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| 9 | <p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p> | <p>a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0</p> | <p>The LG appropriately allocated the micro scale irrigation grant 100% on to complementary services for the FY2022/2023 as per description below;</p> <p>Budgeted amount for FY 2022/2023 was Ushs 251,411,624 allocated and released for Micro scale awareness creation was Ushs 251,411,624 as per Approved budget (PBS copy).</p> | 2 |
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| 9  | <p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p> | <p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p> | <p>The Micro-scale irrigation project budget allocation was made and paid towards complementary services in line with the sector guidelines as per the expenditure listed below;</p> <ul style="list-style-type: none"> <li>- LG awareness creation to support Irrigation Project Ushs 56,016,777 Approved budget (PBS copy).</li> <li>- Awareness creation to farmers at village and parish level Ushs 149,378,071 Approved budget (PBS copy).</li> <li>- Farm visit for demonstration learning for famers Ushs 56,016,777 Approved budget (PBS copy).</li> </ul> | 2 |
| 9  | <p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p> | <p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>                                                                                                                                                                                                                                                                                                                                                                                                          | <p>Owing to the fact that the LG is in phase 2 and hence in the first year 1 of implementation, there was no beneficiary farmers. Their mandate in the first year was to create awareness and then rollout in the current FY 2023/24.</p>                                                                                                                                                                                                                                                                                                                          | 2 |
| 9  | <p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p> | <p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>                                                                                                                                                                                                                                                                                                                                                                         | <p>No co-funding as the project was under Phase 2 in the FY 2022/23, the farmers did not contribute any funds. The co-funding will commence in the current FY2023/24 for the phase 2 districts according to the MSI implementation guidelines.</p>                                                                                                                                                                                                                                                                                                                 | 2 |
| 9  | <p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p> | <p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>                                                                                                                                                                                                                                                                                                                                                                                                                   | <p>The LG is a phase 2 district and still at awareness phase and there was evidence of awareness campaigns both at LG and LLG levels. Through their awareness campaigns, the LG had 10 farmers have made UGX 1,000,000 commitment fee to the DLG. Examples of such farmers are Jokene Timothy and Ogalla Angella of Parabong s/county. The names of these farmers were presented to the DPTC on 12/6/2023 according to the available minutes.</p>                                                                                                                  | 2 |
| 10 | <p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>                                            | <p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> <li>• If more than 90% of the micro-irrigation equipment monitored: Score 2</li> <li>• 70-89% monitored score 1</li> <li>Less than 70% score 0</li> </ul>                  | <p>The DPO produced monthly monitoring report dated 19th June 2023 by the DPO, Okot George, monitoring report dated 28th June 2023 by DPO (Okot George) and fourth quarter report dated 4th July 2023 also talks about the monitoring by the technical personnel of the department. These are all reports showing evidence of the DPO visit to the demo sites and putting up recommendation to improve the operation of the demos</p>                                                                                                                              | 2 |
| 10 | <p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>                                            | <p>b. Evidence that the LG has overseen technical training &amp; support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>                                                                                                                                                                                                                                                                                                                                           | <p>Supervision reports seen reported training of farmers on handling of irrigation equipment. These reports were made on a quarterly basis by the DPO. The available reports were made on; 10th/7/2023, 23rd/10/2022, 11th /2/2023 and 29th/6/2023 for the 1st, 2nd, 3rd and 4th quarters respectively. On a visit to Wol seed secondary school, the Deputy head teacher (Wafula) indeed confirmed they has received support in form of training from the LG.</p>                                                                                                  | 2 |
| 10 | <p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>                                            | <p>c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0</p>                                                                                                                                                                                                                                                                                                                         | <p>Minutes for the training activities organised for the extension workers were available. For instance, a training took place on 23rd/5/2023 at the DLG headquarters attended by 18 members facilitated by the DPO. Another training took place on 9/11/2022 at the production boardroom attended by 20 participants also facilitated by the DPO</p>                                                                                                                                                                                                              | 2 |



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| 10                           | Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines<br><br>Maximum score 8         | d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0                                                                                                                                  | The LG has established 5 farmer field schools in 5 sub-counties of Omot, Wol, Adilang, Lamyo and Patongo T/C                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 2 |
| 11                           | Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.<br><br>Maximum score 4 | a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0                                                                                                                                  | There was evidence of radio talk shows conducted to this effect in an effort to mobilize farmers. One talk show on Radio Wangooh on 5th/8/2022 attended by the DPO, LC V Chairman and the District agricultural officer. Another talk show was conducted on Radio Piwa on 12th/8/2022 facilitated by the same team                                                                                                                                                                                                                                                                                                                                                                             | 2 |
| 11                           | Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.<br><br>Maximum score 4 | b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0                                                                                                                        | Evidence was adduced to this effect. A meeting was conducted on 15th/11.2022 in the District Council Hall to mobilize political leaders.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 2 |
| <b>Investment Management</b> |                                                                                                                                                             |                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |   |
| 12                           | Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines<br><br>Maximum score 8        | a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0                                                                            | The LG did not adduce evidence that the irrigation equipment procured and installed in FY2022/23 for the demonstration farms at Omot, Wol, Adilang, Lamyo and Patongo were entered in the LG register of MSI equipment.                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 0 |
| 12                           | Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines<br><br>Maximum score 8        | b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0                                                                                                                      | The LG had 522 expression of interest stored in the MIS database as at 24th October, 2023.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 2 |
| 12                           | Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines<br><br>Maximum score 8        | c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0                                                                                              | Data in the MIS accessed on 24/10/2023 shows that 29 farm visits were conducted.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 2 |
| 12                           | Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines<br><br>Maximum score 8        | d) For DDEG financed projects:<br><br>Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0 | The LG has not yet completed the selection of beneficiary farmers. They are yet to present a complete list of farmers to the DTPC for approval before confirmation that they are legible to benefit from the co-funding arrangement. To this effect, there was no display of eligible farmers on the LLG noticeboards.                                                                                                                                                                                                                                                                                                                                                                         | 0 |
| 13                           | Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines<br><br>Maximum score 18          | a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.                                                                                     | In the approved PP for FY 2022/2023 dated 17th August 2022, there were MSI projects included. For example;<br><br>• 5 irrigation equipment for 5 demonstration sites at a budget of UGX 18,062,710 each was incorporated on page 4 of the approved procurement plan dated 17th August 2022<br><br>• Supply of 5 sets of micro scale irrigation equipment at a budget of UGX 18,062,710 each was incorporated on page 4 of the approved procurement plan dated 17th August 2022<br><br>• Supply of Assorted Agricultural inputs (Irrigation program) under Production Department at a budget of UGX 10,400,000 was indicated on page 1 of the approved procurement plan dated 17th August 2022. | 1 |

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| 13 | Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines<br><br>Maximum score 18 | b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0                                | Based on a letter from PS ministry of Agriculture Animal Industry and Fisheries to Chief Administrative Officers dated 25th May 2021, Agago DLG falls under the phase two Districts who were allowed to procure the service providers through open domestic bidding. The DLG provided a prequalification list for 2022/2023 and under item 7; Prequalification for Microscale equipment, they presented an acceptance to bid award by M/s Johafric investment Co. Ltd dated 28th April 2023.                                                                                                                                                                                                                                                                                                                             | 2 |
| 13 | Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines<br><br>Maximum score 18 | c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0                                                                                         | There was evidence that the LG concluded the selection of the irrigation equipment supplier based on the criteria as presented below;<br><br>An invitation for selective bidding for FY 2022/2023 was dated 9th march 2023. Evaluation and prequalification was conducted as per the evaluation reports 12th – 14th April 2023 and supply of assorted agricultural inputs for the irrigation program was awarded to Johafric Investment Co. Ltd on 28th April 2023 at a contract price of UGX 9,780,000                                                                                                                                                                                                                                                                                                                  | 2 |
| 13 | Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines<br><br>Maximum score 18 | d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0                                                                                        | There was evidence that microscale irrigation systems were approved by the contracts committee. For example,<br><br>supply of assorted agricultural inputs for the irrigation program Awarded to Johafric Investment Co. Ltd on 28th April 2023 at a contract price of UGX 9,780,000. The contracts committee approval it on 17th April 2023 under item 17min. minute no. 4ADLG/DCCM/11/2022/2023<br><br>For procurement of Irrigation equipment, the contracts committee approval was on 17th April 2023 under item 31 of min. no. 4ADLG/DCCM/11/2022/2023 and the contract was awarded to Broad Base Engineering Ltd at a contract sum of UGX 90,313,553.                                                                                                                                                              | 1 |
| 13 | Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines<br><br>Maximum score 18 | e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0   | There was evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier. For example,<br><br>supply of assorted agricultural inputs for the irrigation program awarded to Johafric Investment Co. Ltd on 28/04/2023 at a contract price of UGX 9,780,000. Two bidder i.e. Johafric and Caperia Hotel Ltd with quotations of UGX 9,780,000 and UGX 9,800,000 respectively were presented. Johafric as the lowest bidder was then awarded as per agreement dated 5th May 2023.<br><br>In the negotiation report for irrigation equipment, only one bidder “Broad base”was prequalified and underwent negotiation as per the report dated 14th April 2023. The contract between DLG and Broad base was signed on 3rd May 2023 at a contract value of UGX 90,313,553. | 2 |
| 13 | Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines<br><br>Maximum score 18 | f)Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0                                                                    | The irrigation systems installed at the demonstration sites are drag hose and sprinkler. The components installed were those generated by the IrriTrack App.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 2 |
| 13 | Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines<br><br>Maximum score 18 | g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0 | From the site books at Wol and Patongo T/C it was evident that the MSI focal person who is also the District Agricultural Officer was regularly visiting the sites. For instance, he had visited the Wol seed sec school site on 22/6/2023 and 28/6/2023. The quarterly supervision reports were also another piece of evidence in regards to technical supervision. For instance, the 4th quarter report of 29th /6/2023 contained information on the demonstration sites installations.                                                                                                                                                                                                                                                                                                                                | 2 |
| 13 | Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines<br><br>Maximum score 18 | h) Evidence that the LG has overseen the irrigation equipment supplier during:<br><br>i. Testing the functionality of the installed equipment: Score 1 or else 0                                                          | From site books at Wol seed secondary school, the DAO visited 5 times in June 2023, the month installation took place. There is a likelihood that the production office indeed supervised the installation.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 1 |
| 13 | Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines<br><br>Maximum score 18 | ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0                                                                        | There was no handover report presented to the assessment team so it was difficult to ascertain if indeed equipment testing was overseen by the district staff.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 0 |

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| 13                                       | <p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>                           | <p>i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0</p> <p>Voucher no.6429358 dated 28th June 2023 for Ushs 84,894,740; Contract no. AGAG803/WRKS/2021/2022/00020; Project; Supply and Establish Irrigation Equipment to 5 demonstration sites in Agago by M/s Broad Base Eng Ltd was initiated on 29th May, 2023 and paid on 28th June 2023 which was within 30 days as per the contract terms.</p> <p>Voucher no.6427265 dated 28th June 2023 for Ushs 20,228,800; Contract no. AGAG803/WRKS/2021/2022/00078; Project; Supply of Assorted tools for irrigation program in Agago by M/s Abilicon Co Ltd was initiated on 29th May 2023 and paid on 28th June 2023 which was within 30 days as per the contract terms</p> <p>Voucher no.6427265 dated 28th June 2023 for Ushs 9,193,200; Contract no. AGAG803/SUPLS/2021/2022/00085; Project; Supply of Assorted Agricultural Inputs for irrigation program in Agago by M/s Johafric Investment Co Ltd was initiated on 15th June 2023; payment initiated on 28th June 2023 and paid on 28th June 2023 which was within 30 days as per the contract terms</p>                                                                                  | 2 |
| 13                                       | <p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>                           | <p>j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0</p> <p>In the approved procurement plan for FY 2022/2023 dated 17th August 2022, there were two irrigation infrastructure projects and there was evidence that all the procurement files were complete;</p> <p>For example,</p> <p>supply of assorted agricultural inputs for the irrigation program which was Awarded to Johafric Investment Co. Ltd on 28th April 2023 at a contract price of UGX 9,780,000, the file had an evaluation report date was 12th – 14th April 2023 under min. no 5ADLG/ECL/01/22/23. The contracts committee approval was dated 17th April 2023 under item 17min. no. 4ADLG/DCCM/11/2022/2023. The contract was then awarded to Johafric as per agreement dated 5th May 2023.</p> <p>In the negotiation report for irrigation equipment, only one bidder “Broad base” bided. The file had an evaluation report dated 12th – 14th April 2023 under item 17 of min. no 5ADLG/ECL/01/22/23. The contract committee approval was dated 17th April 2023 under item 31 of min. no. 4ADLG/DCCM/11/2022/2023 and underwent negotiation as per the report dated 14th April 2023. The contract was then signed on 3rd May 2023 at a contract value of UGX 90,313,553.</p> | 2 |
| <b>Environment and Social Safeguards</b> |                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |   |
| 14                                       | <p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p> | <p>a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0</p> <p>There was no display of details of the nature and avenues to address grievance neither at the DLG nor at the LLG visited such as Wol and Patongo T/C. Since there was no publicity of the nature and avenues for handling grievances, the existence of a framework alone does not serve its purpose as the public is not informed so as to benefit from this framework.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 0 |
| 14                                       | <p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p> | <p>b) Micro-scale irrigation grievances have been:</p> <p>i). Recorded score 1 or else 0</p> <p>ii). Investigated score 1 or else 0</p> <p>iii). Responded to score 1 or else 0</p> <p>iv). Reported on in line with LG grievance redress framework score 1 or else 0</p> <p>From the reviewed Grievance Log, there was no complaint under production sector recorded as per the LG grievance redress framework:</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 1 |
| 14                                       | <p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p> | <p>b) Micro-scale irrigation grievances have been:</p> <p>ii. Investigated score 1 or else 0</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p> <p>From the reviewed Grievance Log, there was no complaint under production sector recorded as per the LG grievance redress framework:</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 1 |
| 14                                       | <p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p> | <p>b) Micro-scale irrigation grievances have been:</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p> <p>There was no complaint under production sector responded to as per the LG grievance redress Log book.:</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 1 |

|    |                                                                                                                                                                              |                                                                                                                                      |                                                                                                                                     |   |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|---|
| 14 | Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework<br><br>Maximum score 6 | b) Micro-scale irrigation grievances have been:<br><br>iv. Reported on in line with LG grievance redress framework score 1 or else 0 | From the reviewed Grievance Log, there was no complaint under production sector recorded as per the LG grievance redress framework: | 1 |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|---|

#### Environment and Social Requirements

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|----|------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|
| 15 | Safeguards in the delivery of investments<br><br>Maximum score 6 | a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.<br><br>score 2 or else 0                                                 | The farmers visited at Wol, Mot and Patongo T/C had brochures on the MSI program given by the LG staff.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 2 |
| 15 | Safeguards in the delivery of investments<br><br>Maximum score 6 | b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.<br><br>i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0 | There was no evidence that the costed ESMPs were incorporated into designs, BoQs. The BoQs were not presented in the two days of the assessment.                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 0 |
| 15 | Safeguards in the delivery of investments<br><br>Maximum score 6 | ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0                                                     | There was no evidence provided in the two days of assessment on monitoring of irrigation impacts.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 0 |
| 15 | Safeguards in the delivery of investments<br><br>Maximum score 6 | iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0                                                                                                | There were no completed and signed E&S certification forms presented to the assessment team at the time of the assessment.. However, there were supplies and the payment vouchers were presented for verification.<br><br>Payment voucher dated 15th August 2023, voucher no. 6429358. Payment made on 28th June 2023 to facilitate supplies and installation of micro scale irrigation equipment, a total of UGX 84,894,553.<br><br>Payment voucher dated 3rd July 2023, voucher no. 6442121. Payment made on 28th June 2023 for supply of assorted Agricultural inputs to production department, a total of UGX 9,193,200. | 0 |
| 15 | Safeguards in the delivery of investments<br><br>Maximum score 6 | iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0                                                                                                                   | There were no completed and signed E&S certification form presented to the assessment team at the time of the assessment. However, there were supplies and the payment vouchers were presented for verification.<br><br>Payment voucher dated 15th August 2023, voucher no. 6429358. Payment made on 28th June 2023 to facilitate supplies and installation of micro scale irrigation equipment, a total of UGX 84,894,553.<br><br>Payment voucher dated 3rd July 2023, voucher no. 6442121. Payment made on 28th June 2023 for supply of assorted Agricultural inputs to production department, a total of UGX 9,193,200.   | 0 |

**Crosscutting Minimum Conditions**

| No. Summary of requirements                                                                                                                                                  | Definition of compliance                                                            | Compliance justification                                                                                                                                                                                                                                        | Score    |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| <b>Human Resource Management and Development</b>                                                                                                                             |                                                                                     |                                                                                                                                                                                                                                                                 |          |
| 1<br>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0               | The position of Chief Finance Officer was substantively filled by Mr. Ojok James Okengo who was appointed vide letter Ref. No. CR/156/2 dated 7th May 2018 as was directed by DSC Min No. 128/2018.1                                                            | <b>3</b> |
| 1<br>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | b. District Planner/Senior Planner, score 3 or else 0                               | The position of District Planner was substantively filled by Mr. Bongomin Richard Akal Ref. No. CR/156/2 appointed vide letter dated 7th May 2018 as was directed by DSC Min No. 131/2018.                                                                      | <b>3</b> |
| 1<br>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | c. District Engineer/Principal Engineer, score 3 or else 0                          | The position of District Engineer was substantively filled by Mr. Obali Obote Charles. On 10th August, 2020 by letter Ref No. CR/156/2 as was directed by Min No. 05/45/2020.3 (i).                                                                             | <b>3</b> |
| 1<br>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0 | The position of District Natural Resources Officer was substantively filled by Mr. Olal David Churchill who was appointed on 20th June, 2018 by letter Ref. No. CR/156/2 as was directed by DSC Min No. DSC/154/2018.                                           | <b>3</b> |
| 1<br>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | e. District Production Officer/Senior Veterinary Officer, score 3 or else 0         | The LG had no substantively appointed District Production Officer.<br><br>However, Okot George was the Officer care taking in Acting Capacity. However, additional information regarding his appointment in the service couldn't be availed by assessment time. | <b>0</b> |
| 1<br>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | f. District Community Development Officer/Principal CDO, score 3 or else 0          | The LG had no substantively appointed District Community Officer.<br><br>Mr. Oola Gabriel was given additional responsibilities as a care taker acting as District Development Officer through Ref. letter CR/157/1 dated 9th January 2023.                     | <b>0</b> |
| 1<br>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0      | The position of District Commercial Officer was substantively filled by Mr. Otema Geoffrey appointed through letter Ref. No. CR/156/3 on 14th March 2018 as was directed by DSC Min No. 122/2018                                                                | <b>3</b> |
| 1<br>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.       | The position of Senior Procurement Officer was substantively filled by Latincan Alex Atube appointed by letter Ref. No. CR/160/2 on 16th June 2013 as was directed by Min No. 4/DSC/49/2023 (a).                                                                | <b>2</b> |
| 1<br>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0 | The position of Procurement Officer was substantively filled by Mr. Opoka Moses appointed by letter Ref. No. CR/156/4 dated 11th October 2013 as was directed by DSC Min No.43/2013.1                                                                           | <b>2</b> |
| 1<br>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | i. Principal Human Resource Officer, score 2 or else 0                              | The position of Principal Human Resource Officer was substantively filled by Mr. Okidi John Otto appointed by letter Ref. No. CR/156/2 dated 25th October 2010 as was directed by DSC Min No. 30/2010.                                                          | <b>2</b> |
| 1<br>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | j. A Senior Environment Officer, score 2 or else 0                                  | The position of Senior Environment Officer was substantively filled by Mr. Angiro Christopher appointed by letter Ref. No. CR/156/4 dated 17th November 2021 as was directed by DSC Min No. 5/ADSC/2021 (c).                                                    | <b>2</b> |
| 1<br>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | k. Senior Land Management Officer /Physical Planner, score 2 or else 0              | The position of Senior Environment Officer was substantively filled by Mr. Angiro Christopher appointed by letter Ref. No. CR/156/4 dated 17th November 2021 as was directed by DSC Min No. 5/ADSC/2021 (c).                                                    | <b>2</b> |

|   |                                                                                                                                                                         |                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |   |
|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | l. A Senior Accountant, score 2 or else 0                                                                                                                                                        | The position of Senior Accountant was substantively filled by Mr. Okumu George Patel appointed by letter Ref. No. CR/156/6 dated 17th October 2012 as was directed by DSC Min No. 25/04/DSC/2012(39).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | 2 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0                                                                                                                        | The position of Principal Internal Auditor, was vacant at the time of assessment. There was no evidence that CAO had formally requested for secondment for this position.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 0 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37. | n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0                                                                                                                           | The position of Principal Human Resource Officer (Secretary DSC), was vacant at the time of assessment. There was no formal evidence that CAO had requested for secondment for this position.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 0 |
| 2 | New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG<br>Maximum score is 15                                | a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGs, score 5 or else 0 (Consider the customized structure). | <p>All positions of SAS and of Town Clerks were substantively filled with letters signed by the CAO as follows;</p> <p>1. Arop James was appointed SAS by letter Ref. No. CR/156/2 dated 23rd October, 2013 as was directed by DSC Min No. DSC/45/2013.4 and posted to Kotomor Sub County.</p> <p>2. Ojok David was appointed SAS by letter Ref. No. CR/156/2 dated 20th June 2018 as was directed by DSC Min No. DSC/133/2018.05 and posted to Ajali Sub County.</p> <p>3. Ndala Francis Ref. No. CR/156/2 was appointed SAS vide appointment letter dated 18th April 2016 under DSC Min No. DSC/98/2016.93 and posted to Paimol sub county.</p> <p>4. Lubangakene Emmanuel Ref. No. CR/156/2 was appointed SAS vide letter dated 18th April 2016 under DSC Min No. 98/2016.102 and posted to Omot sub county.</p> <p>5. Ms. Akiding Salome Ref. No. CR/156/2 was appointed SAS vide letter dated 23/10/2013 under DSC Min No. 43/2013/1 and posted to Wol town council.</p> <p>6. Odongo Richard Lotyang Ref. No. CR/156/2 was appointed SAS vide appointment letter dated 20th June 2018 under DSC Min No. 133/2018.08 and posted to Lapono sub county.</p> <p>7. Ayo Rose Delima Ref. No. CR/156/2 was appointed SAS vide appointment letter dated 14th November 2019 under DSC Min No. 04/38/2019.2 and posted to Parabongo sub county.</p> <p>8. Komaketh Richard Ref. No. CR/156/2 was appointed SAS vide appointment letter dated 14th November 2019 under DSC Min No. 04/38/2019.1 and posted to Agengo Sub county.</p> <p>9. Oola Francis Ref. No. CR/156/2 was appointed Town Clerk vide letter dated 20th June 2018 under DSC Min No. DSC/133/2018.03 and posted to Kalongo town council.</p> <p>10. Jurua Charles Ref. No. CR/156/2 was appointed Town Clerk vide letter dated 20th June 2018 under DSC Min No. DSC/133/2018.01 and posted to CAOs office at the DLG</p> <p>11. Obwor Peter was appointed Town Clerk by letter Ref. No. CR/156/2 dated 20th June 2018 as was directed by DSC Min No. DSC/133/2018.02 and posted to Agago Town Council.</p> <p>12. Ochaya Geoffrey Amet was appointed SAS by letter Ref. No. CR/156/2 dated 20th June 2018 as was directed by DSC Min No. DSC/133/2018.06 and posted to Agago DLG headquarter.</p> | 5 |

2 New\_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG  
Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

5

The CDOs and SCDOs were substantively filled vide letters endorsed by the CAO as follows;

1. Ms. Ongom Berna Sarah was appointed CDO by letter Ref. No. CR/156/2 dated 20th June 2018 as was directed by DSC Min No. 140/2018/01 and serving at Paimol Sub County at the time of assessment.
2. Otto Ben Adol was appointed SCDO by letter Ref. No. CR/156/2 dated 11th October, 2013 as was directed by DSC Min No. 43/2013.2 and was posted to Patongo town council by the assessment time.
3. Atim Agnes Prossy was appointed SCDO by letter Ref. No. CR/156/2 dated 11th October 2013 as was directed by DSC Min No. 43/2013/3 and serving at Kalongo town council.
4. Achola Scovia was appointed CDO by letter Ref. No. CR/156/2 dated 14th November 2019 as was directed by DSC Min No. 04/38/2019/5 and posted to Lamiyo Sub County.
5. Lakot Vicky Olak was appointed CDO by letter Ref. No. CR/156/2 dated dated 1st September 2009 and was directed by DSC Min No. 189/2009/30 and posted to Lapono Sub County.
6. Okot Emmanuel was appointed SCDO by letter Ref. No. CR/156/2 dated 11th October 2013 as was directed by DSC Min No. 43/2013 and serving at Agago town council.
7. Oryema Charles Wamala was appointed CDO by letter Ref. No. CR/156/2 dated dated 18th April 2016 as directed by DSC Min No. 98/2016/12 and posted to Lira Palwo Sub County.
8. Okwir Alex was appointed CDO by letter Ref. No. CR/156/2 dated 20th June 2018 as was directed by DSC Min No. 140/2018/3 and posted to Lukale Sub County.
9. Atim Lucy Pido was appointed CDO by letter Ref. CR/156/4 dated 18th April 2016 as directed by Min No. 98/2016/11 and posted to Adilang Sub County.
10. Ogomarach Stella was appointed CDO by letter Ref. No. CR/156/2 dated 20th June 2018 as was directed by DSC Min No. 140/2018/02 and posted to Kotomor Ssub County.
11. Okot James Menyamoi was appointed CDO by letter Ref. No. CR/156/2 dated 14th November 2019 as was directed by DSC Min No. 47/2019 and posted to Omiya Pacwa Sub County.
12. Odong Denis was appointed CDO by letter Ref. No. CR/156/2 dated 18th April 2016 as was directed by DSC Min No. 98/2016/14 and posted to Parabongo Sub County.
13. Opoka Godfrey Adot was appointed CDO by letter Ref. No. CR/156/2 dated 20th June 2018 as was directed by DSC Min No. 140/2018/05 and posted to Patongo Sub County.
14. Ongom Berna Sarah was appointed CDO by letter Ref. No. CR/156/2 dated 20th June 2018 as was directed by DSC Min No. 140/2018/01 and posted to Paimol Sub County.
15. Adeno Pamella was appointed CDO by letter Ref. No. CR/156/4 dated 18th April 2016 as was directed by DSC Min No. 98/2016/15 and posted to Arum Sub County.
16. Lakomaketch Nancy was appointed CDO by letter Ref. No. CR/156/2 dated 20th June 2018 as was directed by DSC Min No. 140/2018.03 and posted to Omot Sub county

|   |                                                                                                                                                     |                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |   |
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| 2 | <p>New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG</p> <p>Maximum score is 15</p> | <p>c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.</p> | <p>The DLG substantively filled out all positions of Senior Accounts Assistant (SAA) in LLGs as follows;</p> <ol style="list-style-type: none"> <li>1. Obwoch Patrick Otim was appointed SAA through letter Ref. No. CR/D/156/2 dated 17th October 2012 as was directed by DSC Min No. 25/04/DSC/2012.40 and was posted to Wol sub county.</li> <li>2. Ojok Micheal was appointed SAA through letter Ref. No. CR/156/2 dated 11th October, 2013 as was directed by DSC Min No. 43/2013.2 and was posted at Laperebong Sub County.Komaketh David Odong was appointed SAA by letter Ref. No. CR/156/2 dated 1st April 2008 as directed by DSC Min No. 90/2008 and posted to Patongo Sub County.</li> <li>3. Oketch Joseph was appointed SAA by letter Ref. No. CR/156/4 dated 11th October 2013 as was directed by DSC Min No. 43/2013.4 and posted to Kalongo town council.</li> <li>4. Okot Albert was appointed SAA through letter Ref. No. CR/D/156/2 dated 20th June 2018 as directed by DSC Min No. 147/2018.03 and posted to Lira Palwo Sub county</li> <li>5. Lapit Zachariah Hastete was appointed SAA through letter Ref. No. CR/156/2 dated 20th June 2018 as was directed by DSC Min No. 147/2018.02 and posted to Patongo town council.</li> <li>6. Adyero Beatrice was appointed SAA through letter Ref. No. CR/156/2 dated 20th June 2018 as directed by DSC Min No. 147/2018/07 and posted to the Health Department at Agago DLG headquarters.</li> <li>7. Ogaba Benekazi was appointed SAA through letter Ref. No. CR/156/2 dated 20th June 2018 as directed by DSC Min No. 147/2018/06 and posted to Lukole Sub County.</li> <li>8. Ojok Jimmy Rogers was appointed SAA by letter Ref. No. CR/156/2 dated 11th October 2013 as was directed by DSC Min No. 43/2013 and posted to Omiya Pachwa Sub County.</li> <li>9. Ochorochan John Bosco was appointed SAA by letter Ref. No. CR/156/2 dated 20th June 2018 as was directed by DSC Min No. 147/2018.04 and posted to Kotonor Sub County.</li> <li>10. Okello Charles Okidi was appointed SAA by letter Ref. No. CR/156/2 dated 20th June 2018 as was directed by DSC Min No. 147/2018/05 and posted to Agago DLG Headquarters.</li> <li>11. Odong John Clarkson was appointed SAA by letter Ref. No. CR/156/2 dated 11th October 2013 as was directed by DSC Min No. 43/2013.3 and posted to Agago DLG Headquarters.</li> <li>12. Adonga Philips was appointed SAA by letter Ref. No. CR/156/2 dated 11/10/2013as was directed by DSC Min No. 43/2013/1 and posted to Patongo town councilOkello Wilberforce was appointed SAA by letter Ref. No. CR/156/2 dated 20th June 2018 as was directed by DSC Min No. 147/2018.09 and posted to Adilang Sub County.</li> <li>13. Akello Nighty was appointed SAA by letter Ref. No. CR/156/2 dated 20th June 2018 as was directed by DSC Min No. 147/2018.08 and posted to Geregere Sub County.</li> </ol> | 5 |
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#### Environment and Social Requirements

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|---|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|
| 3 | <p>Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.</p> <p>Maximum score is 4</p> | <p>If the LG has released 100% of funds allocated in the previous FY to:</p> <p>a. Natural Resources department,</p> <p>score 2 or else 0</p>         | <p>According to the Annual Budget Performance Report for FY2022/2023, the budget for Agago LG towards Natural Resources department was UGX 170,565,844. The actual amount was UGX 170,201,730, which is 99.7%, rounded to 100%</p>                                                                                                                                                                                                                                                                         | 2 |
| 3 | <p>Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.</p> <p>Maximum score is 4</p> | <p>If the LG has released 100% of funds allocated in the previous FY to:</p> <p>b. Community Based Services department.</p> <p>score 2 or else 0.</p> | <p>The evidence derived from the final accounts for FY 2022/23 indicated that the LG released 83% for Community Based Services as per the computation below;</p> <p>The budgeted amount was Ushs 157,915,634, amount released was Ushs 131,306,446 (Final A/cs 2022/23 page 18) leaving a balance of Ushs 26,609,188. Therefore, the % released was;</p> <p><math>(131,306,446/157,915,634) \times 100 = 83\%</math></p> <p>The LG did not release all the funds as planned hence not being compliant.</p> | 0 |



|                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |   |
|-------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|
| 4                                         | <p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>                                                                                                      | <p>a. If the LG has carried out Environmental, Social and Climate Change screening,</p> <p>score 4 or else 0</p>                                                                                                                               | <p>There was evidence that Agago DLG had carried out Environmental, Social and Climate Change Screening for the project under DDEG.</p> <p>There was only one project;</p> <p>Environment and Social Screening form for the Slabbing of Office block at Lira Palwo Sub-county. The Report was stamped and signed on 19th January 2023 by Olal David - District Natural Resource Officer and Adong Paska - District Community Development Officer.</p> <p>Project commenced on 3rd May 2023.</p>                                                                 | 4 |
| 4                                         | <p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>                                                                                                      | <p>b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),</p> <p>score 4 or 0</p> | <p>No EIA was conducted considering that the project did not fall under schedule 5 and 4 of the NEA, 2019. This is because the project was associated with minimal level of environmental impacts.</p> <p>The project required an ESMP - a safeguard document after screening to manage the minimal impacts however, this was not prepared thus the district was defaulting the safeguard required steps according to the Environmental guidelines to LGs for strengthening compliance with safeguards requirements in development projects, November 2020.</p> | 0 |
| 4                                         | <p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>                                                                                                      | <p>c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;</p> <p>score 4 or 0</p>                                                                                        | <p>There was evidence that the DLG had a costed ESMP for the project implemented using DDEG grant.</p> <p>Environment and Social Management Plan dated 19th January 2023, stamped and signed by the District Natural Resources Officer – Olal David and District Community Development Officer – Adong Paska. The ESMP had a cost of UGX 200,000 for mitigation measures like tree planting.</p>                                                                                                                                                                | 4 |
| <b>Financial management and reporting</b> |                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |   |
| 5                                         | <p>Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.</p> <p>Maximum score is 10</p>                                                                                                                                                                                                                                                                                                                     | <p>If a LG has a clean audit opinion, score 10;</p> <p>If a LG has a qualified audit opinion, score 5</p> <p>If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0</p>                                               | <p>The LG obtained a Qualified audit opinion from the OAG on its operations for the FY 2022/2023.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 5 |
| 6                                         | <p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p> <p>maximum score is 10</p> | <p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p> <p>score 10 or else 0.</p> | <p>The evidence provided indicated that the LG provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous FY as per the submission letter dated 26th April 2023. However, the submission date was far beyond the recommended date as required by end of February (PFMA s. 11 2g).</p>                                                                                                                                                                                          | 0 |
| 7                                         | <p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p> <p>Maximum Score 4</p>                                                                                                                                                                                                                                                                                                                     | <p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p> <p>score 4 or else 0.</p>                                                                                                                      | <p>The LG submitted an annual performance contract on 25th July 2023 which was before August 31st of the current FY. However, a counter-signed copy by the PS/ST was not availed hence the LG being non compliant.</p>                                                                                                                                                                                                                                                                                                                                          | 0 |
| 8                                         | <p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>                                                                                                                                                                                                                                                                   | <p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>                                                                              | <p>The LG submitted the Annual Performance Report for the previous FY on 25th July 2023, which was before the mandatory time frame of August 31, of the current Financial Year.</p>                                                                                                                                                                                                                                                                                                                                                                             | 4 |
| 9                                         | <p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p> <p>Maximum score is 4</p>                                                                                                                                                                                                                                            | <p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>                                                | <p>The LG submitted the Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous as per the dates below;</p> <p>Quarter 1: the QBPR was submitted on 2nd December 2022</p> <p>Quarter 2: the QBPR was submitted on 22nd February 2023</p> <p>Quarter 3: the QBPR was submitted on 4th May 2023</p> <p>Quarter 4: the QBPR was submitted on 25th July 2023</p> <p>From the above submission dates the LG submitted the 4th QBPR before the mandatory date of August 31 of the current Financial Year. Hence being complaint.</p>   | 4 |

| No.                                              | Summary of requirements                                                                                                                                                                                                                                 | Definition of compliance                                                                                             | Compliance justification                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Score     |
|--------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|
| <b>Human Resource Management and Development</b> |                                                                                                                                                                                                                                                         |                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |           |
| 1                                                | New Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.<br><br><i>The Maximum Score of 70</i>                                                     | a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0        | The position of District Education Officer was substantively filled by Ms. Aryemo Esther who was appointed vide letter Ref. No. CR/156/2 dated 12th July 2017 as was directed by DSC Min. No. DSC/115/2017.1.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | <b>30</b> |
| 1                                                | New Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.<br><br><i>The Maximum Score of 70</i>                                                     | b) All District/Municipal Inspector of Schools, score 40 or else 0.                                                  | The position of District Senior Inspectors of Schools was substantively filled by Bosco Odongkara who was appointed through letter Ref. No. CR/156/8 dated 30th May 2019 as was directed by DSC Min No. 04/40/2019.1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | <b>40</b> |
| <b>Environment and Social Requirements</b>       |                                                                                                                                                                                                                                                         |                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |           |
| 2                                                | Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)<br><br><i>The Maximum score is 30</i> | If the LG carried out:<br><br>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0. | There was evidence that the DLG carried out Environmental, Social and Climate Change Screening for Education Projects. The following were the projects;<br><br>The LG carried out Environmental and Climate Change Screening for education projects. These included;<br><br>1. Environmental, Social and Climate Change screening for construction of one block of 2 units staff house at Kal Alooi primary school. This was signed and stamped on the 23rd January 2023.<br><br>2. Environmental, Social and Climate Change screening for construction of one block of 2 units staff house at Kuywee Primary school done on 23rd January 2023.<br><br>3. Environmental, Social and Climate Change screening for construction of one block of 2 units staff house at Wimunupecek Primary school done on 23rd January 2023.<br><br>All the above screenings were done by Okidi John a District Forestry Officer signing for District Environment Officer (Angiro Christopher) and Oola Gabriel DCDO on the 23rd January 2023.<br><br>4. Environmental, Social and Climate Change screening for construction of one block of 2 units staff house at Onudapet primary school was done on 22nd January, 2023.<br><br>The ESMP was attached with the total cost of UGX 600,000 for awareness creation. | <b>15</b> |
| 2                                                | Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)<br><br><i>The Maximum score is 30</i> | If the LG carried out:<br><br>b. Social Impact Assessments (ESIAs) , score 15 or else 0.                             | According to the NEMA guidelines annex 2c, these projects were not in the list of those that require ESIAs, therefore no ESIAs was done. For each of the education projects, there was a lumpsum allocated budget of Ugx 600,000/- along the screening form seen on file which the district termed as the developed costed ESMP document with activities like revegetation.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <b>15</b> |

# Health Minimum Conditions

| No.                                              | Summary of requirements                                                                                                                                                                                              | Definition of compliance                                                                                                                  | Compliance justification                                                                                                                                                                                                                                     | Score |
|--------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|
| <b>Human Resource Management and Development</b> |                                                                                                                                                                                                                      |                                                                                                                                           |                                                                                                                                                                                                                                                              |       |
| 1                                                | <p>New Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>        | <p>a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.</p> | <p>The position of District Health Officer was substantively filled by Dr. Otto Emmanuel Omony who was appointed vide letter Ref. CR/156/2 dated 23rd October 2013 as was directed by DSC Min No. 45/2013/1 and fully endorsed by the CAO.</p>               | 10    |
| 1                                                | <p>New Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>        | <p>b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0</p>                                        | <p>The position of District Health Officer Maternal, Child Health and Nursing was substantively filled by Ms. Drajea Hellen Iraku who was appointed vide letter Ref. CR/156/2 dated 6/4/ 2015 under Min. No. DSC/84/2015/1 and fully endorsed by the CAO</p> | 10    |
| 1                                                | <p>New Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>        | <p>c. Assistant District Health Officer Environmental Health, score 10 or else 0.</p>                                                     | <p>The position of Assistant District Health Officer Environmental Health was vacant at the time of assessment. There was no evidence that CAO had requested for secondment from MoPs for this key position.</p>                                             | 0     |
| 1                                                | <p>New Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>        | <p>d. Principal Health Inspector (Senior Environmental Officer), score 10 or else 0.</p>                                                  | <p>The position of Principal Health Inspector was not substantively filled nor was there a seconded staff.</p>                                                                                                                                               | 10    |
| 1                                                | <p>New Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>        | <p>e. Senior Health Educator, score 10 or else 0.</p>                                                                                     | <p>The position of Senior Health Educator was substantively filled by Okello John Paul who was appointed vide letter Ref. CR/156/2 dated 17th November 2021 as was directed by DSC minute No. 6/ADSC/2021(b).1</p>                                           | 10    |
| 1                                                | <p>New Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>        | <p>f. Biostatistician, score 10 or 0.</p>                                                                                                 | <p>The position of Biostatistician was substantively filled by Ms. Adoch Catherine who was appointed vide letter Ref. CR/156/2 dated 15th May 2019 as was directed DSC minute No. 04/DSC/65/2019</p>                                                         | 10    |
| 1                                                | <p>New Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>        | <p>g. District Cold Chain Technician, score 10 or else 0.</p>                                                                             | <p>The position of District Cold Chain Technician was substantively filled by Mr. Okello Samuel who was appointed on 30th June 2015 through letter Ref. CR/156/2 as was directed by DSC minute No. 73/2015</p>                                               | 10    |
| 1                                                | <p>New Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p> | <p>h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.</p>                                              |                                                                                                                                                                                                                                                              |       |
| 1                                                | <p>New Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p> | <p>i. Principal Health Inspector, score 20 or else 0.</p>                                                                                 |                                                                                                                                                                                                                                                              |       |
| 1                                                | <p>New Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p> | <p>j. Health Educator, score 20 or else 0</p>                                                                                             |                                                                                                                                                                                                                                                              |       |

## Environment and Social Requirements

|   |                                                                                                                                                                                                                                                   |                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |    |
|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| 2 | <p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p> | <p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p> | <p>There was evidence that the DLG carried out Environmental, Social and Climate Change Screening for all the Health sector projects. The following are the 3 sampled Health sector projects;</p> <p>Agago district LG carried out Environmental, Social and Climate Change screening for health projects as below;</p> <ol style="list-style-type: none"> <li>1. Environmental, Social and Climate Change Screening for the construction of 4 stance pit latrine and bath shelter at Okwadoko HCII on the 16th January 2023.</li> <li>2. Environmental, Social and Climate Change Screening for the construction of 4unit staff houses at Abilonino HCII on the 16th January 2023.</li> </ol> <p>For the above two projects, they were signed by DFO (Okidi John) For SEO and DCDO (Oola Gabriel).</p> <p>3. Environmental, Social and Climate Change Screening for the construction of maternity and general ward Patongo HCIII. Dated and signed on the 16th January 2023 by Okidi John and Oola Gabriel (DCDO) and stamped on 23rd January 2023.</p> <p>4. Environmental, Social and Climate Change Screening for the construction of one block of 4 units staff house at Lapirin HCIII dated 4th July 2022 and signed by Olal David (DNRO) and Paska Adong (SCDO).</p> | 15 |
| 2 | <p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p> | <p>b. Social Impact Assessments (ESIAs) , score 15 or else 0.</p>                                                           | <p>According to the NEMA guidelines annex 2c, these projects were not in the list of those that require ESIAs, therefore no ESIAs was done.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 15 |

| No.                                              | Summary of requirements                                                                                                                                                                                                         | Definition of compliance                                                                                    | Compliance justification                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Score |
|--------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|
| <b>Human Resource Management and Development</b> |                                                                                                                                                                                                                                 |                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |       |
| 1                                                | <p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation</p> <p><i>Maximum score is 70</i></p>           | <p>If the LG has recruited;</p> <p>a. the Senior Agriculture Engineer</p> <p><i>score 70 or else 0.</i></p> | <p>The position of Senior Agriculture Engineer was vacant at the time of assessment. However, the DLG through the CAOs office wrote to Ministry of Agriculture and Animal Industry and Fisheries to fill the position in the second quarter of FY 2023/2024 vide letter Ref. CR/400/1 dated 14th August, 2023.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 0     |
| <b>Environment and Social Requirements</b>       |                                                                                                                                                                                                                                 |                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |       |
| 2                                                | <p>New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p><i>Maximum score is 30</i></p> | <p>If the LG:</p> <p>Carried out Environmental, Social and Climate Change screening score 30 or else 0.</p> | <p>There was evidence that the DLG carried out Environmental, Social and Climate Change Screening for the Micro-Scale Irrigation projects:</p> <ol style="list-style-type: none"> <li>1. Environment and Social Impact Screening Form for the Micro-Scale Irrigation Demonstration Site – Acuku Dam in Labwor Okema village, Paicam Parish in Lamiyo Sub-county, stamped and signed on 1st December 2022 by Angiro Christopher – SEO and Ojok Geoffrey – DCDO. The ESMP cost was attached of UGX 4,500,000.</li> <li>2. Environment and Social Impact Screening Form for the Micro-Scale Irrigation Demonstration Site – Omot Seed Secondary School in Atece village, Atula parish in Omot Sub-county, stamped and signed on 1st December 2022 by Angiro Christopher – SEO and Ojok Geoffrey – DCDO. The ESMP cost was attached of UGX 4,500,000.</li> <li>3. Environment and Social Impact Screening Form for the Micro-Scale Irrigation Demonstration Site in Logila village, Lugung parish in Wol Sub-county, stamped and signed on 1st December 2022 by Angiro Christopher – SEO and Ojok Geoffrey – DCDO. The ESMP cost was attached of UGX 4,500,000.</li> <li>4. Environment and Social Impact Screening Form for the Micro-Scale Irrigation Demonstration Site in Cwalanyim village, Lapyem parish in Adilang Sub-county, stamped and signed on 1st December 2022 by Angiro Christopher – SEO and Ojok Geoffrey – DCDO. The ESMP cost was attached of UGX 4,500,000.</li> <li>5. Environment and Social Impact Screening Form for the Micro-Scale Irrigation Demonstration Site in Forest Cell, Forest Ward parish in Patongo Town Council, stamped and signed on 1st December 2022 by Angiro Christopher – SEO and Ojok Geoffrey – DCDO. The ESMP cost was attached of UGX 4,500,000.</li> </ol> | 30    |

| No.                                              | Summary of requirements                                                                                                                                                                                                                                                                                                                                                             | Definition of compliance                                                                                             | Compliance justification                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Score |
|--------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|
| <b>Human Resource Management and Development</b> |                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |       |
| 1                                                | New Evidence that the LG has recruited or the seconded staff is in place for all critical positions.<br><br><i>Maximum score is 70</i>                                                                                                                                                                                                                                              | a. 1 Civil Engineer (Water), score 15 or else 0.                                                                     | The position of Civil Engineer (Water) was substantively filled by Oroma Emmanuel appointed through letter Ref. CR/156/4 dated 17th November, 2021 as was directed by Min. No. 5/ADSC/2021 (f).1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 15    |
| 1                                                | New Evidence that the LG has recruited or the seconded staff is in place for all critical positions.<br><br><i>Maximum score is 70</i>                                                                                                                                                                                                                                              | b. 1 Assistant Water Officer for mobilization, score 10 or else 0.                                                   | The position of Assistant Water Officer for mobilization was substantively filled by Olyel Raymond who was appointed through letter Ref CR/156/6 dated. 17th October, 2012 as was directed by DSC/2012 (114) dated                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 10    |
| 1                                                | New Evidence that the LG has recruited or the seconded staff is in place for all critical positions.<br><br><i>Maximum score is 70</i>                                                                                                                                                                                                                                              | c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.                              | The position of Borehole Maintenance Technician was vacant at the time of assessment                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 0     |
| 1                                                | New Evidence that the LG has recruited or the seconded staff is in place for all critical positions.<br><br><i>Maximum score is 70</i>                                                                                                                                                                                                                                              | d. 1 Natural Resources Officer, score 15 or else 0.                                                                  | The position of Natural Resources Officer was substantively filled by Olal David Churchill appointed through letter Ref. CR/156/2 dated 20th June 2018 as was directed by DSC Min. No. 154/2018.01                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 15    |
| 1                                                | New Evidence that the LG has recruited or the seconded staff is in place for all critical positions.<br><br><i>Maximum score is 70</i>                                                                                                                                                                                                                                              | e. 1 Environment Officer, score 10 or else 0.                                                                        | The position of Senior Environment Officer was substantively filled by Angiro Christopher vide letter Ref. CR/156/4 dated 17th November, 2021as was directed by DSC Min. No. 5/ADSC/2021 (c).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | 10    |
| 1                                                | New Evidence that the LG has recruited or the seconded staff is in place for all critical positions.<br><br><i>Maximum score is 70</i>                                                                                                                                                                                                                                              | f. Forestry Officer, score 10 or else 0.                                                                             | The LG had no substantively appointed Forestry Officer.<br><br>Mr. Okidi John was in acting position at the time of assessment, however details of his appointment letters could not be availed at the time of assessment.<br><br>.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 0     |
| <b>Environment and Social Requirements</b>       |                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |       |
| 2                                                | Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects | If the LG:<br><br>a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0. | There was evidence that the DLG carried out Environmental, Social and Climate Change Screening for all the water projects;<br><br>There was evidence that the DLG carried out Environmental, Social and Climate Change Screening for all the water projects;<br><br>1. Environment and Social Impact Screening Form for Drilling of Borehole in Olwornguu village, Lamiyo Sub-county. The project had costed ESMP attached of UGX 300,000. Stamped and signed on 5th December 2022 by Olal David – DNRO and Adong Paska SCDO. The project commenced on 7th February 2023.<br><br>2. . Environment and Social Impact Screening Form for Drilling of Borehole in Opyelo village, Patongo Town Council. The project had costed ESMP attached of UGX 300,000. Stamped and signed on 5th December 2022 by Olal David – DNRO and Adong Paska SCDO. The project commenced on 7th February 2023.<br><br>3. Environment and Social Impact Screening Form for Drilling of Borehole in Karako village, Laperebong Sub-county. The project had costed ESMP attached of UGX 300,000. Stamped and signed on 5th December 2022 by Olal David – DNRO and Adong Paska SCDO. The project commenced on 7th February 2023.<br><br>4. Environment and Social Impact Screening Form for Drilling of Borehole in Biwang Ngomlac village, Lira Palwo Sub-county. The project had costed ESMP attached of UGX 300,000. Stamped and signed on 5th December 2022 by Olal David – DNRO and Adong Paska SCDO. The project commenced on 7th February 2023.<br><br>5. Environment and Social Impact Screening Form for Drilling of Borehole in Acut Okon village, Ajali Sub-county. The project had costed ESMP attached of UGX 300,000. Stamped and signed on 5th December 2022 by Olal David – DNRO and Adong Paska SCDO. The project commenced on 7th February 2023.<br><br>6. Environment and Social Impact Screening Form for Drilling of Borehole in Kaolo village, Kuywee Sub-county. The project had costed ESMP attached of UGX 300,000. Stamped and signed on 5th December 2022 by Olal David – DNRO and Adong Paska SCDO. The project commenced on 7th February 2023.<br><br>All the reports were signed by the DNRO instead of the Environment officer as required by the LGPA hence no score for the LG. | 0     |
| 2                                                | Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects | b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.                                               | The implemented WSS projects are small and were exempted from carrying out ESIAs as provided in the simplified NEMA guideline annex 2c. For each of the WSS projects, there was a lumpsum allocated budget of 600,000/- along the screening form seen on file which the district termed as the developed costed ESMP document for activities like replanting of destroyed grass and proper disposal of oil wastes.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 10    |

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.

The projects implemented by the LG last FY did not call for abstraction permits. The LG drilled boreholes and obtained the drilling permit.

M/s MSR Technologies (U) Limited P.o Box Box 35056, Kampala had a drilling permit number KAM56/DP-03266/2022/RR issued by Eng. Joseph Oriono Eyatu, Director of Water Development, on Thursday, Jun,23,2022. It came into force on Fri July 01, 2022, and it's expected to expire on Friday, Jun 30, 2023.